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# Actions of $R^{\boldsymbol{n}}$ on manifolds 

by Harold Rosenberg

We shall be concerned with smooth manifolds $V^{n}$, compact and without boundary, and actions of $R^{n-1}$ on $V$ all of whose orbits are $n-1$ dimensional. The rank of $V$ is the largest $k$ such that there is an action of $R^{k}$ on $V$ with $k$ dimensional orbits; this is the same as the maximal number of linearly independent vector fields on $V$ which pairwise commute. Elon Lima has proved the rank of $S^{3}$ is one [1], and the author proved the rank of $S^{2} \times S^{1}$ is one [4]. One of our results is a generalization of Lima's theorem: the rank of a simply connected closed $n$ manifold is less than $n-1$. Unfortunately, the author knows of no $n$-dimensional sphere whose rank is greater than one.

We also consider $M \times S^{1}$ where $M$ is a closed two-dimensional manifold of genus greater than one. Our results are not complete; we do not know the rank of this space. We do prove, however, that if there is a locally free action of $R^{2}$ on $M \times S^{1}$, then it must have a torus orbit, embedded in a nontrivial way. ${ }^{1}$ )

## Definitions and Notation

An action $\Phi$ of a Lie Group $G$ on $V$ is a differentiable map $\Phi: G \times V \rightarrow V$ such that (i) $\Phi(g h, x)=\Phi(g, \Phi(h, x))$ for all $g, h \in G$ and $x \in V$, and (ii) $\Phi(e, x)=x$ for $x \in V, e$ the identity of $G$. Given $x \in V$, the isotropy subgroup of $x$ is $H_{x}=\left\{g \in G / \Phi_{g}(x)=x\right\}$, it is a closed subgroup of $V$. The orbit or leaf of $x$ is $\left\{\Phi_{g}(x) / g \in G\right\}$. The action $\Phi$ induces a $1-1$ continuous map of $G / H_{x}$ onto $L_{x}$, the orbit of $x$.

If $X_{1}, \ldots, X_{k}$ are vector fields on $V$, we say they pairwise commute if $\left[X_{i}, X_{j}\right] \equiv 0$ for all $i$ and $j$. Let $V$ be a closed manifold and $\xi^{1}, \ldots, \xi^{k}$ the integral curves of $X_{1}, \ldots, X_{k}$ respectively. We know $\left[X_{i}, X_{j}\right] \equiv 0$ is equivalent to $\xi_{s}^{i} \xi_{t}^{j}=\xi_{t}^{j} \xi_{s}^{i}$ for all real numbers $s$ and $t$.

When $G=R^{K}$, an action of $G$ on $V$ is equivalent to $K$ commuting vector field (we assume $V$ is closed); the relation is

$$
\Phi(t, x)=\left(\xi_{t_{1}}^{1} \circ \xi_{t_{2}}^{2} \circ \ldots \circ \xi_{t_{k}}^{k}\right)(x), \quad t=\left(t_{1}, \ldots, t_{k}\right) \in R^{k}
$$

We call $\Phi$ a locally free action if all the orbits are $K$-dimensional.
Suppose $n=3$ and $k=2$. The orbits of $x$ are classified by their isotropy subgroups $H_{x}$ and we have the following possibilities. If the dimension of $H_{x}$ is two, then $H_{x}=R^{2}$ and $L_{x}=X$. When $H_{x}$ has dimension one we have $H_{x}=L+n v, L$ a line through the origin and $v \in R^{2}, n=0, \pm 1, \pm 2, \ldots L_{x}$ is then a line or circle (i.e., $1-1$ continuous

[^0]image of) depending on the direction of $v$. The case dimension $H_{x}=0$ gives three possible orbits. When $H_{x}=Z_{u}, Z$ the group of integers, $u \in R^{2}$, we have $L_{x}=R^{2}$ or a cylinder depending on whether $u=0$ or $u \neq 0$. If $H_{x}=Z u+Z v$ with $u$ and $v$ independent, then $H_{x}$ is a torus.

## 1. The Existence of Compact Leaves

Theorem 1.1. (Reeb [2]). Let $V$ be a closed Riemannian manifold and $\omega$ a closed one form on $V$ satisfying $\|\omega\|=1$. Let $F$ be the foliation of $V$ defined by $\omega=0$. Then the leaves of $F$ are homeomorphic and if $L$ is one leaf, there is a covering map $p: R \times L \rightarrow V$.

Proof. Since $\|\omega\|=1$, the foliation is oriented, and we may choose a unit vector field on $V$ orthogonal to the foliation.

The orthogonal trajectories to a leaf $F$ are geodesics [3]. Let $\Psi_{s}(x)$ be a parametrization by arc length of the orthogonal trajectory through $x$. For each $x$, there is a neighborhood $U$ of $x$, where we may define a smooth function $s(y)$ by $s(y)=$ the distance of the point $y$ from the leaf containing $x$. Our assumptions imply $\omega=d s$ locally.

If $L$ is a leaf of $F$ and $s$ a real number, $\omega$ vanishes on $\Psi_{s}(L)$. Thus $\Psi_{s}$ carries leaves into leaves. The set $\left\{\Psi_{s}(L) \mid s \in R\right\}$ is open and closed in $V$, hence all of $V$. This proves the first assertion.

Let $x_{0} \in V$, and $H$ be the subgroup of $\pi_{1}\left(V, x_{0}\right)$ of homotopy classes representable by closed curves $h$ at $x_{0}$ such that

$$
\int_{h} \omega=0
$$

Here we use the hypothesis $d \omega=0$.
Let $W$ be the connected covering space of $V$ over $H$. On $W$ we have the one form $\omega^{*}=p^{*} \omega$ and a foliation $F_{0}$ defined by $\omega^{*}=0$. $W$ inherits a Riemannian metric such that $\left\|\omega^{*}\right\|=1 ; \omega^{*}$ is never zero, and $d \omega^{*}=0$.

Let $a$ be a closed curve in $W$ based at some point in $p^{-1}\left(x_{0}\right)$. Since

$$
\int_{a} \omega^{*}=\int_{p a} \omega
$$

and $p a$ represents an element of $H$, we have

$$
\int_{a} \omega^{*}=0
$$

It follows easily that the integral of $\omega^{*}$ about any closed curve in $W$ is zero. Thus $\omega^{*}=d f$ for some smooth function $f$ on $W$. The level surfaces of $f$ are precisely the leaves of $F_{0}$. Each orthogonal trajectory to $F_{0}$ is an embedding of $R$ in $W$ and each
leaf meets an orthogonal trajectory in precisely one point. Hence $W$ is homeomorphic to $R \times L_{0}$, where $L_{0} \in F_{0}$, and for each $t, t \times L_{0}$ corresponds to a leaf of $F_{0}$.

We observe that $L_{0}$ is homeomorphic to $p\left(L_{0}\right)=L$, a leaf of $F$. There is a map $L \rightarrow L_{0}$ defined as follows: fix $x_{0} \in L$ and $\bar{x} \in L_{0}$ such that $p x=x_{0}$. For $x \in L$, let $h$ be a path in $L$ from $x_{0}$ to $x$. Lift $h$ to a path $a$ in $L_{0}$ starting at $\bar{x}$. We map $L \rightarrow L_{0}$ by sending $x$ to $a(1)$, the endpoint of $a$. This map does not depend on the path $h$, since closed paths in $L$ lift to closed paths in $L_{0}$. Thus $V$ may be covered by $R \times L$.

Theorem 1.2 (Sacksteder [5]). Let $\Phi$ be a locally free action of $R^{n-1}$ on a closed $n$ manifold $V$, such that no orbit is compact. There is a Riemannian metric on $V$ and $a$ closed non-vanishing one form $\omega$ of norm one, such that the foliation defined by $\omega=0$ is the same as the foliation defined by $\Phi$. This foliation admits a simple closed curve as an orthogonal trajectory.

Corollary 1.3: Let $V$ be a closed $n$ manifold with non-Abelian fundamental group. Then each locally free action of $R^{n-1}$ on $V$ has a non-simply connected leaf.

Proof. Suppose the orbits of $\Phi$ are simply connected. Then theorems 1.1 and 1.2 imply $V$ is covered by $R^{n}$ and $H=\left\{[a] \in \pi_{1}(V) \mid \int_{a} \omega=0\right\}$ is isomorphic to $\pi_{1}\left(R^{n}\right)$ hence trivial. But $H$ contains the commutator subgroup of $V$, hence $\pi_{1}(V)$ is abelian.

Corollary 1.4: Let $\Phi$ be a locally free action of $R^{2}$ on $M \times S^{1}$ where $M$ is a closed 2-dimensional manifold of genus greater than one. Then $\Phi$ has a compact orbit (a torus).

Proof. Since $\pi_{1}\left(M \times S^{1}\right)$ is not abelian we know all the orbits of $\Phi$ cannot be $R^{2}$. If $\Phi$ has no compact orbit, all of the orbits are the one to one continuous image of $R \times S^{1}$, and each orbit is dense in $M \times S^{1}$. Let $X$ and $Y$ be linearly independent commuting vector fields on $M \times S^{1}$ such that $X$ and $Y$ span the orbits of $\Phi$. Let $x_{0} \in V=M \times S^{1}$. The isotropy subgroup of $R^{2}$ at $x_{0}$ is a discrete group on one generator; hence, we may find real numbers $a, b, c, d$ such that the vector fields $X^{\prime}=a X+b Y$, $Y^{\prime}=c X+d Y$ are linearly independent and the $X^{\prime}$ orbit through $x_{0}$ is a simple closed curve $\gamma$. Let $\xi t$ and $\eta_{\tau}$ be the integral curves of $X^{\prime}$ and $Y^{\prime}$. Because $X^{\prime}$ and $Y^{\prime}$ commute, we have $\xi_{t} \eta_{\tau}=\eta_{\tau} \xi_{t}$ for all $t$ and $\tau$. Thus $\eta_{\tau}(\gamma)$ is also a simple closed curve for all $\tau$. Since the $\Phi$ orbit of $x_{0}$ is dense in $V$, it follows from continuity that all the integral curves of $X^{\prime}$ are simple closed curves. Moreover, the foliation of $V$ induced by $\Phi$ may be assumed oriented which implies the integral curves of $X^{\prime}$ have the same period. Consider the quotient space $Y$ of $V$ obtained by identifying each integral curve of $X^{\prime}$ to a point. $Y$ is a closed two-dimensional orientable manifold. By choosing a nonzero normal vector field to the orbits of $\Phi$ we obtain a non-zero vector field on $Y$; hence $Y$ must be a two-dimensional torus. But this means $M \times S^{1}$ is a circle bundle over a two torus which is easily seen to be a contradiction. Simply consider the homotopy exact sequence of this fibre bundle. Thus some orbit of $\Phi$ is compact.

Theorem 1.4. Let $\Phi$ be a locally free action of $R^{n-1}$ on a closed $n$ manifold $V$ and assume $\Phi$ has no compact orbits. There is a covering map $p: R^{n-1} \times S^{1} \rightarrow V$.

Proof. We may apply 1.2 to obtain a metric on $V$ and closed non-vanishing one form $\omega$ of norm one which defines the foliation induced by $\Phi$. Let $j: I \rightarrow V$ be a parametrization by arc length of the closed orthogonal trajectory through $x_{0}$; i.e., $j(0)=j(1)=x_{0}, j\left(t_{1}\right) \neq j\left(t_{2}\right)$ if $t_{1} \neq t_{2}, 0<t_{1}, t_{2}<1$ and $j(I)$ is orthogonal to $\Phi$. It is no loss of generality to assume this orbit has length one.

Let $L$ be the $\Phi$ orbit of $x_{0}$. By 1.1 we know $V$ is covered by $R \times L$. If $L$ is not simply connected, then $L=R^{n-i} \times T^{i-1}$ where $T^{i-1}$ is the $i-1$ dimensional torus and $i>1$. In this case $R \times L$ is covered by $R^{n-1} \times S^{1}$. So we may assume $L$ is the one to one continuous image of $R^{n-1}$ which implies each orbit of $\Phi$ is of the same type. We state in [4] that these assumptions imply $V$ is covered by $R^{n-1} \times S^{1}$. Since this was stated without proof, we give the proof here.

Let $H$ be the subgroup of $\pi_{1}\left(V, x_{0}\right)$ generated by the homotopy class of $j$. Let $W$ be the connected covering space of $V$ over $H$ with covering map $p$. We will prove $W$ is homeomorphic to $R^{n-1} \times S^{1}$.

We may think of $W$ as the quotient space of the space of paths $h: I \rightarrow V$ starting at $x_{0}$ where $h_{1}$ is identified with $h_{2}$ if $h_{1}(1)=h_{2}(1)$ and $h_{1} h_{2}^{-1}$ represents an element of $H$. Parametrize $j$ by arc length so that the distance of $j(t)$ to $x_{0}$ is $t$.

Define a path $h(\tau)$ at $x_{0}$ by $h(\tau)(t)=j(t \tau), 0 \leq \tau \leq 1$. Let $U(\tau)=(h(\tau))=$ equivalence class of $h(\tau)$ in $W$. We have $U(0)=U(1)$ since $h(1)=j, h(0)=C_{x_{0}}=$ constant path at $x_{0}$, and $h(1) h(0)^{-1}=j$ represents an element of $H$. Also $U\left(\tau_{1}\right) \neq U\left(\tau_{2}\right)$ for $\tau_{1} \neq \tau_{2}$, $0<\tau_{2}, \tau_{2}<1$, since $h\left(\tau_{1}\right) \neq h\left(\tau_{2}\right)$. Hence $U$ is a simple closed curve in $W$ such that $p U=\mathrm{j}$.

Let $\Phi_{0}$ be a lifting of the action $\Phi$ to an action on $W$; that is, $p \Phi_{0}=\Phi(1 \times p)$, $1=$ the identity map of $R^{n-1}$. The orbits of $\Phi_{0}$ cover the orbits of $\Phi$ hence they are also the one to one continuous image of $R^{n-1}$. To complete the proof we will show each orbit of $\Phi_{0}$ intersects the image of $U$ is pre precisely one point.

Suppose some orbits $A$ of $\Phi_{0}$ meets $U$ in two points $\left(h\left(\tau_{1}\right)\right)$ and $\left(h\left(\tau_{2}\right)\right)$. Let $\mu: I \rightarrow A$ be a path joining $\left(h\left(\tau_{1}\right)\right)$ to $\left(h\left(\tau_{2}\right)\right) ; p \mu=\beta$ is a path from $j\left(\tau_{1}\right)$ to $j\left(\tau_{2}\right)$ contained in the orbit $p A$.
For $0 \leq \tau \leq 1$, define $\eta(\tau): I \rightarrow V$ by

$$
\eta(\tau)(t)=\left\{\begin{array}{l}
j\left(2 t \tau_{1}\right), t \leq \frac{1}{2} \\
\beta(\tau(2 t-1)), t \geq \frac{1}{2}
\end{array}\right.
$$

Then $\eta(0)=h\left(\tau_{1}\right) \circ C_{j\left(\tau_{1}\right)}, \eta(1)=h\left(\tau_{1}\right) \circ \beta$ so that $\eta h\left(\tau_{1}\right)^{-1}$ is homotopic to $C_{x_{0}}$. Let $f$ be the path in $W, f(\tau)=(\eta(\tau))$. We have $p f(\tau)=\eta(\tau)(1)=\beta(\tau)$ and $f(0)=(\eta(0))=$ $\left(h\left(\tau_{1}\right)\right)$. Since $p \mu=\beta$ and $\mu(0)=\left(h\left(\tau_{1}\right)\right)$, we have $\mu=f$; in particular $\mu(1)=f(1)$, $\left(h\left(\tau_{2}\right)\right)=(\eta(1))=\left(h\left(\tau_{1}\right) \beta\right)$ so that $h\left(\tau_{1}\right) \beta h\left(\tau_{2}\right)^{-1}$ represents an element of $H$. Hence

$$
\int_{h\left(\tau_{1}\right) \beta} w
$$

is an integer multiple of $\int_{j} w$. However,

$$
\int_{h\left(\tau_{1}\right) \beta} w=\int_{h\left(\tau_{2}\right)^{-1}} w-\int_{h\left(\tau_{1}\right)} w+\int_{\beta} w=\tau_{1}-\tau_{2}
$$

i.e., $\int_{\beta} w=0$ since $\beta$ lies in one leaf. Consequently, $\tau_{1}=\tau_{2}$ or $\tau_{1}=1, \tau_{2}=0$. In any case $\left(h\left(\tau_{1}\right)\right)=\left(h\left(\tau_{2}\right)\right)$ and $A$ meets $U$ in at most one point.

Now we will show $A$ meets $U$ in at least one point. Let ( $h$ ) be a point of $A$. We shall construct a map $G: I \times I \rightarrow V$ satisfying: $G(1, t)=h(t), G(0, t)=h(a)(t)$ for some real number $a, G(s, 0)=x_{0}$ and $G(s, 1)$ is in the orbit through $h(1)$ for $0 \leq s \leq 1$. The $\operatorname{map} s \rightarrow(G(s)$,$) is then a path in A$ joining ( $h$ ) to $(h(a))$; where $G(s$,$) means the$ $\operatorname{map} G(s),(t)=G(s, t)$. Since $(h a)$ is a point of $V$ this will complete the proof. Observe that a curve $h$ in $V$ is homotopic to a curve consisting of segments such that each segment is an arc of an orthogonal trajectory or is entirely contained in one leaf. Therefore we may assume there exists numbers $0=t_{0} \leq t_{1} \leq \cdots<t_{k}=1$ such that for each $i$, the arc $h\left[t_{i}, t_{i+1}\right]$ is either a segment of an orthogonal trajectory or is contained in one leaf.

Let $L$ be a leaf of $\Phi$ and $x \in L ; C(t)$ a curve in $L$ starting at $x$. The orthogonal trajectories are infinitely extendable, hence for any positive number $s_{0}$, the orthogonal trajectories of length $s_{0}$ along $C$ define a map $F: I \times\left[0, s_{0}\right] \rightarrow V$ such that for fixed $t$, $F(t, s)$ is an orthogonal trajectory with $F(t, 0)=C(t)$, and $F(t, s)$ is the point a distance $s$ from $C(t)$ along the orthogonal trajectory through $C(t)$. Moreover, the metric on $V$ guarantees the points $F(t, s)$, for fixed $s$, are contained in the leaf through $F(0, s)$.

Now $G$ is defined as follows. We may assume $h\left[t_{0}, t_{1}\right]$ is contained in the leaf $L$ through $x_{0}$, and $h\left[t_{1}, t_{2}\right]$ is an orthogonal arc. Let $C$ be the path $h\left[t_{0}, t_{1}\right]$ and $s_{0}$ the length of $h\left[t_{1}, t_{2}\right]$. Apply the last paragraph to obtain a map $F_{1}: I \times\left[0, s_{0}\right] \rightarrow V$ such that $F_{1}(0, s)=j(s), F_{1}(1, s)=h\left(t_{1}+s\right)$ and $F_{1}\left(t, s_{0}\right)$ is in the orbit through $j\left(s_{0}\right)$ for $0 \leq t \leq 1$. Repeat this construction with $C$ the curve $F_{1}\left(t, s_{0}\right)$ followed by $h\left[t_{2}, t_{3}\right]$. Induction on $k$ yields the desired map $G$. This completes the proof of 1.4.

Corollary 1.5. Let $V$ be a closed $n$ manifold which cannot be covered by $R^{n-1} \times S^{1}$. Then a locally free action of $R^{n-1}$ on $V$ has a compact orbit.

Lemma 1.6. Let $D=\left\{\left(x_{1}, x_{2}, 0, \ldots, 0\right) \in R^{n} \mid x_{1}^{2}+x_{2}^{2} \leq 1\right\}, \quad\left\{e_{1}, \ldots, e_{n-1}\right\}$ the $n-1$ frame on $\partial D$ defined as follows: $e_{1}\left(x_{1}, x_{2}, 0, \ldots, 0\right)=\left(-x_{2}, x_{1}, 0, \cdots, 0\right), e_{2}=(0,0,1,0$, $\ldots, 0), \ldots, e_{n-1}=(0,0, \ldots, 0,1)$. Then $\left\{e_{1}, \ldots, e_{n-1}\right\}$ does not extend to an $n-1$ frame on $D$.

The frame $\left\{e_{1}, \ldots, e_{n-1}\right\}$ represents the nonzero element of $\pi_{1}(S 0(n))$. This is proved in Chevalley's book on Lie Groups.

TheOrem 1.7. Let $V$ be a simply connected closed $n$ manifold. The rank of $V$ is less than $n-1$.

Proof. The case $n=3$ has been proved by Lima [1], and $n=4$ is trivial since a simply connected 4 manifold does not admit a foliation of codimension one; it does not admit a nonzero vector field. So we assume $n \geq 5$.

Let $\Phi$ be a locally free action of $R^{n-1}$ on $V$. According to $1.5, \Phi$ has a torus orbit $T$. Since $V$ is simply connected, $i: T \subset V$, induces the zero homomorphism. Thus there is a simple closed curve $C$ on $T$ which bounds an embedded two-dimensional disk $D$ in $V$ such that $D$ is transverse to $T$, (here we use $n \geq 5$ ). But this contradicts 1.6 , (cf. [1]).

## 2. Locally Free Actions of $R^{2}$ on $M \times S^{1}$

(2.1) Let $D$ be a two-dimensional disk with $k$ contours in the interior of D. Let $V=D \times I$ and $S$ be an embedded sphere in $V$. Then $S$ bounds an embedded ball.

Proof. For $k=0$ this is Schoenflies Theorem. We consider the case $k=1$. Let $C$ be an embedding of $[0,1]$ in $D$ with one endpoint on $\partial D$, the other on the contour, and interior $C \subset$ interior $D$. If $S \cap A \neq \Phi, A=C \times I$, then we may cut $V$ along $A$ to obtain a 3 ball; this is the case $k=0$. Assume then, that $S \cap A \neq \Phi$ and the intersection is transverse. This is no loss of generality since $S$ may be approximated by an embedded sphere which is transverse to $A$ and then there is a diffeomorphism of $V$ sending one sphere onto the other. Let $a_{1} \ldots, a_{k}$ be the simple closed curves in $S \cap A$. Choose $a_{j}$ so that $a_{j}$ bounds a disk $E$ on $S$ and $E$ contains no $a_{i}$ in its interior. $A$ is homeomorphic to $I \times I$ so $a_{j}$ bounds a disk $F$ on $A$. Consider the sphere $E \cup F$. For our purposes this sphere is disjoint from $A$, i.e., $E \cup F$ bounds a ball $B$ in $V$. Now by an isotopy of $B$ across $A$ we obtain a sphere $S_{0}$ which intersects $A$ in the curves $a_{1} \cup \ldots \cup$ $\hat{a}_{j} \cup \ldots \cup a_{k}$ (cf. [4] for details). Continuing we see $S$ is isotopic to a sphere which does not intersect $A$, hence bounds a ball. The general case is just as easy.

Suppose there are $k$ contours with $k>1$. Let $C$ be an embedding of $I$ in $D$ with both endpoints on distinct contours and interior $C \subset$ interior $D$. If $S \cap A=\Phi, A=C \times I$, then by cutting $V$ along $A$ we reduce the problem to $k-1$ contours. Otherwise we take the intersection to be transverse and displace $S$ off $A$ as above.
(2.2) Let $M$ be a closed two-dimensional orientable manifold of connectivity $h>1$. Let $S$ be a sphere embedded in $M \times S^{1}$. Then $S$ bounds an embedded ball in $M \times S^{1}$.

Proof. Let $a_{1}, \ldots, a_{k}, k=(h+1) / 2$ be simple closed curves on $M$ as indicated in figure 1.


Fig. 1

Denote by $A_{i}=a_{i} \times S^{1}$, and $A=A_{1} \cup \cdots \cup A_{k}$. $A$ separates $V$ into two connected components $E_{1}$ and $E_{2} ; E_{1}=W_{1} \times S_{1}, E_{2}=W_{2} \times S_{1}$, where $W_{1}, W_{2}$ are the connected components of $M-\left(a_{1} \cup \ldots \cup a_{k}\right) . W_{1}$ and $W_{2}$ are disks with $k-1$ contours. We may think of $M \times S^{1}$ as the quotient space of $M \times I$ where $(x, 0)$ is identified with $(x, 1)$, and we identify $M$ with $M \times 0 \in M \times S^{1}$.

Suppose $S$ is embedded in $V$ so that $S$ is disjoint from $M$. If $S$ is also disjoint from $A$ then $S$ is contained in $E_{1}$ or $E_{2}$. Assume $S \subset E_{1}$. We have $E_{1}=W_{1} \times I$ where $W_{1} \times 0$ is identified with $W_{1} \times 1$. Since $S \cap M=\Phi, S$ is really contained in a subspace of $V$ homeomorphic to $W_{1} \times I$ and by (2.1), $S$ bounds a ball in this subspace, hence in $V$. Otherwise we may assume $S$ meets $A$ transversally. Let $b$ be a simple closed curve in $S \cap A$ such that $b$ bounds a disk $E$ on $S$ whose interior is disjoint from $A$. Since $S \cap M=\Phi, b$ bounds a disk $F$ contained in $a_{i} \times I$ for some $i$. Then $F \cup E$ is a spheric contained in $W_{1} \times I$ or $W_{2} \times I$ hence $F \cup E$ bounds a ball. Now by displacing $E$ across this ball we see that $S$ is isotopic to a sphere having one less circle of intersection with $A$. Continuing in this way, we obtain a sphere isotopic to $S$ whose intersection with $A \cap M$ is void hence this sphere bounds a ball and $S$ also bounds a ball.

It remains to consider the case $S \cap M \neq \Phi$. Let $S$ meet $M$ transversally, and $b$ be a simple closed curve in $S \cap M$ which bounds a disk $E$ on $S$ whose interior is disjoint from $M$. Since the inclusion of $M$ in $V$ induces a monomorphism of $\pi_{1}(M)$ into $\pi_{1}(V), b$ must be null homotopic on $M$ hence $b$ bounds a disk $F$ on $M$. The sphere $E \cup F$ is (for all practical purposes) disjoint from $M$ hence bounds a ball in $V$. Then $S$ may be displaced in $V$ to a sphere having one less intersection curve with $M$ and iterating the process removes $S$ from $M$ entirely. This completes the proof of 2.2 .
(2.3) Let $T$ be a torus embedded in the interior of $M \times I$ where $M$ is a closed orientable two-dimensional manifold of genus greater than one. Then $T$ separates $M \times I$ into two connected components. Moreover $M \times 0$ and $M \times I$ are contained in the same connected component.

Proof. Let $i$ be the inclusion map of $T$ into $M \times I$. The map $i_{*}: H_{2}(T) \rightarrow H_{2}(M \times I)$ is zero since $M \times I$ may be retracted onto $M \times 0=M$, and $M$ has genus greater than one so any map of $T$ to $M$ has degree zero. We must compute $H_{0}(M \times I-T)$ (all homology and cohomology groups are with $Z_{2}$ coefficients). By Lefshetz Duality $H_{0}(M \times I-T)$ is isomorphic to $H^{3}(M \times I ; T)$. Consider the exact sequence in cohomology:

$$
H^{2}(M \times I) \rightarrow H^{2}(T) \rightarrow H^{3}(M \times I ; T) \rightarrow H^{3}(M \times I) \rightarrow H^{3}(T)
$$

The first map is zero since it is the transpose of $i_{\boldsymbol{*}}$ and the last group is zero. The second and foürth groups are $Z_{2}$, hence $H^{3}(M \times I ; T)=Z_{2}+Z_{2}$. This proves the first part of 2.3.

Now we will prove $M \times 0$ and $M \times 1$ are in the same component. Let $a_{1}$ and $a_{2}$ be simple closed curves on $M \times 0$, as in 2.2.

Let $T$ intersect $a_{1} \times I$ and $a_{2} \times I$ transversally. If $T$ is disjoint from $a_{1} \times I$ or $a_{2} \times I$ then we may find a curve from $M \times 0$ to $M \times 1$ not meeting $T$. Assume then that $T \cap\left(a_{1} \times I\right)=b_{1} \cup \ldots \cup b_{k}, T \cap\left(a_{2} \times I\right)=c_{1} \cup \ldots \cup c_{l}$, where the $b_{i}$ 's and $c_{j}$ 's are pairwise disjoint simple closed curves.

If each $b_{i}$, or each $c_{j}$, is null homotopic in $M \times I$, then we can join $a_{1} \times 0$ to $a_{1} \times 1$ (or $a_{2} \times 0$ to $a_{2} \times 1$ ) by arcs in $a_{1} \times I-T$ (or $a_{2} \times I-T$ ). So we may suppose there is a $b_{i}$ and $c_{j}$ such that $b_{i}$ and $c_{j}$ are not homotopically trivial. Clearly $b_{i}$ is homotopic to $a_{1}$ and $c_{j}$ to $a_{2}$. Now $b_{i}$ and $c_{j}$ are disjoint simple closed curves on the torus $T$ and both represent generators of $\pi_{1}(T)$, hence $b_{i}$ and $c_{j}$ are the boundary circles of a cylinder on $T$. This implies $a_{1}$ is homotopic to $a_{2}$ in $M$ which is a contradiction. Thus $M \times 0$ and $M \times 1$ are in the same connected component of $M \times I-T$.
(2.4) Let $T$ be a torus embedded in $M \times S^{1}$ where $M$ is a closed orientable two manifold of genus greater than one. If $T \cap\left(M \times x_{0}\right)=\Phi$ for some $x_{0} \in S^{1}$, then $T$ separates $M \times S^{1}$ into two connected components $A$ and $B$. If $h$ and $g$ are the inclusion maps of $T$ into $A$ and $B$ respectively, then $h_{*}: \pi_{1}(T) \rightarrow \pi_{1}(A)$ or $g_{*}: \pi_{1}(T) \rightarrow \pi_{1}(B)$ has a nonzero kernel.

It remains to establish the latter assertion of 2.4. First we need an algebraic fact whose proof may be found in Kurosh, volume two.
(2.5) Let $G_{1}, G_{2}$ and $H$ be groups such that there are subgroups $H_{1}$ and $H_{2}$ of $G_{1}, G_{2}$ respectively each isomorphic to $H$. Denote by $G_{1}{ }^{*} H G_{2}$ the free product of $G_{1}$ and $G_{2}$, with $H$ amalgamated. Every element of $G_{1}{ }^{*} H G_{2}$ can be written uniquely in the form

$$
h \bar{a}_{1} \bar{a}_{2} \ldots \bar{a}_{n}
$$

where $h \in H, n \geq 0, \overline{a_{i}}$ is a coset representative, other than the unit element, of a right coset of $H_{i}$ in $G_{i}, i=1,2$, and adjacent representatives $\overline{a_{i}}, \overline{a_{i+1}}, i=1, \ldots, n-1$, lie in distinct $G_{i}$ 's.

From this it follows easily that the center of $G_{1}{ }^{*} H G_{2}$ is contained in $H$.
Proof of 2.4. Suppose that $h_{*}$ and $g_{*}$ are both monomorphisms. Let $G_{1}=\pi_{1}(A)$, $H_{1}=h_{*} \pi_{1}(T), G_{2}=\pi_{1}(B), H_{2}=g_{*} \pi_{1}(T)$ and $H=\pi_{1}(T)$. According to Van Kampen's Theorem and (2.3) we have

$$
\pi_{1}\left(M \times S^{1}\right)=G_{1}^{*} H G_{2}
$$

Since $\pi_{1}\left(S^{1}\right)$ is contained in the center of $\pi_{1}\left(M \times S^{1}\right)$ and the center of $G_{1}{ }^{*} H G_{2}$ is contained in $H$, we have $\pi_{1}\left(S^{1}\right)$ contained in $\pi_{1}(T)$. But $T$ is disjoint from $M \times x_{0}$ for some $x_{0} \in S^{1}$, hence no curve on $T$ can represent a generator of $\pi_{1}\left(S^{1}\right)$. Thus $h_{*}$ or $g_{*}$ is not a monomorphism.
(3.1) Let $\Phi$ be a locally free action of $R^{2}$ on $M \times S^{1}$ with $M$ a closed two manifold
of genus greater than one. Then $\Phi$ has a compact orbit, and each compact orbit of $\Phi$ intersects $M$.

This follows immediately from 1.4, 2.4 and [1].
(3.2) If $T$ is a compact orbit of $\Phi$, then $T \cap M$ contains a curve which is a generator of $\pi_{1}(T)$.

Proof. Assume $T$ is transverse to $M$ and each curve in $T \cap M$ is trivial in $\pi_{1}(T)$. Let $b$ be such a curve. Then $b$ bounds a disk $E$ on $T$, hence also bounds a disk $F$ on $M$ and the sphere $E \cup F$ bounds a ball in $M \times S^{1}$ by 2.2. Thus the intersection curve $b$ may be removed from $m$ by an isotopy of $M \times S^{1}$ and all intersection curves may be so removed. This gives rise to a new action which is locally free and has a compact orbit disjoint from $M$. But 3.1. contradicts this.

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[^0]:    ${ }^{1}$ ) Conversations with Elon Lima and Andre Haefligerwere very useful in the preparation of this paper.

