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# Homological Methods in Group Varieties

URS STAMMBACH<sup>1)</sup>

## 1. Introduction

In papers by Stallings [9] and the author [10], [11] the homology theory of groups has been applied to central series, and meaningful group theoretical results have been obtained. In the present paper we show how similar homological methods can be used to obtain interesting results in arbitrary varieties of groups. It is not surprising that the methods are most successful if applied to problems involving central series.

It is the nature of a paper introducing new methods to repeat many well known results. However the approach presented here leads to an interestingly unifying point of view. Also, it is possible to simplify the proofs of many well known results.

Given a variety  $\mathfrak{B}$ , we first define a functor  $S_0$  – from  $\mathfrak{B}$  to abelian groups. This functor is defined in terms of the integral second homology group functor  $H_2(-, \mathbf{Z})$ . We then prove that a surjective group homomorphism  $G \rightarrow Q$  in  $\mathfrak{B}$ , with kernel  $N$ , gives rise to an exact sequence

$$(*) \quad S_0 G \rightarrow S_0 Q \rightarrow N/[G, N] \rightarrow G_{ab} \rightarrow Q_{ab} \rightarrow 0.$$

Here  $[G, N]$  denotes the (normal) subgroup generated by all elements of the form  $gng^{-1}n^{-1}$  with  $g \in G$  and  $n \in N$ .  $G_{ab}$ ,  $Q_{ab}$  denote the abelianized groups.

We apply this sequence to obtain Theorem 4.1, which is a generalization of a result due to Stallings [9]:

*Let  $\varphi: G \rightarrow H$  be a homomorphism of groups in the variety  $\mathfrak{B}$ . Suppose that  $\varphi$  induces an isomorphism  $G_{ab} \cong H_{ab}$  and an epimorphism  $S_0 G \rightarrow S_0 H$ . Then, for every  $n \geq 0$ ,  $\varphi$  induces an isomorphism  $\varphi_n: G/G_n \cong H/H_n$ ; where  $\{G_n\}$ ,  $\{H_n\}$  denote the lower central series.*

As applications of this theorem we obtain the following results:

a) Corollary 4.1.3 gives a homological characterization of the finitely generated free groups in nilpotent varieties of exponent 0 (i.e. in nilpotent varieties containing all abelian groups).

b) In Chapter 5 we reprove practically all of the known results which give sufficient conditions for a subgroup of a  $\mathfrak{B}$ -free group to be  $\mathfrak{B}$ -free. Our approach results in a substantial simplification of the proofs.

c) In Chapter 6 P. Hall's results on splitting groups in nilpotent varieties are shown to be immediate corollaries of our results in Chapter 4.

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d) Chapter 7 is devoted to the notion of deficiency and efficiency in varieties of exponent 0, in particular in the variety of all groups. The *deficiency*,  $\text{def } G$  of a finitely presentable group  $G$  in the variety  $\mathfrak{B}$  is defined as the maximum of (number of generators – number of relators) for the finite presentations of  $G$  in  $\mathfrak{B}$ . If  $sM$ , for an abelian group  $M$ , denotes the minimum number of generators of  $M$ , then our result is

$$(**) \quad \text{def } G \leq \text{rank } G_{ab} - s(S_0 G).$$

A group in  $\mathfrak{B}$  is called (*V*-)efficient if (\*\*) is an equality. We prove (Theorem 7.3):

*To a group  $G$  in  $\mathfrak{B}$  there is an efficient group  $H$  in  $\mathfrak{B}$  and a surjective homomorphism  $\varphi: H \rightarrow G$  which induces isomorphisms  $\varphi_n: H/H_n \cong G/G_n$  for all  $n \geq 0$ .  $\{H_n\}$ ,  $\{G_n\}$  denote the lower central series.*

As Corollary 7.3.1 we obtain the following result, giving a partial answer to a question of Knopfmacher [3]: *In a nilpotent variety (of exponent 0) every group is efficient.* As Corollary 7.3.2 we reprove part of Chen's result in [1].

e) In Chapter 8 we generalize a result by Magnus [5] to arbitrary varieties of exponent 0 (Theorem 8.1):

*To a group  $G$  in  $\mathfrak{B}$  with  $n+r$  generators and  $r$  relators, whose abelianized group  $G_{ab}$  can be generated by  $n$  elements, there exists a  $\mathfrak{B}$ -free group  $F$  with  $F/F_k \cong G/G_k$  for all  $k \geq 0$ .*

We conclude this introduction with the following remark: In the formulation of many of the results of this paper, the functor  $S_p$  – is not needed. This functor and hence ultimately the homology theory of groups appears here as a tool yielding purely group theoretical results.

## 2. Notation

By  $\mathbf{Z}$  or  $\mathbf{Z}_0$  we denote the additive group of the integers, by  $\mathbf{Z}_p$  ( $p$  a prime) the additive group of the integers mod  $p$ .

In what follows let  $p$  be either a prime or  $p=0$ .

If  $U$  is a subgroup of the group  $G$ , we use the symbol  $G \#_p U$  to denote the subgroup of  $G$  generated by all elements of the form  $gug^{-1}u^{-1}v^p$  for  $g \in G$  and  $u, v \in U$ . For convenience we shall sometimes write  $[G, U]$  instead of  $G \#_0 U$ . To every group  $G$  and every  $p$  we define recursively a series of (normal) subgroups of  $G$  by

$$G_0^{(p)} = G; \quad G_n^{(p)} = G \#_p G_{n-1}^{(p)}.$$

For  $p=0$  this is the lower central series; for  $p$  a prime we obtain the most rapidly descending central series whose successive quotients  $G_n^{(p)}/G_{n+1}^{(p)}$  are vector spaces over the field of  $p$  elements.

We shall use  $G_\omega^{(p)}$  to denote the intersection of the  $G_n^{(p)}$  for all  $n \geq 0$ . It is trivial that

$G$  is residually nilpotent if and only if  $G_\omega^{(0)} = \{e\}$ . Also it is easy to see that  $G_\omega^{(p)} = \{e\}$  if and only if  $G$  is residually a finite  $p$ -group.

For convenience we shall write  $A_p G$  for  $G/G \#_p G$ , such that  $A_0 G = G_{ab}$ . Note that  $A_p -$  defines a functor from the category (variety) of groups to the category (variety) of abelian groups.

For any group  $G$ , denote by  $H_k(G, \mathbb{Z}_p)$  the  $k$ -th homology group of  $G$  with coefficient group  $\mathbb{Z}_p$ . See [4] for a definition.

Hanna Neumann's book [6] will serve as a "universal" reference for group varieties.

Throughout the paper we shall use the notion of a *presentation*: Is  $G$  a group in the variety  $\mathfrak{B}$ , then  $G$  may be given as a quotient of a  $\mathfrak{B}$ -free group  $F$ , i.e.,  $G \cong F/R$  for some normal subgroup  $R$ . A set of elements  $x_\alpha$  in  $F$ ,  $\mathfrak{B}$ -freely generating  $F$ , together with a set of elements  $y_\beta$  in  $R$ , generating  $R$  as a normal subgroup in  $F$ , is a  $\mathfrak{B}$ -presentation of  $G$ . The  $x_\alpha$ 's are called *generators*, the  $y_\beta$ 's *relators*. If there exists a presentation of  $G$  such that the set of the  $x_\alpha$ 's as well as the set of the  $y_\beta$ 's are finite, then  $G$  is *finitely presentable* in  $\mathfrak{B}$  and the corresponding presentation is called *finite*.

### 3. An Exact Sequence

Let  $\mathfrak{B}$  be a fixed variety. For any  $p$  ( $p$  prime or  $p=0$ ) we define the functor  $S_p -$  from the variety  $\mathfrak{B}$  into the category  $\mathfrak{Ab}$  of abelian groups.

DEFINITION: For a group  $G$  in  $\mathfrak{B}$  choose a  $\mathfrak{B}$ -free presentation, i.e. a surjective homomorphism  $\pi: F \rightarrow G$  with  $F$   $\mathfrak{B}$ -free. Then define  $S_p G = \text{coker}(\pi_*: H_2(F, \mathbb{Z}_p) \rightarrow H_2(G, \mathbb{Z}_p))$ . The effect of  $S_p -$  on homomorphisms is obvious.

Of course we have to show that  $S_p G$  does not depend on the choice of the presentation  $\pi: F \rightarrow G$ . Let  $\pi': F' \rightarrow G$  be another presentation. Then there exist  $f, f'$  such that the triangle

$$\begin{array}{ccc} F & \xrightleftharpoons{f} & F' \\ & \searrow f' \swarrow & \\ & G & \end{array}$$

commutes. It follows that

$$\begin{array}{ccc} H_2(F, \mathbb{Z}_p) & \xrightleftharpoons[f_*]{f_*} & H_2(F', \mathbb{Z}_p) \\ & \searrow \pi_* \swarrow \pi'_* & \\ & H_2(G, \mathbb{Z}_p) & \end{array}$$

is commutative, whence  $\text{coker } \pi_* = \text{coker } \pi'_*$ .

We remark that for the variety of all groups  $S_p G = H_2(G, \mathbb{Z}_p)$ . Also, we note the trivial result:



**PROPOSITION 3.1.** *For any  $\mathfrak{B}$ -free group  $F$ ,  $S_p F = 0$ .*

For the reader familiar with categorical homology theory we remark that  $S_p G$  is the first homology group of  $G$  with coefficients in the functor  $A_p -$  relative to the  $\mathfrak{B}$ -free group cotriple. See [7].

**THEOREM 3.2.** *Let  $\varphi: G \rightarrow Q$  be a surjective homomorphism in  $\mathfrak{B}$ , with kernel  $N$ . Then there is an exact sequence*

$$(*) \quad S_p G \xrightarrow{\varphi^*} S_p Q \rightarrow N/G \#_p N \rightarrow A_p G \xrightarrow{\varphi^*} A_p Q \rightarrow 0.$$

*Proof:* We recall the five term exact sequence for homology in low dimensions

$$H_2(G, \mathbb{Z}_p) \rightarrow H_2(Q, \mathbb{Z}_p) \rightarrow N_{ab} \otimes_Q \mathbb{Z}_p \rightarrow H_1(G, \mathbb{Z}_p) \rightarrow H_1(Q, \mathbb{Z}_p) \rightarrow 0.$$

See [9], [10]; or [13] for a simple and elementary proof. It is well known that  $H_1(G, \mathbb{Z}_p) \cong A_p G$ , and it is easy to see that  $N_{ab} \otimes_Q \mathbb{Z}_p \cong N/G \#_p N$ . Choose a presentation  $\pi: F \rightarrow G$  of  $G$  and take the induced presentation  $\varphi\pi: F \rightarrow Q$  for  $Q$ . We obtain the diagram

$$\begin{array}{ccc} H_2(F, \mathbb{Z}_p) & \simeq & H_2(F, \mathbb{Z}_p) \\ \pi^* \downarrow & & \downarrow (\varphi\pi)^* \\ H_2(G, \mathbb{Z}_p) & \xrightarrow{\varphi^*} & H_2(Q, \mathbb{Z}_p) \\ \downarrow & & \downarrow \\ S_p G & \xrightarrow{\varphi^*} & S_p Q \\ \downarrow & & \downarrow \\ 0 & & 0 \end{array}$$

which immediately shows that sequence (\*) is exact.

**COROLLARY 3.2.1.** *Let  $\pi: F \rightarrow G$  be a  $\mathfrak{B}$ -free presentation, with kernel  $R$ . Then*

$$S_p G \cong F \#_p F \cap R/F \#_p R.$$

*Proof:* Consider the surjective homomorphism  $\pi: F \rightarrow G$  with kernel  $R$ . Since  $S_p F = 0$ , sequence (\*) yields the result.

By Corollary 3.2.1 the group given by the formula  $F \#_p F \cap R/F \#_p R$  does not depend upon the chosen presentation of  $G$ . This generalizes a result of Hopf-Baer (see [8], p. 181).

The following Lemma, which is a sort of Universal Coefficient Theorem for  $S_p -$ , will be useful.

**LEMMA 3.3:** *Let  $\mathfrak{B}$  be a variety of exponent 0. Then*

$$S_p G \cong S_p G \otimes \mathbb{Z}_p \oplus \text{Tor}_1(A_0 G, \mathbb{Z}_p).$$

*Proof:* Let  $G = F/R$ , with  $F$   $\mathfrak{B}$ -free. Consider the exact sequence

$$0 \rightarrow S_0 G \rightarrow R/[F, R] \xrightarrow{\kappa} A_0 F \rightarrow A_0 G \rightarrow 0.$$

The image  $I$  of  $\kappa$  is as a subgroup of the free abelian group  $A_0 F$  free abelian. Therefore  $R/[F, R] \cong S_0 G \oplus I$ . On the other hand  $S_p G$  is defined by the exact sequence

$$0 \rightarrow S_p G \rightarrow R/[F, R] \otimes \mathbb{Z}_p \rightarrow A_0 F \otimes \mathbb{Z}_p \rightarrow A_0 G \otimes \mathbb{Z}_p \rightarrow 0.$$

Using the above decomposition of  $R/[F, R]$  we obtain the claimed formula for  $S_p G$ .

#### 4. $S_p G$ and Central Series

The following Theorem contains the basic result of Stallings [9] for  $\mathfrak{B}$  the variety of all groups.

**THEOREM 4.1:** *Let  $\varphi: G \rightarrow H$  be a homomorphism of groups in  $\mathfrak{B}$ . Suppose that  $\varphi$  induces an isomorphism  $A_p G \cong A_p H$  and an epimorphism  $S_p G \rightarrow S_p H$ . Then, for every  $n \geq 0$ ,  $\varphi$  induces an isomorphism  $\varphi_n: G/G_n^{(p)} \cong H/H_n^{(p)}$  and a monomorphism  $\varphi_\omega: G/G_\omega^{(p)} \rightarrow H/H_\omega^{(p)}$ .*

*Proof:* Our proof is essentially that of Stallings [9]. We proceed by induction: For  $n=0$  the conclusion is trivial. Let  $n \geq 1$ . Consider the exact sequences

$$\begin{array}{ccccccccc} S_p G & \rightarrow & S_p G/G_n^{(p)} & \rightarrow & G_{n-1}^{(p)}/G_n^{(p)} & \rightarrow & A_p G & \rightarrow & A_p G/G_n^{(p)} \rightarrow 0 \\ \downarrow \alpha_1 & & \downarrow \alpha_2 & & \downarrow \alpha_3 & & \downarrow \alpha_4 & & \downarrow \alpha_5 \\ S_p H & \rightarrow & S_p H/H_n^{(p)} & \rightarrow & H_{n-1}^{(p)}/H_n^{(p)} & \rightarrow & A_p H & \rightarrow & A_p H/H_n^{(p)} \rightarrow 0 \end{array}$$

and the map induced by  $\varphi$ .  $\alpha_2, \alpha_4, \alpha_5$  are isomorphisms,  $\alpha_1$  is an epimorphism. Thus by the 5-lemma  $\alpha_3$  is an isomorphism. The conclusion then follows by applying the 5-lemma to the diagram below:

$$\begin{array}{ccccccc} \{e\} & \rightarrow & G_{n-1}^{(p)}/G_n^{(p)} & \rightarrow & G/G_n^{(p)} & \rightarrow & G/G_{n-1}^{(p)} \rightarrow \{e\} \\ & & \downarrow \alpha & & \downarrow \beta & & \downarrow \gamma \\ \{e\} & \rightarrow & H_{n-1}^{(p)}/H_n^{(p)} & \rightarrow & H/H_n^{(p)} & \rightarrow & H/H_{n-1}^{(p)} \rightarrow \{e\}. \end{array}$$

$\alpha$  is an isomorphism by the above,  $\gamma$  is an isomorphism by induction.

The assertion about  $\varphi_\omega$  follows trivially.

*Remark:* As Stallings [9] has shown for the variety of all groups, the statement about  $\varphi_\omega$  cannot be sharpened.

**COROLLARY 4.1.1:** *Let  $\mathfrak{B}$  be a nilpotent variety. Suppose that  $\varphi: G \rightarrow H$  induces an isomorphism between the abelianized groups  $A_0 G \cong A_0 H$  and an epimorphism  $S_0 G \rightarrow S_0 H$ . Then  $\varphi$  is an isomorphism.*

*Proof:* By the definition of nilpotency there is an  $n \geq 0$  such that  $G_n = \{e\}$  and  $H_n = \{e\}$ .

**COROLLARY 4.1.2:** *Let  $G$  be a group in  $\mathfrak{B}$  with  $S_p G = 0$ . In the case  $p = 0$  suppose that  $A_0 G$  is free in  $\mathfrak{B} \cap \mathfrak{Ab}$ . Then there is a  $\mathfrak{B}$ -free group  $F$  and a homomorphism  $\varphi: F \rightarrow G$  such that, for every  $n \geq 0$ ,  $\varphi$  induces an isomorphism*

$$\varphi_n: F/F_n^{(p)} \xrightarrow{\sim} G/G_n^{(p)}.$$

*Proof:* Take a set  $\{x_\alpha\}$  of elements in  $G$  whose images in  $A_p G$  form a basis. Consider the  $\mathfrak{B}$ -free group  $F$  on the set  $\{y_\alpha\}$  and the map  $\varphi: F \rightarrow G$  defined by  $\varphi y_\alpha = x_\alpha$  and apply Theorem 4.1.

**COROLLARY 4.1.3:** *Let  $\mathfrak{B}$  be a nilpotent variety of exponent 0. If  $G$  is a finitely generated group in  $\mathfrak{B}$  with  $S_p G = 0$  for all primes  $p$ , then  $G$  is  $\mathfrak{B}$ -free.*

*Proof:* By Lemma 3.3  $S_p G = 0$  for all primes  $p$  implies  $S_0 G = 0$  and  $A_0 G$  is torsion free. Since  $A_0 G$  is a quotient of  $G$ , it is finitely generated. Hence  $A_0 G$  is free abelian, and the assertion follows from Corollary 4.1.1.

## 5. Subgroup Theorems

The Schreier Theorem says that every subgroup of an absolutely free group is free. It is well known that for an arbitrary variety  $\mathfrak{B}$ , the subgroups of  $\mathfrak{B}$ -free groups generally fail to be  $\mathfrak{B}$ -free. In those varieties in which the *free groups are residually nilpotent or residually finite  $p$ -groups* there exist a number of results giving sufficient conditions under which a given set of elements in a  $\mathfrak{B}$ -free group generates a  $\mathfrak{B}$ -free subgroup. By [6], page 76 examples of such varieties are a) the variety of all polynilpotent groups to a given class  $k$ , and consequently b) the variety of all nilpotent groups of class  $\leq k$ , and c) the variety of all solvable groups of length  $\leq l$ .

**THEOREM 5.1:** (a) (Hall, Mostowski; see [6], p. 115) *Let  $\mathfrak{B}$  be a variety in which the free groups are residually nilpotent. Let  $F$  be a  $\mathfrak{B}$ -free group and  $\{x_\alpha\}$  a set of elements in  $F$  whose images in  $A_0 F$  freely generate a direct summand. Then  $\{x_\alpha\}$  freely generates a  $\mathfrak{B}$ -free subgroup of  $F$ .*

(b) *Let  $\mathfrak{B}$  be a variety whose free groups are residually finite  $p$ -groups for a certain prime  $p$ . Let  $F$  be a  $\mathfrak{B}$ -free group and  $\{x_\alpha\}$  a set of elements in  $F$  whose images in  $A_p F$  are linearly independent. Then  $\{x_\alpha\}$  freely generates a  $\mathfrak{B}$ -free subgroup of  $F$ .*

*Proof:* In both cases we can enlarge the set  $\{x_\alpha\}$  until the images form a basis of  $A_p F$  ( $p$  the given prime in (b) or  $p = 0$  in (a)). We shall prove that this larger set (also denoted by  $\{x_\alpha\}$ ) freely generates a free subgroup of  $F$ .

To do so, take  $F'$  to be the  $\mathfrak{B}$ -free group on the set  $\{y_\alpha\}$  and define  $\varphi: F' \rightarrow F$  by

setting  $\varphi y_\alpha = x_\alpha$ . This map induces an isomorphism  $A_p F' \cong A_p F$  and an epimorphism  $S_p F' \rightarrow S_p F = 0$ . Thus by Theorem 4.1.,  $\varphi$  induces a monomorphism  $\varphi_\omega: F'/F_\omega'^{(p)} \rightarrow F/F_\omega^{(p)}$ . Since  $F_\omega'^{(p)} = \{e\}$ ,  $F_\omega^{(p)} = \{e\}$ , we obtain that  $\varphi = \varphi_\omega: F' \rightarrow F$  is a monomorphism.

**COROLLARY 5.1.1:** (P. Neumann, see [6], p. 117) *Let  $\mathfrak{B}$  be a variety in which the free groups are residually finite  $p$ -groups. Let  $F$  be a  $\mathfrak{B}$ -free group and  $\{x_\alpha\}$  a set of elements in  $F$  whose images in  $A_0 F$  are independent. Suppose that  $F/[F, F] \{x_\alpha\}$  does not contain  $p$ -torsion. Then  $\{x_\alpha\}$  freely generate a  $\mathfrak{B}$ -free subgroup of  $F$ .*

*Proof:* Let  $W(x_\alpha)$  denote the subgroup generated by the images of  $x_\alpha$  in  $A_0 F = F/[F, F]$ ; then we have an exact sequence of abelian groups

$$0 \rightarrow W(x_\alpha) \rightarrow A_0 F \rightarrow F/[F, F] \{x_\alpha\} \rightarrow 0.$$

Tensoring this sequence with  $\mathbb{Z}_p$  and using

$$\text{Tor}_1(F/[F, F] \{x_\alpha\}, \mathbb{Z}_p) = 0$$

we get the exact sequence

$$0 \rightarrow W(x_\alpha) \otimes \mathbb{Z}_p \rightarrow A_p F \rightarrow F/[F, F] \{x_\alpha\} \otimes \mathbb{Z}_p \rightarrow 0.$$

Since  $F$  is residually a finite  $p$ -group,  $A_0 F$  is either free abelian or a  $p$ -group. Since the images of the  $x_\alpha$ 's are linearly independent in  $A_0 F$ , they must form a basis in  $W(x_\alpha) \otimes \mathbb{Z}_p$ . By Theorem 5.1 (b) we are done.

*Remark:* By an analogous but slightly more complicated procedure the more general Theorem of P. Neumann (see [6], p. 117) can also be proved.

**COROLLARY 5.1.2:** (Baumslag, see [6], p. 117). *Let  $\mathfrak{B}$  be a variety in which the free groups are residually finite  $p$ -groups for infinitely many primes. Let  $F$  be a  $\mathfrak{B}$ -free group and  $\{x_\alpha\}$  a set of elements in  $F$  whose images in  $A_0 F$  freely (in  $\mathfrak{B} \cap \mathfrak{A}(\mathfrak{b})$ ) generate a free subgroup. Then  $\{x_\alpha\}$  freely generate a  $V$ -free subgroup of  $F$ .*

*Proof:* It is easy to see that the subgroup  $U$  of  $F$  generated by  $\{x_\alpha\}$  is free if and only if the subgroups generated by the *finite* subsets of  $\{x_\alpha\}$  are free (see [6], p. 114).

But for every *finite* subset  $\{x_\alpha\}'$  there is a prime for which  $F$  is residually a  $p$ -group such that  $F/[F, F] \{x_\alpha\}'$  does not contain  $p$ -torsion. Corollary 5.1.1 proves the conclusion.

## 6. Retracts of free groups

**DEFINITION:** A group  $G$  in  $\mathfrak{B}$  is called a *retract of a free group*  $F$  if there is a

presentation  $G \cong F/R$  such that the projection  $p: F \rightarrow G$  has a right inverse  $r: G \rightarrow F$  (i.e.,  $pr = 1_G$ ).

*Remark:* The notion of retracts of a free group is easily seen to be equivalent to the notion of splitting groups (see [6], p. 137).

If  $G$  is a retract of the free group  $F$ , then  $S_p G \rightarrow S_p F \rightarrow S_p G$  is the identity map. Since  $S_p F = 0$ ,  $S_p G = 0$ , also. Analogously  $A_p G$  is a direct summand of  $A_p F$ .

**THEOREM 6.1:** *Let  $\mathfrak{B}$  be a variety of exponent 0 or a prime power. Let  $G$  be a retract of a  $\mathfrak{B}$ -free group. Then there is a  $\mathfrak{B}$ -free group  $F$  and a homomorphism  $\varphi: F \rightarrow G$  such that  $\varphi$  induces isomorphisms  $\varphi_n: F/F_n^{(p)} \cong G/G_n^{(p)}$  for all  $n \geq 0$  and  $p$  any prime or  $p = 0$ .*

*Proof:* Follows immediately from Corollary 4.1.2:

**COROLLARY 6.1.1:** *Let  $\mathfrak{B}$  be a variety of exponent 0 or a prime power, whose free groups are residually nilpotent. Suppose  $G$  is a retract of a free group such that  $A_0 G$  is  $\mathfrak{B} \cap \mathfrak{Ab}$ -free on a set of cardinality  $\alpha$ . Then  $G$  contains a subgroup  $F$  which is  $\mathfrak{B}$ -free on a set of cardinality  $\alpha$ .*

**COROLLARY 6.1.2:** (P. Hall, see [6], p. 138) *Let  $\mathfrak{B}$  be a nilpotent variety of exponent 0 or a prime power. Then a retract of a  $\mathfrak{B}$ -free group is  $\mathfrak{B}$ -free.*

**THEOREM 6.2:** (P. Hall, see [6], p. 138) *Let  $G$  be a retract of a free group in a nilpotent variety of exponent  $n = p_1^{\alpha_1} \dots p_k^{\alpha_k}$ . Then  $G$  is of the form*

$$G = F_1(\mathfrak{B}_1) \times F_2(\mathfrak{B}_2) \times \dots \times F_k(\mathfrak{B}_k),$$

where  $\mathfrak{B}_i$  is the subvariety of  $\mathfrak{B}$  consisting of all groups in  $\mathfrak{B}$  of exponent dividing  $p_i^{\alpha_i}$ .

*Proof:* Choose  $H = F_1(\mathfrak{B}_1) \times \dots \times F_k(\mathfrak{B}_k)$  in such a way that  $A_0 H \cong A_0 G$ . Since  $G$  is of exponent  $n$  and nilpotent, it is a direct product of groups of exponent dividing  $p_i^{\alpha_i}$ . Therefore a map  $\varphi: H \rightarrow G$  may be defined such that  $\varphi$  induces an isomorphism  $A_0 H \cong A_0 G$ .

## 7. Deficiency

In this chapter  $\mathfrak{B}$  always is a variety of exponent 0.

Let the group  $G$  in  $\mathfrak{B}$  be given by a finite presentation:  $x_1, \dots, x_n$  are the generators,  $y_1, \dots, y_r$  are the relators. The number  $n - r$  is called the  $\mathfrak{B}$ -deficiency of the presentation. We define  $\text{def } G$ , the  $\mathfrak{B}$ -deficiency of the group  $G$  to be the maximum deficiency of the finite  $\mathfrak{B}$ -presentations of  $G$ .

If  $M$  is an abelian group, then we denote by  $sM$  the minimum number of generators of  $M$ .

The following is a generalization of a Theorem by Epstein [2] and a Theorem by Knopfmacher [3].

**THEOREM 7.1:** (a)  $\text{def } G \leq \text{rank } A_0G - s(S_0G)$   
 (b)  $\text{def } G \leq \dim A_pG - \dim S_pG$ .

*Proof:* We only prove the first inequality, the proof of the second being similar.

Let  $G = F/R$  be a presentation with  $n$  generators and  $r$  relators. Then we consider the exact sequence

$$0 \rightarrow S_0G \rightarrow R/[F, R] \xrightarrow{\kappa} A_0F \rightarrow A_0G \rightarrow 0.$$

The image  $I$  of  $\kappa$  is as a subgroup of a free abelian group free abelian. Therefore  $R/[F, R]$  is isomorphic to  $S_0G \oplus I$ . Since  $R/[F, R]$  is easily seen to be generated by the  $r$  relators we get the inequality

$$\begin{aligned} r &\geq s(R/[F, R]) = s(S_0G) + \text{rank } I = s(S_0G) + \text{rank } A_0F - \text{rank } A_0G \\ &= s(S_0G) + n - \text{rank } A_0G. \end{aligned}$$

**DEFINITION:** A group  $G$  for which inequality (a) ((b)) becomes an equality is called *efficient* (*p-efficient*) in  $\mathfrak{B}$ .

**PROPOSITION 7.2:** We have  $\text{rank } A_0G - s(S_0G) \leq \dim A_pG - \dim S_pG$  and there always exists a prime  $p$  for which we have equality.

*Proof:* We have  $A_pG = A_0G \otimes \mathbb{Z}_p$  and by Lemma 3.4

$$S_pG = S_0G \otimes \mathbb{Z}_p \oplus \text{Tor}_1(A_0G, \mathbb{Z}_p).$$

We therefore obtain:

$$\begin{aligned} \dim(A_pG) - \dim(S_pG) &= \dim(A_0G \otimes \mathbb{Z}_p) - \dim \text{Tor}_1(A_0G, \mathbb{Z}_p) - \dim(S_0G \otimes \mathbb{Z}_p) \\ &= \text{rank } A_0G - \dim(S_0G \otimes \mathbb{Z}_p) \geq \text{rank } A_0G - s(S_0G). \end{aligned}$$

Moreover  $S_0G$  can be written as  $\mathbb{Z} \oplus \mathbb{Z} \oplus \cdots \oplus \mathbb{Z} \oplus \mathbb{Z}_{n_1} \oplus \mathbb{Z}_{n_2} \oplus \cdots \oplus \mathbb{Z}_{n_k}$

with  $n_i/n_{i+1}$  for  $1 \leq i \leq k$ . Consequently we have equality if  $k=0$  or if  $k \neq 0$  and  $p/n_1$ .

It is well known that abelian groups are efficient in the variety of all groups [2], and that one relator groups are efficient in the variety of all groups [2]. Also Swan [12] gave an example of a group which is *not* efficient in the variety of all groups.

**THEOREM 7.3:** *Given a finitely presentable group in  $\mathfrak{B}$ . Then there is an  $(p)$ -efficient group  $H$  in  $\mathfrak{B}$  and a surjective homomorphism  $\varphi: H \rightarrow G$  which induces isomorphisms  $\varphi_l: H/H_l^{(p)} \cong G/G_l^{(p)}$  for all  $l \geq 0$ .*

*Proof:* We give the proof for  $p=0$ ; the proof for  $p$  a prime being similar.

Consider a finite  $\mathfrak{B}$ -presentation  $G \cong F/R$  and the corresponding exact sequence

$$0 \rightarrow S_0G \rightarrow R/[F, R] \xrightarrow{\kappa} A_0F \rightarrow A_0G \rightarrow 0.$$

Denote the image of  $\kappa$  by  $I$ . Suppose the generators of this presentation are  $x_1, \dots, x_n$ . Then we define the group  $H$  by the following presentation: the generators are  $x_1, \dots, x_n$ , the relators  $y_1, \dots, y_j, z_1, \dots, z_k$  are chosen in such a way that

- (i)  $y_1, \dots, y_j, z_1, \dots, z_k$  are elements in  $R$ ;
- (ii) the images of  $y_1, \dots, y_j$  in  $I$  form a basis of  $I$ ;
- (iii) the images of  $z_1, \dots, z_k$  in  $R/[F, R]$  form a minimal set of generators of  $S_0G$  (i.e., such that  $k$  is minimal).

Trivially there is a surjective map  $\varphi: H \rightarrow G$ . Also  $\varphi$  induces clearly an isomorphism  $A_0H \cong A_0G$  and an epimorphism  $S_0H \rightarrow S_0G$ . The assertion about the lower central series then follows from Theorem 4.1. It remains to check that  $H$  is efficient. We have  $j+k = s(S_0G) + n - \text{rank } A_0G$  and therefore

$$\begin{aligned} \text{rank } A_0G - s(S_0G) &= n - (j + k) \\ &\leq \text{def } H \leq \text{rank } A_0H - s(S_0H) \leq \text{rank } A_0G - s(S_0G); \end{aligned}$$

the last inequality since  $S_0H \rightarrow S_0G$  is an epimorphism. It follows that  $H$  is efficient.

The following Corollary gives a partial answer to a question of Knopfmacher [3].

**COROLLARY 7.3.1:** *In a nilpotent variety (of exponent 0) every group is efficient.*

The following is a simple proof of a result by Chen [1].

**COROLLARY 7.3.2:** *Let  $G$  be a group, with  $n+r$  generators and  $r+k$  relators, where  $n = sA_0G$ . Then there exists to every  $d \geq 0$  a group  $H$  with  $n$  generators and  $k$  relators with  $H/H_d \cong G/G_d$ .*

*Proof:* The emphasis is on the fact that  $k$  relators suffice for a presentation of  $H$ .

The deficiency of  $G/G_d$  in the variety  $\mathfrak{B}$  of all nilpotent groups of nilpotency class  $\leq d$  clearly is at least the deficiency of  $G$  in the variety of all groups which in turn is at least  $n+r-r-k = n-k$ . Corollary 7.3.1 shows that there is a presentation of  $G/G_d$  in  $\mathfrak{B}$  with  $n$  generators and  $k$  relators.<sup>2)</sup> This presentation of  $G/G_d$  in  $\mathfrak{B}$  can

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<sup>2)</sup> Note that there is a presentation of  $G/G_d$  by  $n = sA_0G$  generators.

easily be “lifted” to a presentation of  $H$  in the variety of all groups by taking counter-images of the given relators in the absolutely free group on the same generators.

## 8. The Magnus Theorem

In this Chapter  $\mathfrak{B}$  always is a variety of exponent 0.

**THEOREM 8.1:** *Let  $G$  be a group in  $\mathfrak{B}$  with  $n+r$  generators and  $r$  relators, such that  $A_p G$  for  $p$  a prime or  $p=0$  is generated by  $n$  elements. Then there is a  $\mathfrak{B}$ -free group  $F$  and a map  $\varphi: F \rightarrow G$  which induces isomorphisms  $\varphi_k: F/F_k^{(p)} \cong G/G_k^{(p)}$  for all  $k \geq 0$ .*

*Proof:* We only give the proof for  $p=0$ , the proof for  $p$  a prime being similar.

It follows from Theorem 7.1 that  $s(S_0 G)=0$ , i.e.  $S_0 G=0$ ; therefore  $\text{rank } A_0 G=n$  and  $A_0 G$  is free abelian. Take the  $\mathfrak{B}$ -free group  $F$  on  $n$  generators and consider a map  $\varphi: F \rightarrow G$  which induces an isomorphism  $A_0 F \cong A_0 G$ . Since  $\varphi$  induces an epimorphism  $S_0 F \rightarrow S_0 G=0$ , the conclusion follows by Theorem 4.1.

*Remark:* If the hypothesis of the above theorem holds for  $p=0$ , then the conclusion holds not only for  $p=0$  but also for all primes.

The following is a generalization of a Theorem by Magnus [5].

**COROLLARY 8.1.1:** *Let  $\mathfrak{B}$  be a variety in which the free groups are residually nilpotent. Let  $G$  be a group which has both a  $\mathfrak{B}$ -presentation with  $n+r$  generators and  $r$  relators and a  $\mathfrak{B}$ -presentation with  $n$  generators. Then  $G$  is a  $\mathfrak{B}$ -free group with  $n$  generators.*

**COROLLARY 8.1.2:** *Let  $\mathfrak{B}$  be a nilpotent variety. Suppose  $G$  is a group which has a  $\mathfrak{B}$ -presentation with  $n+r$  generators and  $r$  relators, and whose abelianized group  $A_0 G$  is generated by  $n$  elements. Then  $G$  is a  $\mathfrak{B}$ -free group with  $n$  generators.*

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