

# The connection between representation theory and Schubert calculus

Autor(en): **Tamvakis, Harry**

Objektyp: **Article**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **50 (2004)**

Heft 3-4: **L'enseignement mathématique**

PDF erstellt am: **26.05.2024**

Persistenter Link: <https://doi.org/10.5169/seals-2651>

## **Nutzungsbedingungen**

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern.

Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

## **Haftungsausschluss**

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

## THE CONNECTION BETWEEN REPRESENTATION THEORY AND SCHUBERT CALCULUS

by Harry TAMVAKIS\*)

### 1. INTRODUCTION

The irreducible polynomial representations of the general linear group  $\mathrm{GL}_n(\mathbf{C})$  are parametrized by integer partitions  $\lambda$  with at most  $n$  parts. Given any two such representations  $V^\lambda$  and  $V^\mu$ , one has a decomposition of the tensor product

$$(1) \quad V^\lambda \otimes V^\mu = \sum_{\nu} c_{\lambda\mu}^{\nu} V^{\nu}$$

into irreducible representations  $V^{\nu}$  of  $\mathrm{GL}_n$ .

Let  $G(m, n)$  denote the Grassmannian of complex  $m$ -dimensional linear subspaces of  $\mathbf{C}^{m+n}$ . The cohomology ring of  $G(m, n)$  has a natural geometric basis of Schubert classes  $\sigma_{\lambda}$ , and there is a cup product decomposition

$$(2) \quad \sigma_{\lambda} \cdot \sigma_{\mu} = \sum_{\nu} c_{\lambda\mu}^{\nu} \sigma_{\nu}.$$

The structure constants  $c_{\lambda\mu}^{\nu}$  determine the classical Schubert calculus on  $G(m, n)$ .

It has been known for some time that the integers  $c_{\lambda\mu}^{\nu}$  in formulas (1) and (2) coincide, as long as the meaningless Schubert classes in (2) are interpreted as zero. Following the work of Giambelli [G1] [G2], this is proved formally by relating both products to the multiplication of Schur  $S$ -polynomials; a precise argument along these lines was given by Lesieur [Les]. It is natural to ask for a more direct, conceptual explanation of this fact. This question has appeared every so often in print; some recent examples are [F2, §6.2] and [Len, §1].

---

\*) The author was supported in part by National Science Foundation Grant DMS-0296023.

Our aim here is to describe a direct and natural connection between the representation theory of  $GL_n$  and the Schubert calculus, which goes via the Chern-Weil theory of characteristic classes. Indeed, since the Grassmannian is a universal carrier for the Chern classes of principal  $GL_n$ -bundles, it is not so surprising that the cohomology ring of  $G(m, n)$  is related to the representation ring of  $GL_n$ . From this point of view, we can also understand why a result of this sort fails to hold for other types of Lie groups: what makes  $GL_n$  special is the fact that it sits naturally as a dense open subset of its own Lie algebra (see §2). The key observation is that the Chern-Weil homomorphism extends to a ring homomorphism from the (polynomial) representation ring  $R_+(GL_n)$  to  $H^*(G(m, n))$ , which sends the natural basis elements of the first ring to the Schubert classes.

The relation between Schubert calculus and the multiplication of Schur polynomials has been investigated before by Horrocks [Ho] and Carrell [C]. Although the approach in [Ho] is closest to the one here, the main ideas go back to the fundamental works of Chern [Ch1], Weil [W], and H. Cartan [Car]. We provide an exposition where the various ingredients from representation theory, differential geometry, topology of fiber bundles, and Schubert calculus are each presented in turn. In §6, we apply Grothendieck's construction of the Chern classes of Lie group representations to look for an analogue of these results in the other Lie types.

I thank Bill Fulton and Frank Sottile for encouraging me to write this article, and also Arnaud Beauville, Allen Knutson, Alain Lascoux, and Danny Ruberman for useful discussions and email exchanges.

## 2. REPRESENTATIONS AND SCHUR FUNCTORS

We are concerned here with the polynomial representations of the general linear group  $GL_n(\mathbf{C})$ . A matrix representation  $\pi: GL_n \rightarrow GL_N$  of  $GL_n$  is *polynomial* if the entries of  $\pi(g)$  are polynomials in the entries of  $g \in GL_n$ . The character of  $\pi$  is the function  $\chi: GL_n \rightarrow \mathbf{C}$  defined by  $\chi(g) = \text{Tr}(\pi(g))$ . The polynomial representation ring  $R = R_+(GL_n)$  is the  $\mathbf{R}$ -algebra generated by the polynomial characters of  $GL_n$ . The ring  $R$  may be identified with the real vector space spanned by the irreducible polynomial  $GL_n$ -representations (up to isomorphism), with the ring structure given by the tensor product. We use real instead of integer coefficients here because the Chern-Weil construction in the sequel will involve de Rham cohomology groups.

The group  $GL_n$  acts by conjugation on its Lie algebra  $\mathfrak{gl}_n$  (the space of all  $n \times n$  matrices). This induces a  $GL_n$  action on the ring  $\text{Pol}(\mathfrak{gl}_n)$  of polynomial functions on  $\mathfrak{gl}_n$  with real coefficients; we denote by  $\text{Pol}(\mathfrak{gl}_n)^{GL_n}$  the corresponding ring of invariants. Since  $GL_n$  is a dense open subset of  $\mathfrak{gl}_n$ , any character  $\chi \in R$  extends to a unique element of  $\text{Pol}(\mathfrak{gl}_n)^{GL_n}$ . Conversely, for any invariant polynomial  $f \in \text{Pol}(\mathfrak{gl}_n)^{GL_n}$ , the restriction of  $f$  to  $GL_n$  is clearly a polynomial class function, and hence an element of  $R$ . Thus we obtain a canonical ring isomorphism

$$(3) \quad \phi: R_+(GL_n) \rightarrow \text{Pol}(\mathfrak{gl}_n)^{GL_n}.$$

In contrast, there is no satisfactory analogue of the morphism  $\phi$  for the other types of Lie groups (see §6). Note that there is already the problem of defining ‘polynomial representations’ for a general complex Lie group<sup>1</sup>).

Following Schur [S1] [S2], the irreducible polynomial representations of  $GL_n$  are parametrized by integer partitions  $\lambda = (\lambda_1 \geq \lambda_2 \geq \dots \geq \lambda_n \geq 0)$  of length (i.e. number of nonzero parts  $\lambda_i$ ) at most  $n$ . For each partition  $\lambda$  there is a *Schur functor*  $s_\lambda: \mathfrak{V} \rightarrow \mathfrak{V}$ , where  $\mathfrak{V}$  denotes the category of finite dimensional  $\mathbf{C}$ -vector spaces and  $\mathbf{C}$ -linear maps. If  $V = \mathbf{C}^n$  is the standard representation of  $GL_n$ , then the irreducible representation corresponding to  $\lambda$  is the Schur module  $V^\lambda = s_\lambda(V)$ . In the language of Lie theory,  $V^\lambda$  is the  $GL_n$ -representation with highest weight  $\lambda$ . The character of  $V^\lambda$  is a *Schur polynomial* in the eigenvalues of  $g \in GL_n$ .

For completeness, we briefly describe Weyl’s construction of  $s_\lambda(V)$ , for any complex vector space  $V$ . First, we identify the partition  $\lambda$  with its Young diagram of boxes; this is an array of  $p = \sum \lambda_i$  boxes arranged in left-justified rows, with  $\lambda_i$  boxes in the  $i$ th row. Number the boxes in  $\lambda$  with the integers  $1, \dots, p$  in order going from left to right and top to bottom. The resulting standard tableau  $T$  is illustrated below on the Young diagram of  $\lambda = (4, 3, 2)$ .

1	2	3	4
5	6	7	
8	9		

<sup>1</sup>) For a connected reductive complex Lie group  $G$  with Lie algebra  $\mathfrak{g}$ , Knutson suggests to define a ring of polynomial representations of  $G$  as the image of the injective map  $\text{Pol}(\mathfrak{g})^G \rightarrow \text{Pol}(G)^G$  which is induced by pullback along a generalized Cayley transform  $G \rightarrow \mathfrak{g}$  (see [KM]).



Let  $R$  (respectively  $C$ ) denote the subgroup of the symmetric group  $S_p$  consisting of elements which permute the entries of each row (respectively column) of  $T$  among themselves. Consider the elements

$$a_\lambda = \sum_{u \in C} \operatorname{sgn}(u) u, \quad b_\lambda = \sum_{v \in R} v$$

of the group algebra  $\mathbf{C}[S_p]$ , and define the *Young symmetrizer*  $c_\lambda = a_\lambda b_\lambda$ .

For any vector space  $V$ ,  $S_p$  acts on the right on the  $p$ -fold tensor product  $M = V^{\otimes p}$  by permuting the factors, and this action commutes with the left action of  $\operatorname{GL}(V)$  on  $M$ . The *Schur module*  $\mathfrak{s}_\lambda(V)$  is defined as the image of the map  $M \rightarrow M$  that is right multiplication by  $c_\lambda$ . This construction is functorial, in the sense that a linear map  $f: V \rightarrow W$  of vector spaces determines a linear map  $\mathfrak{s}_\lambda(f): \mathfrak{s}_\lambda(V) \rightarrow \mathfrak{s}_\lambda(W)$ , with  $\mathfrak{s}_\lambda(f_1 \circ f_2) = \mathfrak{s}_\lambda(f_1) \circ \mathfrak{s}_\lambda(f_2)$  and  $\mathfrak{s}_\lambda(\operatorname{id}_V) = \operatorname{id}_{\mathfrak{s}_\lambda(V)}$ . In passing, we note that the *Specht modules*  $\mathbf{C}[S_p]c_\lambda$  form a complete set of irreducible representations of the symmetric group  $S_p$ , as  $\lambda$  varies over all partitions of  $p$ . For more information on Schur modules the reader may consult e.g. [FH], [F1, §8], [Gr], and [Ma].

EXAMPLE 1. Let  $p = 2$  and write  $S_2 = \{1, \sigma\}$ . The two relevant partitions  $\lambda$  are  $(2)$  and  $(1, 1)$ , with respective Young symmetrizers  $c_2 = 1 + \sigma$  and  $c_{1,1} = 1 - \sigma$ . For any vector space  $V$ , there is a decomposition  $V \otimes V = \operatorname{Sym}^2 V \oplus \wedge^2 V$ , and we see that  $\mathfrak{s}_2(V) = \operatorname{Sym}^2 V$  and  $\mathfrak{s}_{1,1}(V) = \wedge^2 V$ .

Given an  $n \times n$  matrix  $A = \{a_{ij}\}$  of indeterminates, we define the *Schur matrix* to be  $\mathfrak{s}_\lambda(A)$ . This is a square matrix of size  $\dim(V^\lambda)$  whose entries are polynomials in the  $a_{ij}$  with integer coefficients. Moreover, the map  $\phi$  in (3) sends  $V^\lambda$  to the invariant polynomial  $A \mapsto \operatorname{Tr}(\mathfrak{s}_\lambda(A))$ . It is surprising that no explicit formula for the entries of  $\mathfrak{s}_\lambda(A)$  is known, except in special cases. In general, there are several algorithms available for this computation, some rather classical (see [CLL], [Cl], [DKR], and [GK] for a sample).

EXAMPLE 2. The fundamental representations of  $\operatorname{GL}_n$  are the exterior powers  $\wedge^k V$ , for  $V = \mathbf{C}^n$  and  $k = 1, \dots, n$ , which correspond to the partitions  $\lambda = (1^k)$ . The Schur matrix  $\wedge^k A$  has order  $\binom{n}{k}$ , and its rows (resp. columns) are indexed by the  $k$ -element subsets of the  $n$  rows (resp. columns) of  $A$ . The entries of  $\wedge^k A$  are the determinants of the  $k \times k$  minors in  $A$ . The corresponding invariant polynomials for  $k = 1$  and  $k = n$  are given by  $\operatorname{Tr}(A)$  and  $\det(A)$ , respectively.

If  $A$  is a diagonal matrix with eigenvalues  $x_1, \dots, x_n$ , then  $\text{Tr}(\mathfrak{s}_\lambda(A))$  is the Schur polynomial  $s_\lambda(x_1, \dots, x_n)$ . There is much to say about these important polynomials (see e.g. [M, §I]), but we shall refrain from doing so because they are not used in the sequel. We simply note here that since the Schur polynomials form a basis of the ring of symmetric polynomials in  $x_1, \dots, x_n$ , it follows that the rings in (3) are both isomorphic to  $\mathbf{R}[x_1, \dots, x_n]^{S_n}$ .

### 3. THE CHERN-WEIL HOMOMORPHISM

The homomorphism which is the subject of this section is a fundamental tool in the theory of characteristic classes. Our main references are the monographs [D], [GHV], and [GuS], all of which contain detailed expositions of Chern-Weil theory and the related work of H. Cartan.

Consider a principal  $\text{GL}_n$ -bundle  $P \rightarrow M$  over a differentiable manifold  $M$ , and choose an open cover  $\{U_\alpha\}$  of  $M$  which trivializes  $P$ . The transition functions for  $P$  with respect to this cover are a system of morphisms  $g_{\alpha\beta}: U_\alpha \cap U_\beta \rightarrow \text{GL}_n$  satisfying the cocycle condition  $g_{\alpha\beta} g_{\beta\gamma} g_{\gamma\alpha} = 1$  on the intersections  $U_\alpha \cap U_\beta \cap U_\gamma$ . We may identify  $P \rightarrow M$  with the rank  $n$  complex vector bundle with the same transition functions  $g_{\alpha\beta}$ ; in other words, with the associated vector bundle for the standard representation of  $\text{GL}_n$  on  $\mathbf{C}^n$ .

For each  $g \in \text{GL}_n$ , let  $R_g: P \rightarrow P$  denote the right action of  $\text{GL}_n$  on  $P$ , and for each  $x \in P$ , let  $v_x: \mathfrak{gl}_n \rightarrow T_x P$  be the differential of the map  $g \mapsto xg$ . A *connection* on  $P$  is a smooth  $\mathfrak{gl}_n$ -valued 1-form  $\theta \in \mathcal{A}^1(P, \mathfrak{gl}_n)$  satisfying (i)  $\theta_x v_x = \text{id}$  for all  $x \in P$ , and (ii)  $R_g^* \theta = \text{Ad}(g^{-1})\theta$ , for all  $g \in \text{GL}_n$ . Note that (ii) asserts that  $\theta$  is equivariant with respect to the adjoint representation of  $\text{GL}_n$ . Connections always exist, provided the base manifold  $M$  admits partitions of unity.

The *curvature*  $\Theta \in \mathcal{A}^2(P, \mathfrak{gl}_n)$  of the connection  $\theta$  is defined by the Maurer-Cartan structure equation  $\Theta = d\theta + \frac{1}{2}[\theta, \theta]$  (this is Élie Cartan, the father of Henri Cartan). A basic theorem of Weil states that for any homogeneous polynomial  $f \in \text{Pol}(\mathfrak{gl}_n)^{\text{GL}_n}$  of degree  $k$ , (i) the differential form  $f(\frac{i}{2\pi}\Theta)$  is closed in  $\mathcal{A}^{2k}(M, \mathbf{R})$ , and (ii) its de Rham cohomology class does not depend on the connection  $\theta$ . The resulting map

$$\text{Pol}(\mathfrak{gl}_n)^{\text{GL}_n} \rightarrow H^*(M, \mathbf{R}); \quad f \mapsto f\left(\frac{i}{2\pi}\Theta\right)$$

is an algebra homomorphism called the *Chern-Weil homomorphism*. We will continue to use cohomology with real coefficients unless otherwise indicated.

In topology, one constructs a contractible space  $EGL_n$  on which  $GL_n$  acts freely, and with quotient equal to the classifying space  $BGL_n$ . Every principal  $GL_n$ -bundle over  $M$  is a pullback of the universal bundle  $EGL_n \rightarrow BGL_n$ ; in this way, the isomorphism classes of principal  $GL_n$ -bundles over  $M$  are in one-to-one correspondence with the homotopy classes of maps from  $M$  to  $BGL_n$ . Moreover, the previous definitions of connection and curvature have universal analogues, and there is a homomorphism  $\text{Pol}(\mathfrak{gl}_n)^{GL_n} \rightarrow H^*(BGL_n)$  (see [NR] or [D, §5,6] for a detailed construction). Since the quotient  $GL_n/U(n)$  of  $GL_n$  by the unitary group  $U(n)$  is diffeomorphic to a Euclidean space, the inclusion  $U(n) \hookrightarrow GL_n$  induces an isomorphism  $H^*(BGL_n) \rightarrow H^*(BU(n))$ . We deduce that there is a universal Chern-Weil homomorphism

$$(4) \quad \psi: \text{Pol}(\mathfrak{gl}_n)^{GL_n} \rightarrow H^*(BU(n)).$$

H. Cartan [Car] proved that the map  $\psi$  is an isomorphism of polynomial rings. The cohomology of  $BU(n)$  is thus identified with the ring of characteristic classes of principal  $GL_n$ -bundles (or complex vector bundles). If the polynomial  $f \in \text{Pol}(\mathfrak{gl}_n)^{GL_n}$  has integer coefficients, then its image under the Chern-Weil map (4) lies in  $H^*(BU(n), \mathbf{Z})$  (which we identify with its image in  $H^*(BU(n), \mathbf{R})$ ).

EXAMPLE 3. Let  $E \rightarrow M$  be a rank  $n$  complex vector bundle over  $M$ . The Chern-Weil homomorphism sends the invariant polynomial  $A \mapsto \text{Tr}(\wedge^k A)$  of the previous section to the  $k$ -th Chern class  $c_k(E) \in H^{2k}(M, \mathbf{Z})$ .

#### 4. SCHUBERT VARIETIES AND SCHUBERT FORMS

The cohomology ring of the Grassmannian  $X = G(m, n)$  has a basis of Schubert classes  $\sigma_\lambda$ , one for each partition  $\lambda$  whose Young diagram is contained in an  $n \times m$  rectangle (equivalently,  $\lambda$  is a partition of length at most  $n$  with  $\lambda_1 \leq m$ ). The Schubert class  $\sigma_\lambda$  may be defined using the Poincaré duality isomorphism between cohomology and homology, as follows:  $\sigma_\lambda$  is the element of  $H^*(X)$  whose cap product with the fundamental class of  $X$  is the homology class of a *Schubert variety*  $X_\lambda$ , described below. If the diagram of  $\lambda$  does not fit in the above rectangle, then we set  $\sigma_\lambda = 0$ .

To define  $X_\lambda$ , consider the fixed complete flag of subspaces

$$0 = F_0 \subset F_1 \subset \cdots \subset F_N = \mathbf{C}^N$$

where  $N = m + n$  and  $F_j = \mathbf{C}^j \times \{0\} \subset \mathbf{C}^N$ , for  $0 \leq j \leq N$ . Let  $\lambda'$  denote the conjugate partition, whose diagram is the transpose of the diagram of  $\lambda$ . The variety  $X_\lambda$  consists of those  $m$ -dimensional subspaces  $U$  such that  $\dim(U \cap F_{n+i-\lambda'_i}) \geq i$ , for  $1 \leq i \leq m$ . The complex codimension of  $X_\lambda$  in  $X$  is given by the weight  $|\lambda| = \sum \lambda_i$  of  $\lambda$ . Note that our indexing convention for Schubert varieties is the transpose of the usual one, as found for example in [F2, §4].

There is a tautological short exact sequence of vector bundles

$$(5) \quad 0 \rightarrow S \rightarrow E \rightarrow Q \rightarrow 0$$

over  $X$ , where  $E = X \times \mathbf{C}^N$  is the trivial bundle of rank  $N$  over  $X$ ,  $S$  denotes the tautological rank  $m$  subbundle of  $E$ , and  $Q$  the quotient bundle. The total space of  $S$  is the submanifold of the product  $X \times \mathbf{C}^N$  consisting of those pairs  $([U], v)$  with  $v \in U$ , and the projection  $X \times \mathbf{C}^N \rightarrow X$  restricts to the map from  $S$  to  $X$ . Observe that the fiber of  $S$  (resp.  $Q$ ) over a point  $[U]$  in  $X$  which corresponds to the subspace  $U \subset \mathbf{C}^N$  is given by  $U$  itself (resp.  $\mathbf{C}^N/U$ ). From now on it will be convenient to work with the quotient bundle  $Q$  and to think of  $X = G(m, n)$  as parametrizing rank  $n$  quotients of  $\mathbf{C}^N$ .

According to [BT, §23] (see also [MS, §14] and [Hu, §18]), the *infinite Grassmannian*  $G(\infty, n) = \lim_{m \rightarrow \infty} G(m, n)$  provides a model for the classifying space  $BU(n)$  of the unitary group. There is a natural sequence of inclusions

$$\cdots \hookrightarrow G(m-1, n) \hookrightarrow G(m, n) \hookrightarrow G(m+1, n) \hookrightarrow \cdots$$

and one defines the space  $G(\infty, n)$  as the union of all the finite Grassmannians  $G(m, n)$  over  $m \geq 1$ , with the inductive topology. The inclusion  $G(m, n) \hookrightarrow G(\infty, n) = BU(n)$  induces a surjection

$$\zeta: H^*(BU(n)) \rightarrow H^*(G(m, n)).$$

Moreover, there is a universal rank  $n$  quotient bundle  $Q \rightarrow G(\infty, n)$  which corresponds to the universal bundle  $EU(n) \rightarrow BU(n)$ .

We let  $\rho = \zeta \circ \psi \circ \phi$  denote the composite of the three maps

$$(6) \quad R_+(\mathrm{GL}_n) \xrightarrow{\phi} \mathrm{Pol}(\mathfrak{gl}_n)^{\mathrm{GL}_n} \xrightarrow{\psi} H^*(BU(n)) \xrightarrow{\zeta} H^*(G(m, n)).$$

The connection between the representation ring of  $\mathrm{GL}_n$  and the cohomology of  $G(m, n)$  is exhibited in the following result<sup>2)</sup>.

---

<sup>2)</sup> The pun here and in the title of this paper is intended.

THEOREM 1. For every  $\lambda$ , the ring homomorphism  $\rho: \mathbf{R}_+(\mathrm{GL}_n) \rightarrow H^*(G(m, n))$  maps the class of the irreducible representation  $V^\lambda$  to the Schubert class  $\sigma_\lambda$ .

As a prelude to the proof of Theorem 1, we will describe an equivalent method of constructing the morphism  $\rho$ . This involves putting a  $U(N)$ -invariant hermitian metric on the vector bundle  $Q \rightarrow X$  and using the canonical induced linear connection to define *Schubert forms*  $\Omega_\lambda$  which represent the Schubert classes in the de Rham cohomology of  $X$ . We will denote by  $\mathcal{A}^k(X)$  (respectively  $\mathcal{A}^k(X, Q)$ ) the real vector space of  $C^\infty$   $k$ -forms on  $X$  (respectively,  $Q$ -valued  $k$ -forms on  $X$ ). A connection on  $Q$  is a  $\mathbf{C}$ -linear map  $D: \mathcal{A}^0(X, Q) \rightarrow \mathcal{A}^1(X, Q)$  such that

$$(7) \quad D(f \cdot s) = df \otimes s + f \cdot ds$$

for all functions  $f \in \mathcal{A}^0(X)$  and sections  $s \in \mathcal{A}^0(X, Q)$ . The type decomposition  $\mathcal{A}^1(X, Q) = \mathcal{A}^{1,0}(X, Q) \oplus \mathcal{A}^{0,1}(X, Q)$  of differential forms induces a decomposition  $D = D^{1,0} + D^{0,1}$  of each connection  $D$  on  $Q$ .

The standard hermitian metric on  $\mathbf{C}^N$  gives a metric on the trivial vector bundle in (5) and induces a metric on the subbundle  $S$ . One obtains a hermitian metric  $h$  on the quotient bundle  $Q$  by identifying it with the orthogonal complement of  $S$ . The metric  $h$  induces a unique connection  $D = D(Q, h)$  such that  $D^{0,1} = \bar{\partial}_Q$  and  $D$  is *unitary*, i.e.

$$dh(s, t) = h(Ds, t) + h(s, Dt), \quad \text{for all } s, t \in \mathcal{A}^0(X, Q).$$

The connection  $D$  is called the *hermitian holomorphic connection* of  $(Q, h)$ . We extend  $D$  to  $Q$ -valued forms by using the Leibniz rule

$$D(\omega \otimes s) = d\omega \otimes s + (-1)^{\deg \omega} \omega \otimes Ds.$$

The curvature of  $D$  is the composite

$$\tilde{\Omega} = D^2: \mathcal{A}^0(X, Q) \rightarrow \mathcal{A}^2(X, Q).$$

By applying (7) twice we compute that  $\tilde{\Omega}(f \cdot s) = f \cdot \tilde{\Omega}(s)$ , hence the map  $\tilde{\Omega}$  is  $\mathcal{A}^0(X)$ -linear. We deduce that  $\tilde{\Omega} \in \mathcal{A}^2(X, \mathrm{End}(Q))$ . In fact,  $\tilde{\Omega} = D^{1,1} \in \mathcal{A}^{1,1}(X, \mathrm{End}(Q))$ , because  $D^{0,2} = \bar{\partial}_Q^2 = 0$ , so  $D^{2,0}$  also vanishes by unitarity. It follows that locally, we can identify  $\tilde{\Omega}$  with an  $n \times n$  matrix of  $(1, 1)$ -forms on  $X$ .

Let  $\Omega = \frac{i}{2\pi} \tilde{\Omega}$ . For each partition  $\lambda$ , define the Schubert form  $\Omega_\lambda = \mathrm{Tr}(\mathfrak{s}_\lambda(\Omega))$ ; this is a closed form of type  $(|\lambda|, |\lambda|)$  on  $X$ . Since the hermitian vector bundle  $(Q, h)$  is  $U(N)$ -equivariant (for the natural  $U(N)$  action on  $X$ ),

the Schubert forms  $\Omega_\lambda$  are  $U(N)$ -invariant. The class of  $\Omega_\lambda$  in the de Rham cohomology group  $H^{2|\lambda|}(X)$  coincides with the image  $\rho(V^\lambda)$  in Theorem 1. One has an equation

$$\mathrm{Tr}(\mathfrak{s}_\lambda(\Omega)) \wedge \mathrm{Tr}(\mathfrak{s}_\mu(\Omega)) = \mathrm{Tr}(\mathfrak{s}_\lambda(\Omega) \otimes \mathfrak{s}_\mu(\Omega)) = \sum_{\nu} c_{\lambda\mu}^{\nu} \mathrm{Tr}(\mathfrak{s}_\nu(\Omega))$$

of differential forms on  $X$ , and hence a formula

$$[\Omega_\lambda] \cdot [\Omega_\mu] = [\Omega_\lambda \wedge \Omega_\mu] = \sum_{\nu} c_{\lambda\mu}^{\nu} [\Omega_\nu]$$

in  $H^*(X)$ , with the constants  $c_{\lambda\mu}^{\nu}$  defined as in (1).

To prove the theorem, we must show that  $[\Omega_\lambda]$  is equal to the Schubert class  $\sigma_\lambda$ . The link between Schubert classes and  $\mathrm{GL}_n$ -modules is then evident: each Schubert class  $\sigma_\lambda$  is represented in de Rham cohomology by a unique<sup>3)</sup>  $U(N)$ -invariant form, given as the trace of the Schur functor  $\mathfrak{s}_\lambda$  on the curvature matrix  $\Omega$ . This was first demonstrated by Horrocks [Ho]; we give a different proof in the next section.

## 5. PROOF OF THEOREM 1

For each integer  $k$  with  $1 \leq k \leq n$ , define the *special Schubert class*  $\sigma^k = \sigma_{(1^k)}$  to be the class corresponding to the partition  $(1^k) = (1, 1, \dots, 1)$  of weight  $k$ . Over a century ago, Pieri [P] proved the following multiplication rule in  $H^*(X)$ :

$$(8) \quad \sigma_\lambda \cdot \sigma^k = \sum \sigma_\mu,$$

summed over all partitions  $\mu$  whose diagram is obtained by adding  $k$  boxes to the diagram of  $\lambda$ , no two in the same row (a more recent proof is given in [HP]).

A straightforward consequence of (8) is the formula

$$\sigma_\lambda = \det(\sigma^{\lambda'_i + j - i})_{1 \leq i, j \leq m},$$

due to Giambelli [G1], which shows that the special classes generate the cohomology ring of  $G(m, n)$  (the corresponding determinantal formula for Schur polynomials was discovered by Jacobi [J]). Moreover, one knows that

---

<sup>3)</sup> Since the Grassmannian  $X$  is a hermitian symmetric space, the  $U(N)$ -invariant forms are harmonic for the natural invariant metric on  $X$  coming from the Kähler form  $\Omega_1$ .

the same Pieri rule governs the tensor product decomposition

$$V^\lambda \otimes \wedge^k V = \sum V^\mu$$

of  $GL_n$ -modules (several different proofs of this are found in [FH, §I.6] and [Z, §79]). Therefore, Theorem 1 will follow from the equality  $\sigma^k = [\text{Tr}(\wedge^k \Omega)]$ , where  $\text{Tr}(\wedge^k \Omega) = c_k(Q, h)$  is the  $k$ -th Chern form of  $(Q, h)$ . Equivalently, we must show that for all partitions  $\lambda$  and all  $k = 1, \dots, n$ ,

$$(9) \quad \int_{X_\lambda} \text{Tr}(\wedge^k \Omega) = \delta(\lambda; (1^k)),$$

where  $\delta(\lambda; \mu)$  is Kronecker's delta.

The integrals (9) were computed by Chern in [Ch1, §2], who wrote the integrand using the Maurer-Cartan forms of the unitary group  $U(N)$ . The point is that any invariant form on  $X = U(N)/(U(m) \times U(n))$  pulls back to a differential form on  $U(N)$ , which can be expressed in terms of the basic invariant forms on  $U(N)$ .

We will use the differential forms  $\omega_{ij}$  and  $\bar{\omega}_{ij}$  defined in [T, §5], of type  $(1, 0)$  and  $(0, 1)$ , respectively, which are a scalar multiple of the Maurer-Cartan forms. To describe them, let  $\mathfrak{h} = \{\text{diag}(t_1, \dots, t_N) \mid t_i \in \mathbb{C}\}$  be the Cartan subalgebra of diagonal matrices in  $\mathfrak{gl}_N$ . Consider the set of roots

$$\Delta = \{t_i - t_j \mid 1 \leq i \neq j \leq N\} \subset \mathfrak{h}^*$$

and denote the root  $t_i - t_j$  by the pair  $ij$ . The adjoint representation of  $\mathfrak{h}$  on  $\mathfrak{gl}_N$  determines a decomposition

$$\mathfrak{gl}_N = \mathfrak{h} \oplus \sum_{ij \in \Delta} \mathbb{C} e_{ij},$$

where  $e_{ij}$  is the matrix with 1 as the  $ij$ -th entry and zeroes elsewhere.

Let  $\bar{e}_{ij} = -e_{ji}$  and consider the linearly independent set

$$B_X = \{e_{ij}, \bar{e}_{ij} \mid i \leq m < j\}.$$

Extend  $B_X$  to a basis  $B$  of  $\mathfrak{gl}_N$  and let  $B^*$  be the dual basis of  $\mathfrak{gl}_N^*$ . For every  $e_{ij}$  and  $\bar{e}_{ij}$  in  $B_X$ , let  $\omega^{ij}$  and  $\bar{\omega}^{ij}$  be the corresponding dual basis vectors in  $B^*$ , which we shall regard as left invariant complex one-forms on  $U(N)$ . Let  $\omega_{ij} = \gamma \omega^{ij}$  and  $\bar{\omega}_{ij} = \gamma \bar{\omega}^{ij}$ , where  $\gamma$  is a constant such that  $\gamma^2 = \frac{i}{2\pi}$ .

If  $\pi: U(N) \rightarrow X$  denotes the quotient map, then any smooth form  $\eta$  on  $X$  pulls back to

$$(10) \quad \pi^* \eta = \sum f_{a_1 \dots a_r b_1 \dots b_s} \omega_{a_1} \wedge \dots \wedge \omega_{a_r} \wedge \bar{\omega}_{b_1} \wedge \dots \wedge \bar{\omega}_{b_s}$$

on  $U(N)$ , with coefficients  $f_{a_1 \dots a_r b_1 \dots b_s} \in C^\infty(U(N))$ . The differential forms on  $U(N)$  which arise in this way are exactly those which are invariant under the action of the group  $H = U(m) \times U(n)$  ( $H$  acts on  $C^\infty(U(N))$  by right translation and on  $\text{Span}\{\omega_{ij}, \bar{\omega}_{ij} \mid i \leq m < j\}$  by the dual of the adjoint representation). A smooth form  $\eta$  on  $X$  is of  $(p, q)$  type precisely when each summand on the right hand side of (10) involves  $p$  unbarred and  $q$  barred terms.

The curvature matrix  $\Omega$  can now be written explicitly (see [GS, §4] and [T, Prop. 2]); one has  $\Omega = \{\Gamma_{\alpha\beta}\}_{m+1 \leq \alpha, \beta \leq N}$  with

$$(11) \quad \Gamma_{\alpha\beta} = \sum_{i=1}^m \omega_{i\alpha} \wedge \bar{\omega}_{i\beta}.$$

Applying the defining expansion of a determinant in terms of its entries, we obtain

$$(12) \quad \text{Tr}(\wedge^k(\Omega)) = \frac{1}{k!} \sum \text{sgn}(\alpha_1, \dots, \alpha_k; \beta_1, \dots, \beta_k) \Gamma_{\alpha_1\beta_1} \cdots \Gamma_{\alpha_k\beta_k},$$

where the sum is over all indices  $\alpha_1, \dots, \alpha_k, \beta_1, \dots, \beta_k$  from the set  $\{m+1, \dots, N\}$ , and  $\text{sgn}(\alpha_1, \dots, \alpha_k; \beta_1, \dots, \beta_k)$  is zero except when  $(\beta_1, \dots, \beta_k)$  is a permutation of  $(\alpha_1, \dots, \alpha_k)$ , in which case it equals  $+1$  or  $-1$  according to the sign of the permutation. Equations (11) and (12) correspond exactly to [Ch1, (12) and (13)], and (9) is proved in loc. cit., Theorem 5, by directly integrating the forms (12) over the Schubert varieties in  $X$  (see also [Ch3, IV.2]).

REMARKS. 1) Although the differential forms on the right hand side of (12) appear in [Ch1], their interpretation as invariant polynomials in the entries of the curvature matrix  $\Omega = \Omega(Q, h)$  does not. This connection is explained clearly later, in Chern's University of Chicago notes [Ch4, §12] and in [Ch5, §8].

2) For the purposes of the proof, it is not necessary to know that the ring homomorphisms in (3) and (4) are isomorphisms. Furthermore, each of the three morphisms in (6) maps integral classes to integral classes. If  $\mathcal{R}_+(\text{GL}_n)$  denotes the  $\mathbf{Z}$ -submodule of  $\mathbf{R}_+(\text{GL}_n)$  spanned by the polynomial characters of  $\text{GL}_n$ , we obtain a natural induced homomorphism

$$\rho_{\mathbf{Z}}: \mathcal{R}_+(\text{GL}_n) \longrightarrow H^*(G(m, n), \mathbf{Z})$$

which sends  $[V^\lambda]$  to the Schubert class  $\sigma_\lambda$  for every partition  $\lambda$ .



## 6. THE PROBLEM IN OTHER LIE TYPES

In this section, we look for an analogue of Theorem 1 with  $GL_n$  replaced by a group of a different Lie type. Let  $G$  be a complex connected reductive Lie group,  $T$  a maximal torus in  $G$  and let  $\mathfrak{g}$  and  $\mathfrak{h}$  be the Lie algebras of  $G$  and  $T$ , respectively. The adjoint action of  $G$  on  $\mathfrak{g}$  induces an action on the ring  $\text{Pol}(\mathfrak{g})$  of polynomial functions on  $\mathfrak{g}$  with real coefficients. If  $K$  is a maximal compact subgroup of  $G$ , then Cartan's theorem [Car] gives a ring isomorphism

$$(13) \quad \psi_G: \text{Pol}(\mathfrak{g})^G \rightarrow H^*(BK)$$

as before.

If  $G$  is not of type A, then extending (13) – on either side – to a sequence analogous to (6) is problematic. We will go one step further by using the natural  $\lambda$ -ring structure on the representation ring of  $G$ . Our main reference for  $\lambda$ -rings is [FL], while we learned much of the material that follows from [Fa], [O], and [Be].

A  $\lambda$ -ring is a commutative ring  $A$  with a sequence of operations  $\lambda^i: A \rightarrow A$  such that  $\lambda^0 = 1$ ,  $\lambda^1 = \text{id}_A$  and

$$\lambda^k(x+y) = \sum_{i=0}^k \lambda^i(x) \lambda^{k-i}(y)$$

for all  $k \geq 1$  and for all  $x, y \in A$ . In addition, we require that there are formulas

$$\lambda^k(xy) = P_k(\lambda^1(x), \dots, \lambda^k(x), \lambda^1(y), \dots, \lambda^k(y))$$

and

$$\lambda^k(\lambda^\ell(x)) = P_{k,\ell}(\lambda^1(x), \dots, \lambda^{k\ell}(x))$$

where  $P_k$  and  $P_{k,\ell}$  are universal polynomials with integer coefficients, independent of the ring  $A$ . Setting  $\lambda_t(x) = \sum_i \lambda^i(x) t^i$ , we have  $\lambda_t(x+y) = \lambda_t(x) \lambda_t(y)$ .

Let  $\mathcal{R}(G)$  denote the integral representation ring of  $G$ . Then  $\mathcal{R}(G)$  is a  $\lambda$ -ring, with the  $\lambda$ -operations  $\lambda^i: \mathcal{R}(G) \rightarrow \mathcal{R}(G)$  induced by the exterior powers of representations. There is a unique  $\lambda$ -ring homomorphism  $\epsilon: \mathcal{R}(G) \rightarrow \mathbf{Z}$ , called the augmentation, which associates to each  $G$ -representation  $V$  its dimension  $\epsilon(V)$ .

Grothendieck [SGA6] introduced  $\lambda$ -rings in his work extending the Hirzebruch-Riemann-Roch theorem to a relative, functorial setting. He showed that the purely algebraic data of a  $\lambda$ -ring  $A$  together with an augmentation

map  $A \rightarrow \mathbf{Z}$  suffice to define characteristic classes for the elements of  $A$ . The Chern classes constructed in this way take values in the graded ring  $\text{gr } A$  associated to a certain filtration on  $A$  coming from the  $\lambda$ -structure, known as the  $\gamma$ -filtration. Grothendieck applied this theory for  $A$  equal to the  $K$ -theory group of vector bundles on an algebraic variety; we will take  $A = \mathcal{R}(G)$  in the sequel.

The  $\gamma$ -operations  $\gamma^i$  on  $\mathcal{R}(G)$  are defined by the formula

$$\gamma_t(x) = \lambda_{t/(1-t)}(x) = \sum_i \gamma^i(x) t^i, \quad \forall x \in \mathcal{R}(G).$$

The  $\gamma$ -filtration is the decreasing sequence  $\{F^k\}_{k \geq 0}$  where  $F^0 = \mathcal{R}(G)$ ,  $F^1 = \text{Ker}(\epsilon)$  and  $F^k$  is spanned by the elements  $\gamma^{i_1}(x_1) \cdots \gamma^{i_r}(x_r)$  with  $x_1, \dots, x_r \in F^1$  and  $\sum_{p=1}^r i_p \geq k$ . Let  $\text{gr } \mathcal{R}(G) = \bigoplus_{k \geq 0} F^k / F^{k+1}$  be the associated graded ring. For each element  $x \in \mathcal{R}(G)$ , there are Chern classes  $c_k(x)$  with values in  $\text{gr } \mathcal{R}(G)$ . By definition,  $c_k(x) = \gamma^k(x - \epsilon(x))$ .

EXAMPLE 4. Suppose that the torus  $T$  has rank  $n$ , and let  $\Lambda$  be its character group. We can identify  $\Lambda$  with the multiplicative group of monomials  $\alpha_1^{m_1} \cdots \alpha_n^{m_n}$  with  $m_i \in \mathbf{Z}$ , where  $\alpha_i^{\pm 1}$  corresponds to the map  $(t_1, \dots, t_n) \mapsto t_i^{\pm 1}$ , for  $1 \leq i \leq n$ . We then have

$$\mathcal{R}(T) = \mathbf{Z}[\Lambda] = \mathbf{Z}[\alpha_1, \alpha_1^{-1}, \dots, \alpha_n, \alpha_n^{-1}].$$

The relations  $\lambda_t(\xi) = 1 + \xi t$  and  $\epsilon(\xi) = 1$  for  $\xi \in \Lambda$  determine the  $\lambda$ -structure and augmentation on  $\mathcal{R}(T)$ . It is straightforward to check that the  $\gamma$ -filtration of  $\mathcal{R}(T)$  coincides with its  $F^1$ -adic filtration, that is,  $F^k = (F^1)^k$  for all  $k \geq 1$ . The map  $\xi \mapsto \xi - 1$  gives a canonical isomorphism from  $\Lambda$  to the additive group  $\text{gr}^1 \mathcal{R}(T) = F^1 / F^2$ . If  $u_i \in \text{gr}^1 \mathcal{R}(T)$  denotes the image of  $\alpha_i$  under this map, then the elements  $u_1, \dots, u_n$  are algebraically independent over  $\mathbf{Z}$ , and we have  $\text{gr } \mathcal{R}(T) = \mathbf{Z}[u_1, \dots, u_n] \cong \text{Sym}(\Lambda)$  (see e.g. [O, Prop. 3.2]). We may thus identify the  $k$ -th Chern class of  $\xi_1 + \dots + \xi_r$  (where  $\xi_i \in \Lambda$ ) with the element  $e_k(\xi_1, \dots, \xi_r) \in \text{Sym}(\Lambda)$ .

The Weyl group  $W$  acts on  $T$ , hence on the ring  $\mathcal{R}(T)$ , and the restriction map  $\eta: \mathcal{R}(G) \rightarrow \mathcal{R}(T)$  induces an isomorphism of  $\lambda$ -rings  $\mathcal{R}(G) \rightarrow \mathcal{R}(T)^W$ . Let us now pass to real coefficients: define  $\mathbf{R}(G) = \mathcal{R}(G) \otimes \mathbf{R}$ ,  $\text{gr } \mathbf{R}(G) = \text{gr } \mathcal{R}(G) \otimes \mathbf{R}$  and similarly for the torus  $T$ . One can then show that the map  $\eta$  respects the  $\gamma$ -filtrations of both  $\mathbf{R}(G)$  and  $\mathbf{R}(T)$ , and thus induces a graded ring homomorphism  $\text{gr}(\eta): \text{gr } \mathbf{R}(G) \rightarrow \text{gr } \mathbf{R}(T)$ , which maps  $\text{gr } \mathbf{R}(G)$  isomorphically onto the invariant subring  $(\text{gr } \mathbf{R}(T))^W$  (see [Fa], [O], and [Be] for further discussion and proofs).

Assume that the Lie groups  $G$ ,  $T$  and their Lie algebras are defined over the real numbers, and view  $\mathfrak{h}$  as a vector space over  $\mathbf{R}$ . Applying the map which takes a character in  $\Lambda$  to its derivative in  $\mathfrak{h}^*$ , we can identify  $(\mathrm{gr} R(T))^W \cong (\mathrm{Sym}(\Lambda))^W \otimes \mathbf{R}$  with the algebra  $\mathrm{Pol}(\mathfrak{h})^W$  of  $W$ -invariant polynomial functions on  $\mathfrak{h}$  (again with real coefficients). Chevalley proved that the restriction homomorphism  $\mathrm{Pol}(\mathfrak{g}) \rightarrow \mathrm{Pol}(\mathfrak{h})$  maps  $\mathrm{Pol}(\mathfrak{g})^G$  isomorphically onto  $\mathrm{Pol}(\mathfrak{h})^W$ . Combining this with the preceding ingredients shows that (13) extends to a sequence of isomorphisms

$$\mathrm{gr} R(G) \longrightarrow \mathrm{Pol}(\mathfrak{g})^G \xrightarrow{\psi_G} H^*(BK)$$

which respects the natural grading in all three rings.

EXAMPLE 5. Let  $x$  be the element of  $R(\mathrm{GL}_n)$  which corresponds to the standard representation of  $\mathrm{GL}_n(\mathbf{C})$  on  $\mathbf{C}^n$ . It is easy to see that the  $k$ -th Chern class  $c_k(x)$  (in the above sense) is the invariant polynomial  $A \mapsto \mathrm{Tr}(\wedge^k A)$  on  $\mathfrak{gl}_n$ . Theorem 1 shows that the Chern classes of the standard representation of  $\mathrm{GL}_n$  map naturally to the special Schubert classes in  $G(m, n)$ . The fact that the target ring  $\mathrm{gr} R(\mathrm{GL}_n)$  for the  $R(\mathrm{GL}_n)$  Chern classes is naturally graded isomorphic to  $R_+(\mathrm{GL}_n)$  is a type A phenomenon.

EXAMPLE 6. Let  $G = \mathrm{Sp}_{2n}(\mathbf{C})$  be the symplectic group of rank  $n$  and  $K = \mathrm{Sp}(2n)$  the homonymous compact subgroup. Let  $y \in R(\mathrm{Sp}_{2n})$  be the class of the standard representation of  $G$  on  $\mathbf{C}^{2n}$ . In this case the  $2k$ -th Chern class  $c_{2k}(y)$  is the invariant polynomial  $A \mapsto \mathrm{Tr}(\wedge^{2k} A)$  on  $\mathfrak{g} = \mathfrak{sp}_{2n}$ , and these classes for  $1 \leq k \leq n$  generate the algebra  $\mathrm{Pol}(\mathfrak{g})^G$  (the odd Chern classes of  $y$  vanish). Observe that  $\mathrm{Pol}(\mathfrak{g})^G$  is isomorphic to  $\mathrm{Pol}(\mathfrak{gl}_n)^{\mathrm{GL}_n}$ , up to a doubling of degrees.

The classifying space  $B\mathrm{Sp}(2n)$  may be identified with the infinite quaternionic Grassmannian  $G_{\mathbf{H}}(\infty, n)$ , which is the inductive limit of the finite Grassmannians  $Y = G_{\mathbf{H}}(m, n)$  over all  $m > 0$ . Note that  $Y = \mathrm{Sp}(2N)/(\mathrm{Sp}(2m) \times \mathrm{Sp}(2n))$  is a compact oriented manifold of real dimension  $4mn$ , which parametrizes  $m$ -dimensional left  $\mathbf{H}$ -linear subspaces of  $\mathbf{H}^N$ . There is a rank  $n$  quotient bundle  $Q \rightarrow Y$  which is a pullback of the universal quotient bundle over  $G_{\mathbf{H}}(\infty, n)$ . The cell decomposition and cohomology ring of  $Y$  are identical to those of the complex Grassmannian  $G(m, n)$ , again up to a doubling of degrees (see [B1], [GHV, Vol. III, Chap. XI], and [PR, Appendix A]). In particular, for each partition  $\lambda$  as in §4, there is a ‘Schubert variety’  $Y_\lambda$  in  $Y$ , defined by the same inequalities that define  $X_\lambda$  in  $G(m, n)$ , and a ‘Schubert class’  $\tau_\lambda = [Y_\lambda] \in H^{4|\lambda|}(Y)$ . By arguing as in §5,

one can show that the induced ring homomorphism

$$\mathrm{gr} R(Sp_{2n}) \longrightarrow \mathrm{Pol}(\mathfrak{sp}_{2n})^{Sp_{2n}} \xrightarrow{\psi_{Sp}} H^*(BSp(2n)) \longrightarrow H^*(G_{\mathbf{H}}(m, n))$$

maps the generators  $c_{2k}(y)$  to the ‘special Schubert classes’  $\tau_{(1^k)}$ .

We remark that the irreducible characters  $\chi^\lambda$  of  $Sp_{2n}$  are parametrized by partitions of length at most  $n$ , as is the case for the polynomial characters of  $GL_n$ . Moreover, in the product decomposition

$$\chi^\lambda \chi^\mu = \sum_{\nu} c_{\lambda\mu}^{\nu} \chi^{\nu},$$

the structure constants  $c_{\lambda\mu}^{\nu}$  agree with those in (1), whenever  $|\nu| = |\lambda| + |\mu|$  (see e.g. [KT, Prop. 2.5.2]).

In the case of the orthogonal groups  $G = O_n(\mathbf{C})$ , the classifying space  $BO(n)$  is an infinite real Grassmannian, which is a limit of finite dimensional real Grassmannians  $G_{\mathbf{R}}(m, n)$ . However there is no clear analogue of  $GL_n(\mathbf{C})$  Schubert calculus on these manifolds, some of which are not even orientable.

## 7. CONCLUDING REMARKS

In this final section, we briefly discuss some of the early works where the representation theory of Lie groups was applied to study the cohomology of homogeneous spaces, and which relate somehow to the present paper. For more details about the early investigations of the Chern-Weil circle of ideas and its applications, we recommend Chern’s address at the 1950 International Congress [Ch2], Weil’s letters, written in 1949 and first published in [W], the survey articles by Samelson [Sa] and Borel [B2], and the historical notes in [GHV, Vol. III].

In the middle of the last century, there emerged two effectively different approaches to the study of the cohomology of principal bundles and homogeneous spaces of Lie groups. The techniques used in this paper derive from É. Cartan’s method of invariant differential forms [Ca] and its later extension by H. Cartan [Car]. An alternative approach, espoused by Borel [B1] among others, used the methods of classical algebraic topology. The theorems proved by these two schools were frequently identical, although the results obtained by topological methods were usually with  $\mathbf{Z}$  or  $\mathbf{Z}_p$  coefficients. These latter techniques were applied by Borel and Hirzebruch [BH] to connect the theory of characteristic classes to the cohomology of homogeneous spaces  $G/U$ , by

interpreting the former as elementary symmetric functions in certain roots of  $G$  (or their squares).

Ehresmann [E] investigated the topology of complex Grassmann manifolds (and other hermitian symmetric spaces) by studying the algebra of  $K$ -invariant differential forms on them ( $K = U(N)$  for  $X = G(m, n)$ ). This relies on the fact that the invariant forms are harmonic for the natural hermitian structure on  $X$ , which implies that the ring of all such forms is isomorphic to  $H^*(X)$ . Kostant [K1] [K2] later found analogues of these results for arbitrary (generalized) flag manifolds. The representation theory used to determine the  $K$ -invariant forms in this program does not directly relate the multiplicities  $c_{\lambda\mu}^\nu$  in equations (1) and (2). Note however that the cited works of É. Cartan and Ehresmann were used by Chern in his fundamental paper on the characteristic classes of complex manifolds [Ch1]. More recently, Stoll [St] used fiber integration to study the algebra of invariant forms on the Grassmannian, but his work does not address the question posed in the Introduction.

Following [SGA6], [V] and [Be], the isomorphism between  $\mathrm{gr} R(G)$  and  $\mathrm{Pol}(\mathfrak{g})^G$  in §6 may be used to construct the Chern-Weil (or characteristic) homomorphism in algebraic geometry. Let  $P \rightarrow X$  be a principal  $G$ -bundle over a smooth algebraic variety  $X$  and let  $CH^*(X)$  denote the Chow group of algebraic cycles on  $X$  modulo rational equivalence. The Grothendieck group  $K(X)$  of vector bundles on  $X$  is a  $\lambda$ -ring, with the  $\lambda$ -operations induced by exterior powers. According to [SGA6, Exp. XIV], the graded ring  $\mathrm{gr} K(X) \otimes \mathbf{R}$  is canonically isomorphic to the real Chow ring  $CH_{\mathbf{R}}^*(X) = CH^*(X) \otimes \mathbf{R}$ . There is a natural  $\lambda$ -ring homomorphism  $\pi: \mathcal{R}(G) \rightarrow K(X)$ , defined by sending a representation  $G \rightarrow \mathrm{GL}(E)$  to the associated vector bundle  $P \times_G E$  over  $X$ . The characteristic homomorphism is the induced map

$$\mathrm{gr}(\pi)_{\mathbf{R}}: \mathrm{Pol}(\mathfrak{g})^G \longrightarrow CH_{\mathbf{R}}^*(X).$$

## REFERENCES

- [Be] BEAUVILLE, A. Chern classes for representations of reductive groups. Preprint (2001): math.AG/0104031.
- [B1] BOREL, A. Sur la cohomologie des espaces fibrés principaux et des espaces homogènes de groupes de Lie compacts. *Ann. of Math. (2)* 57 (1953), 115–207.
- [B2] ——— Topology of Lie groups and characteristic classes. *Bull. Amer. Math. Soc.* 61 (1955), 397–432.

- [BH] BOREL, A. and F. HIRZEBRUCH. Characteristic classes and homogeneous spaces, I, II, III. *Amer. J. Math.* 80 (1958), 459–538; 81 (1959), 315–382; 82 (1960), 491–504.
- [BT] BOTT, R. and L. W. TU. *Differential Forms in Algebraic Topology*. Graduate Texts in Mathematics 82. Springer-Verlag, Berlin–New York, 1982.
- [CLL] CARRÉ, C., A. LASCOUX and B. LECLERC. Turbo-straightening for decomposition into standard bases. *Internat. J. Algebra Comput.* 2 (1992), 275–290.
- [C] CARRELL, J. Chern classes of the Grassmannians and Schubert calculus. *Topology* 17 (1978), 177–182.
- [Ca] CARTAN, É. Sur les invariants intégraux de certains espaces homogènes clos et les propriétés topologiques de ces espaces. *Annales de la Société Polonaise de Mathématique* 8 (1929), 181–225. Reprinted in *Œuvres Complètes*, Part I, vol. 2, 1081–1125. Gauthier-Villars, Paris, 1952.
- [Car] CARTAN, H. *a.* Notions d'algèbre différentielle; application aux groupes de Lie et aux variétés où opère un groupe de Lie. In: *Colloque de topologie (espaces fibrés)*, Bruxelles, 1950, 15–27.  
*b.* La transgression dans un groupe de Lie et dans un espace fibré principal. *ibid.*, 57–71. Georges Thone, Liège; Masson, Paris, 1951. Reprinted in [GuS].
- [Ch1] CHERN, S. S. Characteristic classes of Hermitian manifolds. *Ann. of Math.* (2) 47 (1946), 85–121.
- [Ch2] ———. Differential geometry of fiber bundles. In: *Proceedings of the International Congress of Mathematicians, Cambridge, Mass., 1950*, vol. 2, 397–411. Amer. Math. Soc., Providence, 1952.
- [Ch3] ———. *Topics in Differential Geometry*. The Institute for Advanced Study, Princeton, N. J., 1951. Reprinted in *Selected Papers*, vol. IV. Springer-Verlag, 1989, 331–397.
- [Ch4] ———. *Complex Manifolds* (mimeographed notes). University of Chicago, Chicago, 1956.
- [Ch5] ———. *Complex Manifolds Without Potential Theory*. Van Nostrand Math. Studies 15. Van Nostrand, Princeton, 1968.
- [Cl] CLAUSEN, M. Letter place algebras and a characteristic-free approach to the representation theory of the general linear and symmetric groups, I. *Adv. Math.* 33 (1979), 161–191.
- [DKR] DÉSARMÉNIEN, J., J. P. S. KUNG and G.-C. ROTA. Invariant theory, Young bitableaux, and combinatorics, *Adv. Math.* 27 (1978), 63–92.
- [D] DUPONT, J. L. *Curvature and Characteristic Classes*. Lecture Notes in Mathematics 640. Springer-Verlag, Berlin–New York, 1978.
- [E] EHRESMANN, C. Sur la topologie de certains espaces homogènes. *Ann. of Math.* 35 (1934), 396–443.
- [Fa] FARKAS, D. R. Multiplicative invariants. *L'Enseignement Math.* (2) 30 (1984), 141–157.

- [F1] FULTON, W. *Young Tableaux*. London Math. Soc. Student Texts 35. Cambridge University Press, Cambridge, 1997.
- [F2] ——— Eigenvalues, invariant factors, highest weights, and Schubert calculus. *Bull. Amer. Math. Soc. (N.S.)* 37 (2000), 209–249.
- [FH] FULTON, W. and J. HARRIS. *Representation Theory. A First Course*. Graduate Texts in Math. 129. Springer-Verlag, New York, 1991.
- [FL] FULTON, W. and S. LANG. *Riemann-Roch Algebra*. Grundlehren der mathem. Wiss. 277. Springer-Verlag, New York, 1985.
- [G1] GIAMBELLI, G.Z. Risoluzione del problema degli spazi secanti. *Mem. R. Accad. Sci. Torino* (2) 52 (1902), 171–211.
- [G2] ——— Alcune proprietà delle funzioni simmetriche caratteristiche. *Atti Torino* 38 (1903), 823–844.
- [GK] GRABMEIER, J. and A. KERBER. The evaluation of irreducible polynomial representations of the general linear groups and of the unitary groups over fields of characteristic 0. *Acta Appl. Math.* 8 (1987), 271–291.
- [Gr] GREEN, J. A. Schur algebras and general linear groups. In: *Groups – St. Andrews 1989*, Vol. 1, 155–210. London Math. Soc. Lecture Note Ser. 159. Cambridge Univ. Press, Cambridge, 1991.
- [GHV] GREUB, W., S. HALPERIN and R. VANSTONE. *Connections, Curvature, and Cohomology. I: de Rham Cohomology of Manifolds and Vector Bundles; II: Lie Groups, Principal Bundles, and Characteristic Classes; III: Cohomology of Principal Bundles and Homogeneous Spaces*. Pure and Applied Mathematics 47. Academic Press, New York–London, 1972.
- [GS] GRIFFITHS, P. and W. SCHMID. Locally homogeneous complex manifolds. *Acta Math.* 123 (1969), 253–302.
- [GuS] GUILLEMIN, V. and S. STERNBERG. *Supersymmetry and Equivariant de Rham Theory, with an appendix containing two reprints by Henri Cartan*. Springer-Verlag, Berlin, 1999.
- [HP] HODGE, W. V. D. and D. PEDOE. *Methods of Algebraic Geometry*. Cambridge Univ. Press, Cambridge, 1952.
- [Ho] HORROCKS, G. On the relation of  $S$ -functions to Schubert varieties. *Proc. London Math. Soc.* (3) 7 (1957), 265–280.
- [Hu] HUSEMOLLER, D. *Fibre Bundles*, second edition. Graduate Texts in Mathematics 20. Springer-Verlag, 1975.
- [J] JACOBI, C. G. J. De functionibus alternantibus earumque divisione per productum e differentiis elementorum conflatum. *Crelle's Journal* 22 (1841), 360–371. Reprinted in *Gesammelte Werke* 3, 439–452. Chelsea, New York, 1969.
- [KT] KOIKE, K. and I. TERADA. Young-diagrammatic methods for the representation theory of the classical groups of type  $B_n$ ,  $C_n$ ,  $D_n$ . *J. Algebra* 107 (1987), 466–511.
- [K1] KOSTANT, B. Lie algebra cohomology and the generalized Borel-Weil theorem. *Ann. of Math.* (2) 74 (1961), 329–387.
- [K2] ——— Lie algebra cohomology and generalized Schubert cells. *Ann. of Math.* (2) 77 (1963), 72–144.

- [KM] KOSTANT, B. and P.W. MICHOR. The generalized Cayley map from an algebraic group to its Lie algebra. In: *The Orbit Method in Geometry and Physics (Marseille, 2000)*, 259–296. Progr. Math. 213. Birkhäuser Boston, Boston, 2003.
- [Len] LENART, C. The combinatorics of Steenrod operations on the cohomology of Grassmannians. *Adv. in Math.* 136 (1998), 251–283.
- [Les] LESIEUR, L. Les problèmes d’intersection sur une variété de Grassmann. *C.R. Acad. Sci. Paris* 225 (1947), 916–917.
- [M] MACDONALD, I. *Symmetric Functions and Hall Polynomials*, second edition. Clarendon Press, Oxford, 1995.
- [Ma] MARTIN, S. *Schur Algebras and Representation Theory*. Cambridge Tracts in Math. 112. Cambridge University Press, Cambridge, 1993.
- [MS] MILNOR, J.W. and J.D. STASHEFF. *Characteristic Classes*. Annals of Mathematics Studies 76. Princeton University Press, Princeton, 1974.
- [NR] NARASIMHAN, M.S. and S. RAMANAN. Existence of universal connections I, II. *Amer. J. Math.* 83 (1961), 563–572; 85 (1963), 223–231.
- [O] OSSE, A.  $\lambda$ -structures and representation rings of compact connected Lie groups. *J. Pure Appl. Algebra* 121 (1997), 69–93.
- [P] PIERI, M. Sul problema degli spazi secanti. Nota 1<sup>a</sup>. *Rend. Ist. Lombardo* (2) 26 (1893), 534–546.
- [PR] PRAGACZ, P. and J. RATAJSKI. Formulas for Lagrangian and orthogonal degeneracy loci;  $\tilde{Q}$ -polynomial approach. *Compositio Math.* 107 (1997), 11–87.
- [Sa] SAMELSON, H. Topology of Lie groups. *Bull. Amer. Math. Soc.* 58 (1952), 2–37.
- [S1] SCHUR, I. Über eine Klasse von Matrizen, die sich einer gegebenen Matrix zuordnen lassen. Dissertation, Berlin, 1901. Reprinted in *Gesammelte Abhandlungen I*, 1–72. Springer-Verlag, Berlin–New York, 1973.
- [S2] ———. Über die rationalen Darstellungen der allgemeinen linearen Gruppe. *Sitzungsberichte der Preuss. Akad. der Wiss.* (1927), 58–75. Reprinted in *Gesammelte Abhandlungen 3*, 68–85. Springer-Verlag, Berlin–New York, 1973.
- [SGA6] BERTHELOT, P., A. GROTHENDIECK and L. ILLUSIE. *Théorie des intersections et théorème de Riemann-Roch*. Séminaire de Géométrie Algébrique du Bois-Marie 1966–1967 (SGA 6). Lecture Notes in Math. 225. Springer-Verlag, Berlin–New York, 1971.
- [St] STOLL, W. *Invariant Forms on Grassmann Manifolds*. Annals of Math. Studies 89. Princeton University Press, Princeton, 1977.
- [T] TAMVAKIS, H. Arithmetic intersection theory on flag varieties. *Math. Ann.* 314 (1999), 641–665.
- [V] VISTOLI, A. Characteristic classes of principal bundles in algebraic intersection theory. *Duke Math. J.* 58 (1989), 299–315.



- [W] WEIL, A. *Géométrie différentielle des espaces fibrés*. Manuscript (1949). *Œuvres Scientifiques/Collected Papers*, Vol.I, 422–436. Springer-Verlag, 1979.
- [We] WEYL, H. *The Classical Groups: Their Invariants and Representations*. Princeton University Press, Princeton (N.J.), 1939.
- [Z] ZELOBENKO, D. P. *Compact Lie Groups and Their Representations*. Translations of Mathematical Monographs 40. Amer. Math. Soc., Providence, 1973.

(Reçu le 22 février 2004)

Harry Tamvakis

Department of Mathematics  
Brandeis University – MS 050  
P. O. Box 9110  
Waltham, MA 02454-9110  
U. S. A.  
*e-mail*: harryt@brandeis.edu