

Motions with Maximal Displacements.

Autor(en): **Busemann, Herbert**

Objekttyp: **Article**

Zeitschrift: **Commentarii Mathematici Helvetici**

Band (Jahr): **28 (1954)**

PDF erstellt am: **27.05.2024**

Persistenter Link: <https://doi.org/10.5169/seals-22610>

Nutzungsbedingungen

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern.

Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

Haftungsausschluss

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

Motions with Maximal Displacements

by HERBERT BUSEMANN, Los Angeles

To Paul Finsler on his sixtieth birthday

This note deals with the surprisingly strong implications of a nearly trivial remark. We consider an abstract Finsler space, that is, a space in which geodesics with the usual geometric properties, apart from differentiability, exist. The exact requirements are found below. The remark is this: *If for a motion Φ of a Finsler space a point z exists at which the displacement, or the distance $xx\Phi$ from a point x to its image $x\Phi$ under Φ , attains a maximum which is not too large, then the shortest geodesic arc from z to $z\Phi^2$ passes through $z\Phi$.*

Among the facts which we deduce from this observation we mention the following: A closed group of motions of a compact space (without any differentiability conditions) is a Lie group. In a compact space without conjugate points and with an abelian fundamental group no geodesic has multiple points, and the closed geodesics in a given free homotopy class have the same length and cover the space simply.

1. The axioms. Proof of the remark.

The space is assumed to be a G -space, see [1] or [2]. The axioms for a G -space R are:

I R is metric. The distance of x and y is denoted by xy .

II R is finitely compact, i. e. the Theorem of Bolzano-Weierstrass holds.

III R is convex in Menger's sense, see [3]. If we introduce the notation (xyz) to indicate that x, y, z are distinct and $xy + yz = xz$, the last condition means: if $x \neq z$ then y with (xyz) exists. $S(p, \varrho)$ will denote the set of points x satisfying $px < \varrho$.

IV Prolongation is locally possible: every point p has a neighborhood $S(p, \varrho_p)$, $\varrho_p > 0$, such that for any two distinct points x, y in $S(p, \varrho_p)$ a point z with (xyz) exists.

V Prolongation is unique: If (xyz_1) , (xyz_2) and $yz_1 = yz_2$ then $z_1 = z_2$.

It follows from I, II, III that any two points y, z can be connected by a segment $T(y, z)$, i. e. a curve $x(t)$, $\alpha \leq t \leq \beta = \alpha + yz$ such that $x(\alpha) = y$, $x(\beta) = z$ and $x(t_1)x(t_2) = |t_1 - t_2|$, see [3] or [7, p. 12]. A geodesic is a curve $x(t)$, $-\infty < t < \infty$, with the property that for every real t_0 a positive $\varepsilon(t_0)$ exists such that $x(t_1)x(t_2) = |t_1 - t_2|$ for $|t_i - t_0| \leq \varepsilon(t_0)$ $i = 1, 2$. Axioms I to IV imply the existence of geodesics: a representation $x(t)$, $\alpha \leq t \leq \beta$, $\alpha < \beta$, of a segment can be extended to all real t to represent a geodesic. This extension is unique if V holds.

The function ϱ_p in IV may be erratic but it can be replaced by a continuous function: if $\varrho(p) = \sup \varrho_p$, where ϱ_p satisfies IV at p , then $S(p, \varrho(p))$ also satisfies IV. If $\varrho(p) = \infty$, then for any two distinct points x, y a point z with (xyz) exists. Therefore $\varrho(q) = \infty$ for any other point q and if $x(t)$ represents a geodesic, then

$$x(t_1)x(t_2) = |t_1 - t_2|$$

for any t_1, t_2 . We call a geodesic with this property a *straight line*. Thus for $\varrho(p) = \infty$ all geodesics are straight lines, and the G -space is called *straight*. In the terminology of the calculus of variations the straight spaces are the simply connected spaces without conjugate points.

If the space is not straight, then $0 < \varrho(p) < \infty$ and

$$|\varrho(p) - \varrho(q)| \leq pq.$$

For if $\varrho(p) > \varrho(q)$ and $\varrho(p) > pq$ then the triangle inequality yields $S(q, \varrho(p) - pq) \subset S(p, \varrho(p))$ hence $\varrho(p) - \varrho(q) \leq pq$. The number $\varepsilon(t_0)$ occurring in the definition of a geodesic $x(t)$ may be chosen as $\varrho(x(t_0))$.

Axiom V implies that the segment $T(x, y)$ is unique if a point z with (xyz) exists, see [1, p. 216]. In particular $T(x, y)$ is always unique for $x, y \in S(p, \varrho(p))$.

The following is now an exact formulation of the remark mentioned in the introduction:

(1) If Φ is a motion of the G -space R which is not the identity E and if $zz\Phi = \sup_{x \in R} xx\Phi < \varrho(z)/2$, then $(zz\Phi z\Phi^2)$.¹⁾

Proof. Because $zz\Phi < \varrho(z)/2$ there is a point u such that $(zz\Phi u)$ and $zz\Phi = z\Phi u$, briefly $z\Phi$ is a midpoint of z and u . Then $z\Phi^2$ is a midpoint of $z\Phi$ and $u\Phi$ and the only one, because $\varrho(z\Phi) = \varrho(z)$. The relation

$$zz\Phi \geq uu\Phi \geq z\Phi u\Phi - z\Phi u = zu - z\Phi u = zz\Phi$$

¹⁾ It is also true, and has many applications (see [2]), that $0 < zz\Phi = \inf_{x \in R} xx\Phi < \varrho(z)/2$ implies $(zz\Phi z\Phi^2)$.

shows that u is a midpoint of $z\Phi$ and $u\Phi$, hence $u = z\Phi^2$, which proves the assertion.

2. Applications to compact spaces.

In the compact case the following additional statements can be made :

(2) For any motion $\Phi \neq E$ of a compact G -space R a point z of maximal displacement α (i. e. $\alpha = zz\Phi = \sup_{x \in R} xx\Phi$) exists. If k is the first integer for which $k\alpha \geq \varrho(z)/2$, then $zz\Phi^k = k\alpha$. If $k > 1$ then a geodesic $x(t)$ exists such that $x(i\alpha) = z\Phi^i$, $i = 0, \pm 1, \pm 2, \dots$ and $x(t)$ represents a segment for $i\alpha \leq t \leq (i+k)\alpha$.

Proof. The existence of z is obvious and for $k = 1$ there is nothing to prove. If $k > 1$ then $k\alpha < \varrho(z)$ and with $z_i = z\Phi^i$ it follows from (1) that (zz_1z_2) , hence $(z_{i-1}z_iz_{i+1})$ for all i . Since $\varrho(z_i) = \varrho(z)$ the segment $T(z_{i-1}, z_{i+1})$ is unique and passes through z_i . The existence of $x(t)$ follows, and $x(t)$ represents a segment for $i\alpha \leq t \leq (i+k)\alpha$ because $k\alpha < \varrho(z_i)$. In particular $x(0)x(k\alpha) = zz\Phi^k = k\alpha$.

We use the standard metric $\delta(\Phi, \Psi) = \sup_{x \in R} x\Phi x\Psi$ for motions Φ, Ψ of a compact space. Since $\varrho(x)$ is continuous and positive it has on a compact space R a positive minimum $\varrho(R)$. An immediate consequence of (2) is

(3) *A non-trivial group of motions of a compact G -space R has at least diameter $\varrho(R)/2$.*

„Non-trivial“ means that the group contains at least one motion $\Phi \neq E$, and (2) implies that $\delta(E, \Phi^k) \geq \varrho(z)/2 \geq \varrho(R)/2$ for a suitable positive k . Well known theorems on topological groups yield the further result:

(4) **Theorem.** *A closed group of motions of a compact G -space R is a Lie group. If the group Γ of all motions which R possesses is transitive on R , then R is a topological manifold and $\dim \Gamma \leq \dim R (\dim R + 1)/2$.*

The first statement follows from [4, Theorem 53] and the second from [5, Corollary 3', Theorem 9 and Theorem 12]. In spite of the recent result of Gleason it is an open question whether (4) extends to non-compact spaces, since no analogue to (3) is known, even when $\inf_{x \in R} \varrho(x) > 0$.

The rotations about the z -axis of the surface $z = (x^2 + y^2)^{-1/2}$ in E^3 , with the length of the shortest connection on the surface as distance, show that a one-parameter group of motions of a non-compact G -space

may not have any orbits which are geodesics. (1) and (2) imply the existence of such orbits on compact G -spaces:

(5) **Theorem.** *A one-parameter group of motions of a compact G -space possesses an orbit which is a geodesic.*

We assume that the one-parameter group is given in the form $\Phi(s)$ with $\Phi(s_1)\Phi(s_2) = \Phi(s_1 + s_2)$, and prove that a geodesic $x(t)$ and a positive α exist such that $x(t) = x(0)\Phi(\alpha t)$.

Choose $\varepsilon > 0$ such that $\delta(E, \Phi(s)) < \varrho(R)/2$ for $|s| < \varepsilon$. Let $0 < u < \varepsilon$. By (2) there are points z and z' of maximal displacement under $\Phi(u)$ and $\Phi(u/2)$ respectively. Then the choice of ε and (1) imply

$$\begin{aligned} z'z'\Phi(u) &= 2z'z'\Phi(u/2) \geq 2zz\Phi(u/2) \\ &= zz\Phi(u/2) + z\Phi(u/2)z\Phi(u) \geq zz\Phi(u) \geq z'z'\Phi(u). \end{aligned}$$

Hence z is also a point of maximal displacement for $\Phi(u/2)$ and generally for $\Phi(2^{-n}u)$. Moreover $(zz\Phi(u/2)z\Phi(u))$ and generally

$$(zz\Phi(2^{-n-1}u)z\Phi(2^{-n}u)) \text{ .}$$

If $x(t)$ is the geodesic with $x(0) = z$ which represents for

$$0 \leq t \leq zz\Phi(u) = \beta$$

the (unique) segment $T(z, z\Phi(u))$ then (2) yields

$$x(i \cdot 2^{-n} \beta) = z\Phi(i \cdot 2^{-n} u)$$

for all i and non-negative n . A trivial continuity argument shows that $x(\beta t) = z\Phi(ut)$ or $x(t) = x(0)\Phi(\alpha t)$ for all t , where $\alpha = u/\beta$.

3. Compact spaces without conjugate points and abelian fundamental groups.

For a G -space R' which satisfies the usual differentiability hypotheses of the calculus of variations the absence of conjugate points means that the universal covering space R of R' is straight.

The relation of the theorem mentioned in the introduction to motions with maximal displacements comes from:

(6) **Theorem.** *If R is straight and Φ is a motion of R for which a point z with $0 < zz\Phi = \sup_{x \in R} xx\Phi$ exists, then $xx\Phi$ is independent of x . The points $x\Phi^i$, $i = 0, \pm 1, \pm 2, \dots$ lie for each x on a straight line \mathfrak{g}_x .*

For it follows from (1) that the points $z_i = z\Phi^i$ satisfy

$$(z_{i-1}z_iz_{i+1})$$

hence lie on a straight line g_z . If x is any other point of R and $x_i = x\Phi^i$ then

$$n \cdot zz\Phi = zz_n \leq zx + \sum_{i=1}^n x_{i-1}x_i + x_n z_n = 2zx + n \cdot xx\Phi$$

or $xx\Phi \geq zz\Phi - 2zx/n$. Since n is arbitrary $xx\Phi \geq zz\Phi$, hence $xx\Phi = zz\Phi$.

Thus every point x of R is a point of „maximal“ displacement for Φ , therefore (1) shows that the points x_i lie on a line g_x .

Clearly for any two points x, y either $g_x = g_y$ or $g_x \cap g_y = 0$, since $u \in g_x \cap g_y$ implies $u\Phi^i \in g_x \cap g_y$ hence $g_x = g_y$.

Let the universal covering space R of the G -space R' be straight. There is a wellknown correspondence between the classes of conjugate elements in the fundamental group \mathfrak{F} of R' and the classes of freely homotopic curves in R' , see for instance [8, § 49]. If, as in [1], \mathfrak{F} is realized as the group of motions of R which lie over the identity of R' then the closed geodesics in a free homotopy class K_Φ determined by a motion $\Phi \neq E$ in \mathfrak{F} correspond to the straight lines in R which are taken into themselves by Φ , the so-called axes of Φ , see [2]. If x lies on an axis of Φ then $xx\Phi$ is the length of the corresponding geodesic.

If $\Phi \neq E$ possesses a point of maximal displacement then we conclude from (6) that every point x' of R' lies on a closed geodesic of length $xx\Phi$ in K_Φ and that two such geodesics do not intersect. It is now easy to prove:

(7) **Theorem.** *Let R' be a compact G -space with an abelian fundamental group and a straight universal covering space R . Then the closed geodesics in any (non-trivial) free homotopy class of R' have the same length and cover R' simply. No geodesic in R' has multiple points.*

For let Φ be any motion in the fundamental group \mathfrak{F} of R' different from the identity (such motions exist because R is non-compact, hence different from R'). There is a compact subset C of R such that

$$\cup C\Phi_\nu = R ,$$

where Φ_ν traverses \mathfrak{F} , see [2, p. 267]. The Function $yy\Phi$ attains on C a maximum at some point $z \in C$. If x is an arbitrary point of R then a $\Phi_\nu \in \mathfrak{F}$ exists such that $y = x\Phi_\nu \in C$. Because \mathfrak{F} is abelian

$$xx\Phi = x\Phi_\nu x\Phi\Phi_\nu = x\Phi_\nu x\Phi_\nu \Phi = yy\Phi \leq zz\Phi ,$$

so that $zz\Phi = \sup_{x \in R} xx\Phi$.

The preceding discussion shows that the closed geodesics in K_Φ all have length $xx\Phi$ and cover R' simply. K_Φ is, owing to the arbitrariness of Φ , an arbitrary non-trivial free homotopy class in R' .

There can be no geodesic monogon with a proper vertex x' . For such a monogon would lie in some free homotopy class K_Φ , not trivial because R is straight. If x lies over x' then the points $x\Phi^i$ would not lie on a straight line. The absence of proper monogons means that the geodesics in R' have no multiple points.

Theorem (7) brings a result of E. Hopf [6] to mind, namely that a two-dimensional torus T' with a Riemannian metric is euclidean, if its universal covering plane T is straight. In that case (7) is therefore trivial. However, when the condition that the metric be Riemannian is omitted, then T' possesses a great number of essentially different metrizations for which T is straight. The geodesics in T need not satisfy Desargues' Theorem, but they always satisfy the parallel axiom.

4. A characterization of Minkowskian geometry.

The translations of the euclidean space are obvious examples für (6). When there are enough motions satisfying (6) these motions are necessarily ordinary translations:

(8) Theorem. *If a straight space possesses a transitive group of motions such that for each motion Φ in Γ a point exists whose displacement under Φ is maximal, then R is Minkowskian and Γ the group of translations of R .*

We deduce from (6) that $xx\Phi$ is constant for each Φ in Γ . Hence no motion $\Phi \neq E$ in Γ has fixed points and Γ is simply transitive on R , see [7, p. 220]. The motion in Γ that takes a into b may therefore be denoted by $(a \rightarrow b)$. Because of (6) the line $g(a, b)$ through a and b , $a \neq b$, is an axis of $(a \rightarrow b)$. The proof of (8) consists of several steps the first of which is:

(a) R satisfies the parallel axiom, for the terminology see [7].

To see this let $x(t)$ be any geodesic and y a point not on $x(t)$. Since $x(t)$ is an axis of $\Phi = (x(0) \rightarrow x(1))$ it suffices to show that $g(y, x(t))$ tends for $t \rightarrow \infty$ or $t \rightarrow -\infty$ to the axis g_y of Φ through y . For the statement that the line g_y is an axis of the same motion Φ as g_x , is symmetric and transitive, hence the statement that g_y is parallel to g_x also has these properties.

Let $y(t)$ represent the axis of Φ through y with $y(0) = y$ and $y\Phi = y(1)$. The limit sphere $A(y, r)$ through y to r (see [1, p. 240] or

[7, p. 98]), where r is the ray $t \geq 0$ of $x(t)$, intersects $x(t)$ in a point $x(t_0)$ and $x(t_0)\Phi = x(t_0 + 1)$. Moreover $\Lambda(y\Phi, r) = \Lambda(y, r)\Phi = \Lambda(x(t_0 + 1), r)$. The asymptote a to r through y intersects $\Lambda(y\Phi, r)$ in the unique foot f of y on $\Lambda(y\Phi, r)$. But, see [1, p. 242],

$$1 = x(t_0)x(t_0 + 1) = yf \leq yy\Phi = 1,$$

hence $y\Phi$ is also a foot of y on $\Lambda(y\Phi, r)$, so that $y\Phi = f$ and $a = g_v$, which proves (a).

We show next

(b) If $y(t)$, $t \geq 0$ represents a ray s and g is a straight line through $y = y(0)$ not containing s then $y(t)g \rightarrow \infty$ for $t \rightarrow \infty$.

For an indirect proof assume the existence of a sequence t_n with $x(t_n)g < M$. If f_n is a foot of $x(t_n)$ on g , then $f_n \neq y$ for large n , and $q_n = x(t_n)(f_n \rightarrow y)$ has y as foot on g . Because $q_n y = x(t_n)f_n < M$ there is a subsequence $\{v\}$ of $\{n\}$ for which q_v tends to a point q . ($qy > 0$ because of [1, Theorem (11.14)]).

The line $g(x(t_v), q_v)$ is an axis of $(f_v \rightarrow y)$, hence parallel to g . It tends therefore to the parallel g' to g through q . On the other hand, the line $g(q_v, x(t_v))$ tends also to the parallel through q to the line h carrying s (see the definition of co-ray in [1]). Since parallelism is symmetric, it would follow that g and h are parallel to g' , which is impossible because g and h intersect.

(c) x_1g_2 and x_2g_1 are bounded for $x_i \in g_i$ if and only if g_1 and g_2 are parallel.

If g_1 and g_2 are parallel then the fact that they are axes of the same motion in Φ shows that x_1g_2 and x_2g_1 are bounded. The converse follows from (b), for a proof see [2, p. 278].

(d) Γ is abelian.

If Φ and Ψ are two non-trivial motions in Γ , select an arbitrary point z . If the axes of Φ and Ψ through z coincide, it is easily seen that Φ and Ψ commute (this case can also be deduced by a limit process from the general case). We assume therefore that z , $p = z\Phi$ and $q = z\Psi$ are not collinear. Put $g(z, p) = g$, $g(z, q) = h$ and $h' = h\Phi$. Then $y' = y\Phi \in h'$ for $y \in h$. The relation $yy' = zp$ shows that $y'h$ and yh' are bounded, by (c) the lines h and h' are parallel. Therefore h' is an axis of Ψ , so that $p\Psi$ is a point u of h' with $zq = pu$. On the other hand $zq = z\Phi q\Phi = pq\Phi$, hence $q\Phi = u$. Therefore $\Phi = (q \rightarrow u)$, $\Psi = (p \rightarrow u)$ and

$$\Phi\Psi = (z \rightarrow p)(p \rightarrow u) = (z \rightarrow u) = (z \rightarrow q)(q \rightarrow u) = \Psi\Phi.$$

It now follows readily from a wellknown result of Pontrjagin, see [4, p. 170], that the space is a finite dimensional Minkowski space. A simple proof which does not use the theory of topological groups is found in [7, pp. 229—231].

REFERENCES

- [1] *H. Busemann*, Local metric geometry, *Trans. Am. Math. Soc.* 56 (1944) pp. 200—274.
- [2] *H. Busemann*, Spaces with non-positive curvature, *Acta Math.* 80 (1948) pp. 259—310.
- [3] *K. Menger*, Untersuchungen über allgemeine Metrik I, II, III, *Math. Ann.* 100 (1928), pp. 75—163.
- [4] *L. Pontrjagin*, Topological groups, Princeton 1939
- [5] *D. Montgomery and L. Zippin*, Topological transformation groups, *Ann. Math.* 41 (1940) pp. 778—791.
- [6] *E. Hopf*, Closed surfaces without conjugate points, *Proc. Nat. Acad. Sci. U. S. A.* 34 (1948) pp. 47—51.
- [7] *H. Busemann*, Metric methods in Finsler spaces and in the foundations of geometry, *Am. Math. Study No 8*, Princeton 1942.
- [8] *H. Seifert und W. Threlfall*, *Lehrbuch der Topologie*, Leipzig 1934.

(Received October 31, 1953.)