

### **3. Construction of the invariant**

Objekttyp: **Chapter**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **47 (2001)**

Heft 3-4: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **25.05.2024**

#### **Nutzungsbedingungen**

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern.

Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

#### **Haftungsausschluss**

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

This bijection is an anti-homomorphism:  $\overline{\sigma_1 \sigma_2} = \overline{\sigma_2} \overline{\sigma_1}$ . This bijection induces an operation on  $\mathbf{Z}^{(k)}$ .

**THEOREM 2.9.** *The operation on  $\mathbf{Z}^{(k)}$  induced by reversing the orientation of each component of a string link is to change each  $\mu(rst)$  to  $-\mu(rst)$  followed by the translation operation*

$$\mu(rst) \longrightarrow \mu(rst) - l_{rs} l_{rt} + l_{rs} l_{st} - l_{rt} l_{st}.$$

*Proof.* Consider the normal form (2) of  $\sigma \in \mathcal{H}(k)/\mathcal{H}(k)_3$  in the  $r, s, t$ -th components. The normal form for  $\bar{\sigma}$  is obtained as follows:

$$\begin{aligned} \bar{\sigma} &= [\tau_{rt}, \tau_{st}]^{-\delta} \tau_{st}^\gamma \tau_{rt}^\beta \tau_{rs}^\alpha \\ &= \tau_{rs}^\alpha \tau_{rt}^\beta \tau_{st}^\gamma [\tau_{rt}, \tau_{st}]^{-\delta - \alpha\beta + \alpha\gamma - \beta\gamma}. \end{aligned}$$

Thus the operation on  $\mathbf{Z}^{(k)}$  induced by  $\sigma \mapsto \bar{\sigma}$  is given by

$$\mu(rst) \longrightarrow -\mu(rst) - l_{rs} l_{rt} + l_{rs} l_{st} - l_{rt} l_{st}. \quad \square$$

### 3. CONSTRUCTION OF THE INVARIANT

By Theorems 2.2 and 2.7, we shall look for polynomials in  $l_{ij}$  and  $\mu(rst)$  invariant under the translation operations on  $\{\mu(rst)\} \in \mathbf{Z}^{(k)}$  induced by partial conjugations. There are  $k(k-1)$  partial conjugations altogether and their induced translations subject to  $2k$  linear equations given in Theorem 2.8. If these equations are linearly independent for generic values of  $\{l_{ij}\}$ , the sublattice of  $\mathbf{Z}^{(k)}$  generated by the translation vectors of the partial conjugations will be of dimension no larger than  $k(k-1) - 2k = k^2 - 3k$ .

**LEMMA 3.1.** *For  $k > 3$ , the  $2k$  equations in Theorem 2.8 are linearly independent for generic values of  $\{l_{ij}\}$ .*

*Proof.* We write the two sets of equations in Theorem 2.8 as follows:

$$\begin{aligned} \mathbf{1}^i + \mathbf{2}^i + \cdots + \mathbf{j}^i + \cdots + \mathbf{k}^i &= 0, \quad j \neq i; \\ l_{i1}\mathbf{i}^1 + l_{i2}\mathbf{i}^2 + \cdots + l_{ij}\mathbf{i}^j + \cdots + l_{ik}\mathbf{i}^k &= 0, \quad j \neq i, \end{aligned}$$

for each  $i = 1, 2, \dots, k$ .

For generic values of  $\{l_{ij}\}$ , using the first  $k-1$  equations from the first set of  $k$  equations, we can solve for  $\mathbf{k}^1, \mathbf{k}^2, \dots, \mathbf{k}^{k-1}$ . Similarly, we can solve

for  $\mathbf{1}^k, \mathbf{2}^k, \dots, (\mathbf{k}-\mathbf{1})^k$  from the first  $k-1$  equations of the second set of  $k$  equations. The remaining vectors  $\mathbf{i}^j$ ,  $i, j \neq k$ , have to satisfy another two equations obtained from the last equations in those two sets of  $k$  equations, respectively, by substituting  $\mathbf{k}^i$  and  $\mathbf{i}^k$  with their solutions in terms of  $\mathbf{i}^j$  for  $i, j \neq k$ . It is then easy to check that these two equations are linearly independent when  $k > 3$ .  $\square$

LEMMA 3.2. *For  $k = 4, 5$ , we have  $\binom{k}{3} = k^2 - 3k$ . For  $k \geq 6$ , we have  $\binom{k}{3} > k^2 - 3k$ .*

*Proof.* We have

$$\binom{k}{3} - (k^2 - 3k) = \frac{k}{6}(k^2 - 9k + 20) = \frac{k}{6}(k-4)(k-5). \quad \square$$

THEOREM 3.3. *For  $k \geq 6$ , there exists a polynomial in  $l_{ij}$  and  $\mu(rst)$  which is a link-homotopy invariant of ordered, oriented links with  $k$  components. This link-homotopy invariant is of finite type.*

*Proof.* In  $\mathbf{Z}^{\binom{k}{3}}$ , let  $\mathcal{P}$  be the sublattice generated by the translation vectors of partial conjugations. Then we have

$$\dim(\mathcal{P}) \leq k^2 - 3k < \binom{k}{3}.$$

Let  $\Omega \in \mathbf{Z}^{\binom{k}{3}}$  be a non-zero vector perpendicular to  $\mathcal{P}$ . We can choose such an  $\Omega$  so that its coordinates are polynomials in  $\{l_{ij}\}$  and the inner product  $\mathbf{i}^j \cdot \Omega$  is identically zero. This can be achieved by considering generic values of  $\{l_{ij}\}$  first and solving a system of homogeneous equations (with more equations than unknowns) whose coefficients are polynomials in  $l_{ij}$ <sup>3</sup>). Then since  $\mathbf{i}^j \cdot \Omega = 0$  for generic values of  $\{l_{ij}\}$ , it has to be zero identically. Let  $\mu = \{\mu(rst)\} \in \mathbf{Z}^{\binom{k}{3}}$ . The inner product  $\mu \cdot \Omega$  is invariant under the translations by vectors in  $\mathcal{P}$ . This is a desired link-homotopy invariant of ordered, oriented links since

$$(\mu + \mathbf{i}^j) \cdot \Omega = \mu \cdot \Omega$$

for all  $i, j = 1, 2, \dots, k$ .

The fact that the invariant  $\mu \cdot \Omega$  is of finite type is a direct consequence of the fact that the linking numbers and the triple linking numbers are all finite

---

<sup>3</sup>) This will be made explicit in the example following this proof.

type invariants of string links ([7], [2]). If we have a singular link, we may put it into the form of the closure of a single string link. Since polynomials of finite type invariants are still of finite type,  $\mu \cdot \Omega$  vanishes on singular string links with a sufficiently large number of double points. This implies that it is a finite type link invariant.  $\square$

We now consider in some detail the case  $k = 6$ . Let us order  $\mu(rst)$ ,  $1 \leq r < s < t \leq 6$  in lexicographic order. So

$$\begin{aligned} \mu = (\mu(123), \mu(124), \mu(125), \mu(126), \mu(134), \mu(135), \mu(136), \mu(145), \mu(146), \mu(156), \\ \mu(234), \mu(235), \mu(236), \mu(245), \mu(246), \mu(256), \mu(345), \mu(346), \mu(356), \mu(456)). \end{aligned}$$

Then the vectors of the translation operations  $\mathbf{1}^2, \mathbf{1}^3, \mathbf{1}^4, \mathbf{1}^5, \mathbf{1}^6, \mathbf{2}^1, \mathbf{2}^3, \mathbf{2}^4, \mathbf{2}^5, \mathbf{2}^6, \mathbf{3}^1, \mathbf{3}^2, \mathbf{3}^4, \mathbf{3}^5, \mathbf{3}^6, \mathbf{4}^1, \mathbf{4}^2, \mathbf{4}^3, \mathbf{4}^5, \mathbf{4}^6, \mathbf{5}^1, \mathbf{5}^2, \mathbf{5}^3, \mathbf{5}^4, \mathbf{5}^6, \mathbf{6}^1, \mathbf{6}^2, \mathbf{6}^3, \mathbf{6}^4, \mathbf{6}^5$  are the row vectors of the following  $30 \times 20$  matrix, from top to bottom respectively :

$l_{13}$	$l_{14}$	$l_{15}$	$l_{16}$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$-l_{12}$	0	0	0	$l_{14}$	$l_{15}$	$l_{16}$	0	0	0	0	0	0	0	0	0	0	0	0	0
0	$-l_{12}$	0	0	$-l_{13}$	0	0	$l_{15}$	$l_{16}$	0	0	0	0	0	0	0	0	0	0	0
0	0	$-l_{12}$	0	0	$-l_{13}$	0	$-l_{14}$	0	$l_{16}$	0	0	0	0	0	0	0	0	0	0
0	0	0	$-l_{12}$	0	0	$-l_{13}$	0	$-l_{14}$	$-l_{15}$	0	0	0	0	0	0	0	0	0	0
$-l_{23}$	$-l_{24}$	$-l_{25}$	$-l_{26}$	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0	0
$l_{12}$	0	0	0	0	0	0	0	0	$l_{24}$	$l_{25}$	$l_{26}$	0	0	0	0	0	0	0	0
0	$l_{12}$	0	0	0	0	0	0	0	0	$-l_{23}$	0	0	$l_{25}$	$l_{26}$	0	0	0	0	0
0	0	$l_{12}$	0	0	0	0	0	0	0	$-l_{23}$	0	$-l_{24}$	0	$l_{26}$	0	0	0	0	0
0	0	0	$l_{12}$	0	0	0	0	0	0	0	$-l_{23}$	0	$-l_{24}$	$-l_{25}$	0	0	0	0	0
$l_{23}$	0	0	0	$-l_{34}$	$-l_{35}$	$-l_{36}$	0	0	0	0	0	0	0	0	0	0	0	0	0
$-l_{13}$	0	0	0	0	0	0	0	0	$-l_{34}$	$-l_{35}$	$-l_{36}$	0	0	0	0	0	0	0	0
0	0	0	0	$l_{13}$	0	0	0	0	$l_{23}$	0	0	0	0	0	$l_{35}$	$l_{36}$	0	0	0
0	0	0	0	0	$l_{13}$	0	0	0	0	$l_{23}$	0	0	0	0	0	$-l_{34}$	0	$l_{36}$	0
0	0	0	0	0	0	$l_{13}$	0	0	0	0	$l_{23}$	0	0	0	0	0	$-l_{34}$	$-l_{35}$	0
0	$l_{24}$	0	0	$l_{34}$	0	0	$-l_{45}$	$-l_{46}$	0	0	0	$l_{23}$	0	0	0	0	0	0	0
0	$-l_{14}$	0	0	0	0	0	0	0	$l_{34}$	0	0	$-l_{45}$	$-l_{46}$	0	0	0	0	0	0
0	0	0	0	$-l_{14}$	0	0	0	0	$-l_{24}$	0	0	0	0	0	$-l_{45}$	$-l_{46}$	0	0	0
0	0	0	0	0	0	$l_{14}$	0	0	0	$l_{24}$	0	0	$l_{34}$	0	0	$l_{46}$	0	0	0
0	0	0	0	0	0	0	$l_{14}$	0	0	0	$l_{24}$	0	0	$l_{34}$	0	0	$-l_{45}$	0	0
0	0	0	0	0	$l_{25}$	0	$l_{35}$	0	$l_{45}$	0	$-l_{56}$	0	0	0	0	0	0	0	0
0	0	$-l_{15}$	0	0	0	0	0	0	$l_{35}$	0	$l_{45}$	0	$-l_{56}$	0	0	0	0	0	0
0	0	0	0	$-l_{15}$	0	0	0	0	$-l_{25}$	0	0	0	0	$l_{45}$	0	$-l_{56}$	0	0	0
0	0	0	0	0	0	$-l_{15}$	0	0	0	$-l_{25}$	0	0	$-l_{35}$	0	0	$-l_{56}$	0	0	0
0	0	0	0	0	0	0	$l_{15}$	0	0	0	0	0	0	$l_{25}$	0	0	$l_{35}$	$l_{45}$	
0	0	0	$l_{26}$	0	0	$l_{36}$	0	$l_{46}$	$l_{56}$	0	0	0	0	$l_{25}$	0	0	$l_{35}$	$l_{45}$	
0	0	0	$-l_{16}$	0	0	0	0	0	0	$l_{36}$	0	$l_{46}$	$l_{56}$	0	0	0	0	0	0
0	0	0	0	0	$-l_{16}$	0	0	0	0	$-l_{26}$	0	0	0	0	$l_{46}$	$l_{56}$	0	0	0
0	0	0	0	0	0	$-l_{16}$	0	0	0	0	$-l_{26}$	0	0	0	$-l_{36}$	0	$l_{56}$	0	0
0	0	0	0	0	0	0	$-l_{16}$	0	0	0	0	0	0	$l_{26}$	0	0	$-l_{36}$	0	$-l_{46}$

We shall pick out the 18 rows of this matrix corresponding to the translation operations of  $\mathbf{1}^2$ ,  $\mathbf{1}^3$ ,  $\mathbf{1}^4$ ,  $\mathbf{1}^5$ ,  $\mathbf{2}^1$ ,  $\mathbf{2}^3$ ,  $\mathbf{2}^4$ ,  $\mathbf{2}^5$ ,  $\mathbf{3}^1$ ,  $\mathbf{3}^2$ ,  $\mathbf{3}^4$ ,  $\mathbf{3}^5$ ,  $\mathbf{4}^1$ ,  $\mathbf{4}^2$ ,  $\mathbf{4}^3$ ,  $\mathbf{4}^5$ ,  $\mathbf{5}^1$ ,  $\mathbf{5}^2$ , respectively. Calculation using *Mathematica*<sup>®</sup> shows that these 18 vectors are linearly independent generically.

Consider now the operation of reversing the orientation. The vector  $R = \{R(rst)\} \in \mathbf{Z}^{20}$  of the translation operation in Theorem 2.9 is given by

$$R(rst) = -l_{rs} l_{rt} + l_{rs} l_{st} - l_{rt} l_{st}.$$

One can verify that the vector  $R$  and the previous 18 vectors are linearly independent. Let  $\mathcal{M}$  be the  $19 \times 20$  matrix formed by these 19 vectors. Let  $\mathcal{M}^{(i)}$  be the  $19 \times 19$  matrix obtained from  $\mathcal{M}$  by deleting the  $i^{\text{th}}$  column from  $\mathcal{M}$ ,  $i = 1, 2, \dots, 20$ . Let

$$\Omega_i = (-1)^{i-1} \det(\mathcal{M}^{(i)})$$

and  $\Omega = (\Omega_1, \Omega_2, \dots, \Omega_{20})$ .

**THEOREM 3.4.**  $\mu \cdot \Omega$  is a finite type link-homotopy invariant of ordered, oriented links with 6 components. When the orientation of every component is reversed, this invariant is changed only by a sign.

*Proof.* Using the fact that the rows of the cofactor matrix  $A^*$  of a given matrix  $A$  are perpendicular to different rows of  $A$ , we see that  $\Omega$  is perpendicular to all the vectors of translation operation induced by partial conjugations as well as the vector  $R$ . Certainly,  $\Omega \neq 0$ . So  $\mu \cdot \Omega$  is a non-trivial link-homotopy invariant of ordered, oriented links with 6 components. It is of finite type since it is a polynomial in  $l_{ij}$  and  $\mu(rst)$ . Under the reversion of orientation,  $\mu$  changes to  $-\mu + R$ . Since  $R \cdot \Omega = 0$ , the invariant  $\mu \cdot \Omega$  is only changed by a sign under the reversion of orientation.  $\square$

To finish, let us furnish some data obtained using *Mathematica*. Let  $\deg(l_{ij}) = 1$ , then  $\Omega_i$  is a homogeneous polynomial of degree 20 in  $l_{ij}$ . Let  $L_i$  be the number of monomials in  $\Omega_i$ , the sequence  $\{L_1, L_2, \dots, L_{20}\}$  is given as follows:

$$\begin{aligned} & \{5531, 5555, 5555, 5531, 5424, 5769, 5802, 5734, 5753, 5432, \\ & 5432, 5753, 5802, 5734, 5769, 5424, 5928, 5922, 5922, 5928\}. \end{aligned}$$

Thus  $\mu \cdot \Omega$  is linear and homogeneous in  $\mu(rst)$  and has 113,700 monomials.