A toroidal compactification of the Fermi surface for the discrete Schrödinger operator.

Autor(en): Bättig, D.

Objekttyp: Article

Zeitschrift: Commentarii Mathematici Helvetici

Band (Jahr): 67 (1992)

PDF erstellt am: 17.07.2024

Persistenter Link: https://doi.org/10.5169/seals-51080

Nutzungsbedingungen

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern. Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden.

Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

Haftungsausschluss

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

Ein Dienst der *ETH-Bibliothek* ETH Zürich, Rämistrasse 101, 8092 Zürich, Schweiz, www.library.ethz.ch

A toroidal compactification of the Fermi surface for the discrete Schrödinger operator

D. BÄTTIG

1. Introduction

Let ${}^{\circ\circ}\psi \not\models a_1\mathbb{Z} \oplus a_2\mathbb{Z} \oplus a_3\mathbb{Z}$ be a lattice in \mathbb{R}^3 and q a real valued square-integrable function on the torus $\mathbb{R}^3 \setminus \Gamma$. For each $\xi = (\xi_1, \xi_2, \xi_3) \in S^1 \times S^1 \times S^1$ the self-adjoint boundary value problem, called the independent electron approximation of solid state physics (see [1]),

$$(-\Delta + q)\psi = \lambda\psi,$$

$$\psi(x + \gamma) = \xi^{\gamma_1}\xi^{\gamma_2}\xi^{\gamma_3}\psi(x) \quad \forall \gamma \in \Gamma,$$

has discrete spectrum, denoted by

$$E_1(\xi) \leq E_2(\xi) \leq E_3(\xi) \leq \cdots$$

The (physical) Fermi surface for energy λ is the set

$$F_{\text{phys},\lambda}(q) := \{ \xi \in S^1 \times S^1 \times S^1 \mid E_n(\xi) = \lambda \text{ for some } n \ge 1 \}.$$

In [3] one defines the complex Fermi surface by

$$F_{\lambda}(q) := \{ (\xi_1, \, \xi_2, \, \xi_3) \in \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \mid \text{there exists a non-trivial function } \psi \text{ in } H^2_{loc}(\mathbb{R}^3) \text{ solving the above boundary value problem} \}.$$

Clearly $F_{\lambda}(q)$ contains all points that can be reached by analytic continuation of $F_{\text{phys},\lambda}(q)$. Using regularized determinants (see [7]) it can be shown, that $F_{\lambda}(q)$ is a complex analytic hypersurface in $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$. In [3] it was shown that for potentials q(x) of the form $p_1(x_1) + p_2(x_2) + p_3(x_3)$ or $p_1(x_1) + p_2(x_2, x_3)$ the surface $F_{\lambda}(q)$ is irreducible, i.e. in this case $F_{\text{phys},\lambda}(q)$, if it is a nonempty set of dimension two, determines $F_{\lambda}(q)$ uniquely.

In this paper we consider a discrete version and show that for each (complex) potential q, the Fermi surface is always irreducible.

So let $V: \mathbb{Z}^3 \to \mathbb{C}$ an arbitrary function periodic with respect to the lattice Γ . Furthermore let Δ be the discrete Laplace operator defined by

$$(\Delta \psi)(m, n, p) = \psi(m - 1, n, p) + \psi(m + 1, n, p) + \psi(m, n - 1, p) + \psi(m, n + 1, p) + \psi(m, n, p - 1) + \psi(m, n, p + 1)$$

for functions $\psi: \mathbb{Z}^3 \to \mathbb{C}$.

We are interested in the spectral problem

$$(-\Delta + V)\psi = \lambda\psi$$

with boundary conditions

$$\psi(m + a_1, n, p) = \xi_1 \psi(m, n, p), \qquad \psi(m, n + a_2, p) = \xi_2 \psi(m, n, p),$$

$$\psi(m, n, p + a_3) = \xi_3 \psi(m, n, p)$$

for functions $\psi: \mathbb{Z}^3 \to \mathbb{C}$ and $(\lambda, \xi_1, \xi_2, \xi_3) \in \mathbb{C} \times \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$, and define as above the complex Fermi surface $F_{\lambda}(V)$ for this discrete problem (see [4]). Furthermore we assume that a_1 , a_2 and a_3 are relatively prime, positive natural numbers greater to two.

Due to the boundary conditions the spectral problem can be written in terms of the values $\psi(m, n, p)$ with $1 \le m \le a_1$, $1 \le n \le a_2$, $1 \le p \le a_3$. The Fermi surface $F_{\lambda}(V)$ is then given by the vanishing of the determinant of a certain $a_1a_2a_3 \times a_1a_2a_3$ -matrix, or concrete, it is the zero-set of a polynomial P in the variables $\xi_1, \xi_1^{-1}, \xi_2, \xi_2^{-1}$ and ξ_3, ξ_3^{-1} , where the coefficients depend on λ :

$$P = (-1)^{a_2 a_3 (a_1 - 1)} \xi_1^{a_2 a_3} + (-1)^{a_2 a_3 (a_1 - 1)} \xi_1^{-a_2 a_3}$$

$$+ (-1)^{a_1 a_3 (a_2 - 1)} \xi_2^{a_1 a_3} + (-1)^{a_1 a_3 (a_2 - 1)} \xi_2^{-a_1 a_3}$$

$$+ (-1)^{a_1 a_2 (a_3 - 1)} \xi_3^{a_1 a_2} + (-1)^{a_1 a_2 (a_3 - 1)} \xi_3^{-a_1 a_2} + \cdots$$

lower order terms, i.e. an algebraic surface in $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$.

For potentials V=C= constant, $F_{\lambda}(V)$ can be calculated explicitly, using discrete Fourier analysis. Namely let μ_{a_i} be the multiplicative group of a_i -th root of unity. Then for $\rho=(\rho_1,\,\rho_2,\,\rho_3)\in\mu_{a_1}\times\mu_{a_2}\times\mu_{a_3}$ consider the set

$$\widetilde{F}_{\lambda}(V=C)=\bigcup_{\rho}\left\{(z_1,z_2,z_3)\in\mathbb{C}^*\times\mathbb{C}^*\times\mathbb{C}^*\mid \sum_{i=1}^3\left((\rho_iz_i)^{-1}+(\rho_iz_i)\right)=\lambda-C\right\}.$$

Now $\mu_{a_1} \times \mu_{a_2} \times \mu_{a_3}$ acts on $\tilde{F}_{\lambda}(V=C)$ by $\rho \cdot z = (\rho_1 z_1, \rho_2 z_2, \rho_3 z_3)$. Then one has $F_{\lambda}(V) = \tilde{F}_{\lambda}(V=C)/\mu_{a_1} \times \mu_{a_2} \times \mu_{a_3}$, and so $F_{\lambda}(V)$ is irreducible.

It is well known, that the one-dimensional Bloch variety B(W), defined by (see [4], [8])

$$B(W) = \{(\xi, \lambda) \in \mathbb{C}^* \times \mathbb{C} \mid \text{there exists a non-trivial function } \psi : \mathbb{Z} \to \mathbb{C} \text{ solving} \\ - [\psi(m-1) + \psi(m+1)] + W(m)\psi(m) = \lambda \psi(m), \psi(m+a) = \psi(m)\},$$

where $W: \mathbb{Z} \to \mathbb{C}$ has period a, is a hyperelliptic curve of arithmetic genus a-1, which can be compactified by adding two smooth points.

In this paper we construct a compactification $F_{\lambda}(V)_{\text{comp}}$ of $F_{\lambda}(V)$, such that the generic points of $F_{\lambda}(V)_{\text{comp}}$ are smooth points of $F_{\lambda}(V)_{\text{comp}}$.

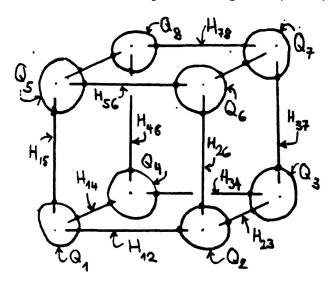
THEOREM 1. $F_{\lambda}(V)_{\text{comp}} - F_{\lambda}(V)$ is the union of twenty algebraic curves due to twenty one-dimensional spectral problems:

- (i) eight rational curves Q_1, \ldots, Q_8 with $(a_1-1)(a_2-1)(a_3-1) + \sum_{i \neq j} (a_i-1)(a_j-1)$ ordinary double points. These curves do not depend on the potential V.
- (ii) Twelve hyperelliptic curves H_{ij} : H_{12} , H_{34} , H_{56} , H_{78} (resp. H_{14} , H_{58} , H_{23} , H_{67} ; resp. H_{15} , H_{26} , H_{37} , H_{48}) of arithmetic genus $a_1 1$ (resp. $a_2 1$, resp. $a_3 1$), each isomorphic to the one-dimensional Bloch variety B(W), where W is the averaged potential

$$W(\cdot) = \frac{1}{a_2 a_3} \sum_{i=1}^{a_2} \sum_{k=1}^{a_3} V(\cdot, i, k)$$

$$\left(resp. \frac{1}{a_1 a_3} \sum_{i=1}^{a_1} \sum_{k=1}^{a_3} V(i, \cdot, k); resp. \frac{1}{a_1 a_2} \sum_{i=1}^{a_1} \sum_{k=1}^{a_2} V(i, k, \cdot)\right).$$

- (iii) $F_{\lambda}(V)_{\text{comp}}$ is smooth on all smooth points of $F_{\lambda}(V)_{\text{comp}} F_{\lambda}(V)$.
- (iv) All the above curves intersect transversally, only on smooth points of $F_{\lambda}(V)_{\text{comp}}$ and the intersection pattern is given by the following picture:



As an immediate consequence we get

THEOREM 2. The Fermi surface $F_{\lambda}(V)$ is irreducible.

Naively one could try to compactify $F_{\lambda}(V)$ by embedding $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$ in $\mathbb{P}^1 \times \mathbb{P}^1 \times \mathbb{P}^1$ and closing the Fermi surface in there. This doesn't work, since the new points added to $F_{\lambda}(V)$ are highly singular. Instead we construct (as in [2] and [8]) a compact three-dimensional torus embedding X_{Σ} , such that

$$F_{\lambda}(V) \subset \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \subset X_{\Sigma}$$
.

A torus embedding X_{Σ} is a scheme such that algebraic torus $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$ can be embedded in X_{Σ} in a way, that the action of the algebraic torus can be extended to the whole torus embedding X_{Σ} . Information and facts about torus embeddings can be found in [5], [6] and [9]. The closure of $F_{\lambda}(V)$ in this space X_{Σ} (after resolution of certain singular points of X_{Σ}) is the compactified Fermi surface $F_{\lambda}(V)_{\text{comp}}$.

Furthermore we not only construct the torus embedding X_{Σ} , but also an infinite-dimensional vector bundle Y, the vectorspace F of all functions $\psi : \mathbb{Z} \to \mathbb{C}$ as fiber, on X_{Σ} . On $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \times F \subset Y$ we have four commutating operators:

$$-\Delta + V - \lambda 1$$
, $S^{(a_1,0,0)} - \xi_1 1$, $S^{(0,a_2,0)} - \xi_2 1$, $S^{(0,0,a_3)} - \xi_3 1$,

for $(\xi_1, \xi_2, \xi_3) \in \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$ (here $S^{(\alpha, \beta, \gamma)}$ denotes the shift operator in direction (α, β, γ)). The Fermi surface is then the support of the bundle

 $\{(\xi_1, \xi_2, \xi_3, \psi) \in \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \times F \mid \text{ the above four operators have a common kernel } \psi \}.$

By extending this bundle to the whole X_{Σ} the rational and hyperelliptic curves mentioned in Theorem 1 will appear in a straightforward way.

Let us close by mentioning, that a similar construction was worked out in detail for the two-dimensional Bloch variety in [2].

2. The construction of the toroidal octahedron

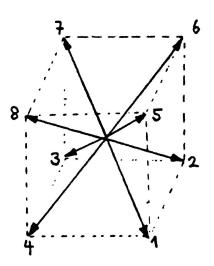
In this chapter we construct the three-dimensional torus embedding, in which $F_{\lambda}(V)$ will lie. Consider the eight vectors $1, 2, \ldots, 8$ in \mathbb{R}^3 given by

$$1 := (a_1, a_2, a_3), \qquad 2 := (-a_1, a_2, a_3),$$

$$3 := (-a_1, -a_2, a_3), \qquad 4 := (a_1, -a_2, -a_3),$$

$$5 := (a_1, a_2, -a_3), \qquad 6 := (-a_1, a_2, -a_3),$$

$$7 := (-a_1, -a_2, -a_3), \qquad 8 := (a_1, -a_2, -a_3).$$



We introduce the following notation:

 σ^i means the strongly convex polyhedral cone generated by the vector i.

So for example $\sigma^{12} = \{t(a_1, a_2, a_3) + s(-a_1, a_2, a_3) \mid s, t \in \mathbb{R}_{\geq 0}\}$, where $\mathbb{R}_{\geq 0}$ denotes the non-negative real numbers.

We define the fan Σ to be the collection of the six three-dimensional cones σ^{1256} , σ^{2367} , σ^{3478} , σ^{1458} , σ^{5678} and σ^{1234} and all it's faces. There are two-dimensional faces as σ^{12} or σ^{15} , one-dimensional faces as σ^{1} , σ^{2} and one zero-dimensional face $\sigma^{0} = \{0\}$. We call the torus embedding X_{Σ} associated to this fan toroidal octahedron. It is compact (see [5]). Explicitly X_{Σ} is given by a coordinate covering $(X_{\sigma})_{\sigma \in \Sigma}$. The (X_{σ}) 's are (quasi)-affine varieties defined by

$$X_{\sigma} = \operatorname{Spec} \mathbb{C}[\ldots, \xi_1^{r_1} \xi_2^{r_2} \xi_3^{r_3}, \ldots],$$

where $\mathbb{C}[\ldots, \xi_1^{r_1}\xi_2^{r_2}\xi_3^{r_3}, \ldots]$ is the algebra generated by the polynomials $\xi_1^{r_1}\xi_2^{r_2}\xi_3^{r_3}$ with (r_1, r_2, r_3) in \mathbb{Z}^3 such that $\langle (r_1, r_2, r_3), \sigma \rangle \geq 0$.

If σ^{α} and σ^{β} are two cones in Σ , then the charts $X_{\sigma^{\alpha}}$ and $X_{\sigma^{\beta}}$ are patched together along $X_{\sigma^{\alpha}} \cap X_{\sigma^{\beta}}$. So, for example $X_{\sigma^{12}}$ and $X_{\sigma^{13}}$ are glued together on $X_{\sigma^{12}} \cap X_{\sigma^{13}} = X_{\sigma^{1}}$.

Clearly we have $X_{\sigma^0} = \operatorname{Spec} \mathbb{C}[\xi_1, \xi_1^{-1}, \xi_2, \xi_2^{-1}, \xi_3, \xi_3^{-1}] = \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$. So we embed the Fermi surface $F_{\lambda}(V)$ by the inclusions

$$F_{\lambda}(V) \subset \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* = X_{\sigma^0} \subset X_{\Sigma}$$

in the toroidal octahedron.

In the following we analyze X_{Σ} .

Since the action of the algebraic torus $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$ on itself can be extended to X_{Σ} , the toroidal octahedron is a disjoint union of $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$ orbits. There is now a one-to-one correspondence between these orbits in X_{Σ} and the cones $\sigma \in \Sigma$; so we can label an orbit by a cone $\sigma : \mathbb{O}_{\sigma}$. Furthermore we can organize this labeling such that (see [5]):

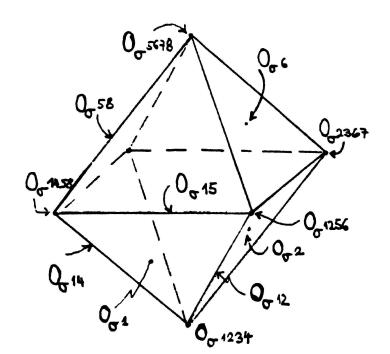
$$\mathbb{O}_{\sigma} \subset X_{\Sigma}$$
, $\dim_{\mathbb{C}} \mathbb{O}_{\sigma} = 3 - \dim_{\mathbb{R}} \sigma$,

and $\mathbb{O}_{\sigma} = \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \cdot \lambda_b(0)$, where $\lambda_b(0)$ is the point

$$\lim_{t\to 0} X_{\sigma}(t) = \lim_{t\to 0} \operatorname{Spec} \mathbb{C}[\ldots, \xi_1^{r_1} \xi_2^{r_2} \xi_3^{r_3}, \ldots]|_{\operatorname{setting} \xi_i = t^{b_i}},$$

where $b = (b_1, b_2, b_3) \in \mathbb{Z}^3$ is a point in the interior of σ .

It is easy to draw a schematic picture of the toroidal octahedron X_{Σ} (compare with [2] and [7]):



The "interior" of this octahedron represents $\mathbb{O}_{\sigma^0} = \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$.

Using the symmetry of the fan Σ we can restrict to the orbits \mathbb{O}_{σ^0} , \mathbb{O}_{σ^1} , $\mathbb{O}_{\sigma^{12}}$ and $\mathbb{O}_{\sigma^{1234}}$. Let y_0, z_0 be integers with $a_2y_0 + a_3z_0 = 1$.

LEMMA 1. (i) $X_{\sigma^0} = \operatorname{Spec} \mathbb{C}[\xi_1, \xi_1^{-1}, \xi_2, \xi_2^{-1}, \xi_3, \xi_3^{-1}] = \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*.$ (ii) $X_{\sigma^1} = \operatorname{Spec} \mathbb{C}[\xi_1^{-1}(\xi_2^{y_0}\xi_3^{z_0})^{a_1}, \xi_1(\xi_2^{y_0}\xi_3^{z_0})^{-a_1}, \xi_2^{y_0}\xi_3^{z_0}, \xi_2^{-a_3}\xi_3^{a_2}, \xi_2^{a_3}\xi_3^{-a_2}],$ i.e. X_{σ^1} is isomorphic to $\mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^*$ with local coordinates

$$u_1 := \xi_1^{-1} (\xi_2^{y_0} \xi_3^{z_0})^{a_1} \in \mathbb{C}^*, \quad v_1 := \xi_2^{y_0} \xi_3^{z_0} \in \mathbb{C} \quad \text{and} \quad w_1 := \xi_2^{-a_3} \xi_3^{a_2} \in \mathbb{C}^*.$$

Furthermore $\mathbb{O}_{\sigma^1} = \{(u_1, w_1) \in \mathbb{C}^* \times \mathbb{C}^*, v_1 = 0\}.$

(iii) $X_{\sigma^{12}} = \operatorname{Spec} \mathbb{C}[\xi_1^{-1}(\xi_2^{y_0}\xi_3^{z_0})^{a_1}, \xi_1(\xi_2^{y_0}\xi_3^{z_0})^{a_1}, \xi_2^{y_0}\xi_3^{z_0}, \xi_2^{-a_3}\xi_3^{a_2}, \xi_2^{a_3}\xi_3^{-a_2}] i.e. X_{\sigma^{12}}$ isomorphic to $\operatorname{Spec} \mathbb{C}[x, y, w, z, z^{-1}]/\langle xy = w^{2a_1} \rangle$. $\mathbb{O}_{\sigma^{12}} = \{z \in \mathbb{C}^*, x = y = w = 0\},$ i.e. each point of $\mathbb{O}^{\sigma_{12}}$ is singular of type A_{2a_1-1} .

Proof

- (i) Trivial.
- (ii) Clearly the three vectors $(1, -a_1y_0, -a_1z_0)$, $(0, y_0, z_0)$, $(0, -a_3, a_2)$ form a \mathbb{Z} -basis of \mathbb{Z}^3 . So each $(r_1, r_2, r_3) \in \mathbb{Z}^3$ can be written as

$$(r_1, r_2, r_3) = r_1(1, -a_1y_0, -a_1z_0) + s(0, y_0, z_0) + t(0, -a_3, a_2)$$
(1)

with $s, t \in \mathbb{Z}$. Now $\langle (r_1, r_2, r_3), \sigma^1 \rangle \ge 0$ exactly if $a_1r_1 + a_2r_2 + a_3r_3 \ge 0$. But s is equal to $a_1r_1 + a_2r_2 + a_3r_3$ by (1). Therefore X_{σ^1} is given as stated in the lemma. Computing \mathbb{O}_{σ^1} is straightforward.

(iii) We have two \mathbb{Z} -bases of \mathbb{Z}^3 ; first the three vectors $(1, -a_1y_0, -a_1z_0)$, $(0, y_0, z_0)$, $(0, -a_3, a_2)$ and second the vectors $(1, a_1y_0, a_1z_0)$, $(0, y_0, z_0)$, $(0, -a_3, a_2)$. So for each $(r_1, r_2, r_3) \in \mathbb{Z}^3$ we can write

$$(r_1, r_2, r_3) = r_1(1, -a_1y_0, -a_1z_0) + s(0, y_0, z_0) + t(0, -a_3, a_2),$$
(2)

$$(r_1, r_2, r_3) = r_1(1, a_1 y_0, a_1 z_0) + \tilde{s}(0, y_0, z_0) + \tilde{t}(0, -a_3, a_2), \tag{3}$$

with $s, t, \tilde{s}, \tilde{t} \in \mathbb{Z}$. Since $\langle (r_1, r_2, r_3), \sigma^{12} \rangle \ge 0$ if and only if $a_1 r_1 + a_2 r_2 + a_3 r_3 \ge 0$ and $-a_1 r_1 + a_2 r_2 + a_3 r_3 \ge 0$ it follows with (2) and (3) that

$$a_1r_1 + a_2r_2 + a_3r_3 = s = 2a_1r_1 + \tilde{s} \ge 0,$$

 $a_1r_1 + a_2r_2 + a_3r_3 = \tilde{s} = -2a_1r_1 + s \ge 0.$

Let first be $r_1 \ge 0$, then both inequalities are fulfilled exactly if $\tilde{s} \ge 0$. Secondly let $r_1 \le 0$, then the necessary and sufficient condition is $s \ge 0$. This proves (iii) (again $\mathbb{O}_{\sigma^{12}}$ is easy to calculate).

We do not need the chart $X_{\sigma^{1234}}$ since we have:

LEMMA 2. The closure $F_{\lambda}(V)$ of the Fermi surface $F_{\lambda}(V)$ in X_{Σ} doesn't intersect the zero-dimensional orbits.

Proof. It is enough to show that $\mathbb{O}_{\sigma^{1234}} \cap F_{\lambda}(V) = \emptyset$. Since $\dim_{\mathbb{C}} \mathbb{O}_{\sigma^{1234}} = 0$ the (singular) point $\mathbb{O}_{\sigma^{1234}}$ has coordinates (in $X_{\sigma^{1234}}$) $\xi_1^{r_1} \xi_2^{r_2} \xi_3^{r_3} = 0$ for all $(r_1, r_2, r_3) \in \mathbb{Z}^3$ with $\langle (r_1, r_2, r_3), \sigma^{1234} \rangle \geq 0$.

Clearly $\xi_3 \in \mathbb{C}$ is a coordinate of $X_{\sigma^{1234}}$, so the polynomial P, defining $F_{\lambda}(V)$, has a pol in ξ_3 of order a_1a_2 . On the other hand since $P = \sum_{ijk} a_{ijk}(\lambda)\xi_1^i \xi_2^j \xi_3^k$ with (due to the boundary conditions defining the Fermi surface) $a_1|i| + a_2|j| + a_3|k| \le a_1a_2a_3$ it follows that each summand $\xi_1^i \xi_2^j \xi_3^{k+a_1a_2} \ne 1$ of the polynomial $\xi_3^{a_1a_2}P$ is a coordinate of $X_{\sigma^{1234}}$.

Therefore the closure of $F_{\lambda}(V)$ in X_{Σ} lying in the chart $X_{\sigma^{1234}}$ is given by the equation

$$\xi_3^{a_1a_2}P=0.$$

But $\xi_3^{a_1 a_2} P|_{O_{\sigma^{1234}}} = (-1)^{a_1 a_2 (a_3 - 1)} \neq 0.$

Motivated from this lemma we are only are interested in the closure $\overline{F_{\lambda}(V)}$ of $F_{\lambda}(V)$ in

 $X_{\Sigma}^* = X_{\Sigma} - \{\text{union of the zero-dimensional orbits}\}.$

3. The compactification

We consider the compactified Fermi surface as the solution of a spectral problem on a vectorbundle Y of infinite rank on X_{Σ}^* .

We define by F the infinite-dimensional vector space of all functions $\psi: \mathbb{Z}^3 \to \mathbb{C}$. The vectorbundle $\pi: Y \to X_{\Sigma}^*$ will be trivial over each affine part X_{σ} of X_{Σ}^* ($\sigma \in \Sigma$).

On $Y|_{X_{\sigma^0}} = X_{\sigma^0} \times F = \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C}^* \times F$ we have four commutating operators

$$T - \lambda 1 := -\Delta + V - \lambda 1, \qquad S^{(a_1,0,0)} - \xi_1 1, \qquad S^{(0,a_2,0)} - \xi_2 1, \qquad S^{(0,0,a_3)} - \xi_3 1,$$

for $(\xi_1, \xi_2, \xi_3) \in X_{\sigma^0}$.

DEFINITION. The (uncompactified) Fermi surface $F_{\lambda}(V)$ is the support of the bundle

 $\{(\xi_1, \xi_2, \xi_3, y_0) \in X_{\sigma^0} \times F \mid \text{the above four operators have a common kernel } \psi_0\}.$

By symmetry and lemma 2 (since we want the closure $F_{\lambda}(V)$ of $F_{\lambda}(V)$ in X_{Σ}^{*} to coincide with the support of bundle on X_{Σ}^{*}) it is enough to extend the vectorbundle $Y|_{X_{\sigma^{0}}}$ on $X_{\sigma^{1}}$ and $X_{\sigma^{12}}$. We give the transition functions, using lemma 1:

(i)
$$X_{\sigma^1} = \text{Spec } \mathbb{C}[u_1, u_1^{-1}, v_1, w_1, w_1^{-1}]$$
, i.e. the coordinates are $(u_1, v_1, w_1) \in$

 $\mathbb{C}^* \times \mathbb{C} \times \mathbb{C}^*$. We now identify $(\xi_1, \xi_2, \xi_3, \psi_0) \in X_{\sigma^0} \times F$ with $(u_1, v_1, w_1, \psi_1) \in X_{\sigma^1} \times F$ on $X_{\sigma^1} \cap X_{\sigma^0} = X_{\sigma^0}$ (or equivalently on $v_1 \neq 0$) by

$$u_1 = \xi_1^{-1} (\xi_2^{y_0} \xi_3^{z_0})^{a_1}, \qquad v_1 = \xi_2^{y_0} \xi_3^{z_0}, \qquad w_1 = \xi_2^{-a_3} \xi_3^{a_2}$$

(this is the coordinate change on X_{Σ} from X_{σ^0} to X_{σ^1}) and

$$\psi_0(m, n, p) = v_1^{m+n+p} \psi_1(m, n, p).$$

(ii) On $X_{\sigma^{12}}$ we have coordinates $(x, y, w, z) \in \mathbb{C}^3 \times \mathbb{C}^*$ with $xy = w^{2a_1}$. Identify $(\xi_1, \xi_2, \xi_3, \psi_0) \in X_{\sigma^0} \times F$ with $(x, y, w, z) \in X_{\sigma^{12}} \times F$ on $X_{\sigma^{12}} \cap X_{\sigma^0}$ (i.e. on $w \neq 0$) by

$$x = \xi_1^{-1} (\xi_2^{y_0} \xi_3^{z_0})^{a_1}, \qquad y = \xi_1 (\xi_2^{y_0} \xi_3^{z_0})^{a_1}, \qquad w = \xi_2^{y_0} \xi_3^{z_0}, \qquad z = \xi_2^{-a_3} \xi_3^{a_2},$$

and

$$\psi_0(m, n, p) = w^{m+n+p} x^{-m/a_1} \psi_{12}(m, n, p).$$

Denote by $\overline{F_{\lambda}(V)}$ the closure of $F_{\lambda}(V)$ in X_{Σ}^{*} .

PROPOSITION 1. $(\overline{F_{\lambda}(V)} - F_{\lambda}(V)) \cap X_{\sigma^{12}}$ is the union of two rational curves Q_1 and Q_2 with the following properties;

- (i) Q_i (i = 1, 2) has $(a_1 1)(a_2 1)(a_3 1) + \sum_{i \neq j} (a_i 1)(a_j 1)$ ordinary double points. Q_i does not depend on the potential V.
- (ii) $Q_1 \cap Q_2$ is a point (=: P_{12}). P_{12} lies on the singular orbit $\mathbb{O}_{\sigma^{12}}$.
- (iii) $\overline{F_{\lambda}(V)}$ is smooth on all smooth points of $Q_1 \cup Q_2 \{P_{12}\}$.

Remark. Since P_{12} is singular, we will resolve this point. The strict transformation of $\overline{F_{\lambda}(V)}$ on the exceptional divisor is then one of the hyperelliptic curves mentioned in theorem 1 of the introduction.

PROPOSITION 2. Q_1 is given on the chart X_{σ^1} as the support of the bundle $(u_1, v_1, w_1, \psi_1) \in Y$ with

$$(S^{(-1,0,0)} + S^{(0,-1,0)} + S^{(0,0,-1)})\psi_1 = 0,$$

$$S^{(-a_1,0,0)}\psi_1 = u_1\psi_1, \qquad S^{(0,-a_2a_3,a_2a_3)}\psi_1 = w_1\psi_1, \qquad S^{(0,a_2y_0,a_3z_0)}\psi_1 = \psi_1$$

with $v_1 = 0$.

We first prove Proposition 2, then Proposition 1 will follow easily.

Proof of proposition 2. The spectral problem on $X_{\sigma^0} \times F$

$$T\psi_0 = \lambda \psi_0, \qquad S^{(a_1,0,0)}\psi_0 = \xi_1 \psi_0, \qquad S^{(0,a_2,0)}\psi_0 = \xi_2 \psi_0, \qquad S^{(0,0,a_3)}\psi_0 = \xi_3 \psi_0$$

can be written alternatively as

$$\begin{split} TS^{(0,a_2y_0,a_3z_0)}\psi_0 &= \lambda \xi_2^{y_0} \xi_3^{z_0} \psi_0, \qquad S^{(-a_1,0,0)}\psi_0 = \xi_1^{-1} \psi_0, \\ S^{(0,a_2y_0,a_3z_0)}\psi_0 &= \xi_2^{y_0} \xi_3^{z_0} \psi_0, \qquad S^{(0,-a_2a_3,a_2a_3)}\psi_0 = \xi_2^{-a_3} \xi_3^{a_2} \psi_0, \end{split}$$

since the shift operators are invertible and the vectors $(-a_1, 0, 0)$, $(0, a_2y_0, a_3z_0)$, $(0, -a_3a_2, a_3a_2)$ are also a basis for the lattice Γ .

By the construction of the vectorbundle Y these four equations transform to

$$\begin{split} &-(S^{(-1,a_2y_0,a_3z_0)}\psi_1+v_1^2S^{(1,a_2y_0,a_3z_0)}\psi_1+S^{(0,a_2y_0-1,a_3z_0)}\psi_1\\ &+v_1^2S^{(0,a_2y_0+1,a_3z_0)}\psi_1+S^{(0,a_2y_0,a_3z_0-1)}\psi_1+v_1^2S^{(0,a_2y_0,a_3z_0+1)}\psi_1)\\ &+v_1VS^{(0,a_2y_0,a_3z_0)}\psi_1=v_1\lambda S^{(0,a_2y_0,a_3z_0)}\psi_1,\\ S^{(-a_1,0,0)}\psi_1=u_1\psi_1,\qquad S^{(0,a_2y_0,a_3z_0)}\psi_1=\psi_1,\qquad S^{(0,-a_2a_3,a_2a_3)}\psi_1=w_1\psi_1, \end{split}$$

on $X_{\sigma^1} \times F$.

But $X_{\sigma^1} - X_{\sigma^0} = \{v_1 = 0\}$ and on the open set $X_{\sigma^1} \cup X_{\sigma^0} = X_{\sigma^0}$ by the continuity of the transition function the spectral problems on $Y|_{X_{\sigma^0}}$ and $Y|_{X_{\sigma^1}}$ coincide.

Therefore $(\overline{F_{\lambda}(V)} - F_{\lambda}(V)) \cap X_{\sigma^1}$ is the support of the following spectral problem

$$(S^{(-1,a_2y_0,a_3z_0)} + S^{(0,a_2y_0-1,a_3z_0)} + S^{(0,a_2y_0,a_3z_0-1)})\psi_1 = 0,$$

$$S^{(-a_1,0,0)}\psi_1 = u_1\psi_1, \qquad S^{(0,a_2y_0,a_3z_0)}\psi_1 = \psi_1, \qquad S^{(0,-a_2a_3,a_2a_3)}\psi_1 = w_1\psi_1,$$

which leads immediately to proposition 2.

Proof of proposition 1. (i) Clearly Q_1 does not depend on V. To calculate the genus of this curve, we consider a covering of Q_1 with $a_1a_2a_3$ sheets, as in [4].

Let μ_{a_1} (resp. $\mu_{a_2a_3}$) be the multiplicative group of a_1 th (resp. a_2a_3 th) root of unity and $(z_1^{-a_1}, z_2^{-a_2a_3}) := (u_1, w_1)$. Then the functions $e_{\rho}(z)(m, -n, n) = (\rho_1 z_1)^m (\rho_2 z_2)^n$ with $\rho = (\rho_1, \rho_2) \in \mu_{a_1} \times \mu_{a_2a_3}$ form a basis of the vectorspace of functions

$$\psi: \mathbb{Z}^2 \to \mathbb{C}, (m, -n, n) \to \psi(m, -n, n) \quad \text{with } S^{(-a_1, 0, 0)} \psi_1 = u_1 \psi_1,$$

$$S^{(0, -a_2 a_3, a_2 a_3)} \psi_1 = w_1 \psi_1.$$

The operator $S^{(-1,a_2y_0,a_3z_0)} + S^{(0,a_2y_0-1,a_3z_0)} + S^{(0,a_2y_0,a_3z_0-1)}$ diagonalizes in this basis and considering the covering

$$c: \mathbb{C}^* \times \mathbb{C}^* \to \mathbb{C}^* \times \mathbb{C}^*, (z_1, z_2) \to (z_1^{-a_1}, z_2^{-a_2a_3}) = (u_1, w_1)$$

 $\tilde{Q}_1 = c^{-1}(Q_1)$ is given by

$$\bigcup_{\rho} \{(z_1, z_2) \in \mathbb{C}^* \times \mathbb{C}^* \mid (\rho_1 z_1)^{-1} + (\rho_2 z_2)^{a_2 y_0 - 1} + (\rho_2 z_2)^{a_2 y_0} = 0\}.$$

This means Q_1 is rational. Let $C_{\rho} = \{(\rho_1 z_1)^{-1} + (\rho_2 z_2)^{a_2 y_0 - 1} + (\rho_2 z_2)^{a_2 y_0} = 0\}$. Perform the changes of coordinates

$$z_1 = y^{-a_2 y_0} x^{-a_3 z_0}, \qquad z_2 = y x^{-1}$$

 C_{ρ} transforms to

$$\rho_1^{-1}xy + \rho_2^{-a_3z_0}x + \rho_2^{a_2y_0}y = 0.$$

Since a_2 and a_3 are relatively prime we have $\mu_{a_2a_3} = \mu_{a_2} \otimes \mu_{a_3}$ i.e. each $\rho_2 \in \mu_{a_2a_3}$ can be written as $\tilde{\rho}_2 \tilde{\rho}_3^{-1}$ with $\tilde{\rho}_2 \in \mu_{a_2}$, $\tilde{\rho}_3 \in \mu_{a_3}$. Therefore $C_{\rho} = C_{(\rho_1, \tilde{\rho}_2, \tilde{\rho}_3)}$ is given by

$$\rho_1^{-1}xy + \tilde{\rho}_2^{-1}x + \tilde{\rho}_3^{-1}y = 0.$$

For $\rho \in \mu_{a_1} \times \mu_{a_2} \times \mu_{a_3}$ the action on \tilde{Q}_1 is given by $\rho \cdot (x, y) = (\rho_1^{-1} \tilde{\rho}_2 x, \rho_1^{-1} \tilde{\rho}_3 y)$, and we have $\rho \cdot C_{\rho'} = C_{\rho\rho'}$. Now by Bézout's Theorem C_1 and C_{ρ} intersect transverse in $\mathbb{C}^* \times \mathbb{C}^*$ in exactly one point, given by

$$x(\rho) = -\frac{\tilde{\rho}_3^{-1} - \tilde{\rho}_2^{-1}}{\rho_1^{-1} - \tilde{\rho}_2^{-1}}, \qquad y(\rho) = -\frac{\tilde{\rho}_2^{-1} - \tilde{\rho}_3^{-1}}{\rho_1^{-1} - \tilde{\rho}_3^{-1}}$$

if ρ is not of the form $(1, 1, \tilde{\rho}_3)$, $(1, \tilde{\rho}_2, 1)$, $(\rho_1, 1, 1)$. In this second case we have $C_1 \cap C_\rho \cap (\mathbb{C}^* \times \mathbb{C}^*) = \emptyset$. To prove (i) it remains to show that

$$(x(\rho), y(\rho)) \neq (x(\rho'), y(\rho'))$$
 for $\rho \neq \rho'$,

because then Q_1 has exactly

$$a_1a_2a_3-1-(a_1-1)-(a_2-1)-(a_3-1)$$

ordinary double points.

Observe that $\arg x(\rho) = \alpha = \text{angle in } \tilde{\rho}_2^{-1}$ of the triangle $\rho_1^{-1}, \tilde{\rho}_2^{-1}, \tilde{\rho}_3^{-1}$. Put $\rho_1^{-1} = e^{2\pi i m_1/a_1}, \quad \rho_1'^{-1} = e^{2\pi i m_1'/a_1}, \quad \tilde{\rho}_3^{-1} = e^{2\pi i m_3/a_3} \quad \text{and} \quad \tilde{\rho}_3'^{-1} = e^{2\pi i m_3'/a_3} \quad \text{with } m_i, m_i' \in \{0, \dots, a_i - 1\}$. One shows

$$\alpha=\pi\left|\frac{m_3}{a_3}-\frac{m_1}{a_1}\right|,$$

so if $x(\rho) = x(\rho')$ then $a_3(m_1 \pm m_1') = a_1(m_3 \pm m_3')$. Since a_1 and a_3 are relatively prime it follows that

$$(m_1 \pm m'_1, m_3 \pm m'_3) \in \{(0, 0), \pm (a_1, a_3)\}.$$

In the first case we get either $\rho_1 = \rho_1'$, $\rho_3 = \tilde{\rho}_3'$ or $\rho_1 = \rho_1'^{-1}$, $\tilde{\rho}_3 = \tilde{\rho}_3'^{-1}$. If $\rho_1 = \rho_1'$, $\tilde{\rho}_3 = \tilde{\rho}_3'$ using $x(\rho) = x(\rho')$ we have $\tilde{\rho}_2 = \tilde{\rho}_2'$. If on the other hand $\rho_1 = \rho_1'^{-1}$, $\tilde{\rho}_3 = \tilde{\rho}_3'^{-1}$ by assuming $\arg y(\rho) = \arg y(\rho')$ it follows $\tilde{\rho}_2 = \tilde{\rho}_2'^{-1}$, i.e. $x(\rho)$ is real, so $\alpha \in \{0, \pi\}$ and therefore $\rho_1 = \tilde{\rho}_3 = 1$ which contradicts $y(\rho) \in \mathbb{C}^*$. The cases $m_i \pm m_i' = \pm a_i$ are treaten similarly.

(ii) The spectral problem on $X_{\sigma^{12}} \times F$ is given in the coordinates (x, y, w, z) of $X_{\sigma^{12}}$ by

$$TS^{(0,a_2y_0,a_3z_0)}\psi_0 = \lambda w\psi_0, \qquad S^{(-a_1,0,0)}\psi_0 = xw^{-a_1}\psi_0,$$

$$S^{(0,a_2y_0,a_3z_0)}\psi_0 = w\psi_0, \qquad S^{(0,-a_2a_3,a_2a_3)}\psi_0 = z\psi_0.$$

By the construction of the vectorbundle the last three equations transform to

$$S^{(-a_1,0,0)}\psi_{12} = xw^{-a_1}\psi_{12}, \qquad S^{(0,a_2y_0,a_3z_0)}\psi_{12}, \qquad S^{(0,-a_2a_3,a_2a_3)}\psi_{12} = z\psi_{12}$$

The first equation gives (on x = y = w = 0), using $S^{(0,a_2y_0,a_3z_0)}\psi_{12} = \psi_{12}$,

$$(S^{(0,-1,0)} + S^{(0,0,-1)})\psi_{12} = 0,$$

i.e. $S^{(0,-1,1)}\psi_{12} = -\psi_{12}$.

It follows $S^{(0,-a_2a_3,a_2a_3)}\psi_{12} = (-1)^{a_2a_3}\psi_{12}$, which leads to $z = (-1)^{a_2a_3}$. This means $F_{\lambda}(V) \cap \mathbb{O}_{\sigma^{12}} = \text{one}$ point (P_{12}) with coordinates x = y = w = 0, $z = (-1)^{a_2a_3}$.

(iii) On X_{σ^1} , $\overline{F_{\lambda}(V)}$ is given as the zero set of polynomial $P(u_1, u_1^{-1}, w_1, w_1^{-1}, v_1) = Q(u_1, u_1^{-1}, w_1, w_1^{-1}) + v_1 R(u_1, u_1^{-1}, w_1, w_1^{-1}, v_1)$, where the zero set of Q describes Q_1 . So $\overline{F_{\lambda}(V)}$ is smooth on the smooth points of $Q_1 \subset (X_{\sigma^1} \cap \{v_1 = 0\})$.

Now we resolve the singular point P_{12} of type A_{2a_1-1} . Its coordinates are

$$x = y = w = 0,$$
 $z = (-1)^{a_2 a_3}.$

Blowing up this point in \mathbb{C}^4 a_1 -times, the exceptional divisor is the transverse union of a_1 hyperplanes E_i $(i = 1, ..., a_1)$, where the E_i is the exceptional divisor of the *i*th blowing up.

PROPOSITION 3. The blowing up of $\overline{F_{\lambda}(V)}$ at the point P_{12} intersects only the exceptional divisor E_{a_1} . The strict transform of $\overline{F_{\lambda}(V)}$ (on E_{a_1}) is a hyperelliptic curve of arithmetic genus $a_1 - 1$. The blowing up of $\overline{F_{\lambda}(V)}$ is smooth on all smooth points of this curve. Furthermore the curve is determined by the following one-dimensional spectral problem

$$S^{(-a_1,0,0)}\psi = x_{a_1}\psi, \qquad S^{(0,a_2,y_0,a_3,z_0)}\psi = \psi, \qquad S^{(0,-1,1)}\psi = -\psi,$$

$$-\psi(m-1,n,p) - \psi(m+1,n,p)$$

$$+\frac{1}{a_2a_3} \left(\sum_{i=1}^{a_2} \sum_{j=1}^{a_3} V(m,i,j)\right)\psi(m,n,p)$$

$$= \tilde{z}\psi(m,n,p)$$

where the coordinates \tilde{z} , x_{a_1} are defined by resolving the point P_{12} :

$$w = \mu, \qquad x = \mu^{a_1} x_{a_1}, \qquad y = \mu^{a_1} y_{a_1},$$

$$(1 + (-1)^{a_2 a_3 - 1} z) = a_2 a_3 (-1)^{a_2 y_0} \mu(\tilde{z} - \lambda)$$

(here $\mu = 0$ is the exceptional divisor E_{a_1}).

Due to the shift operators $S^{(0,a_2y_0,a_3z_0)}$ and $S^{(0,-1,1)}$ the curve on E_{a_1} is already determined by the values of $\psi(m,n,p)$ on the line spanned by the vector $(a_1,0,0)$. Therefore Proposition 3 and Proposition 2 prove the theorems in the introduction.

Proof. We first calculate the strict transform of $\overline{F_{\lambda}(V)}$ on E_{a_1} . Blowing up P_{12} a_1 -times, we get the coordinates

$$w = \mu, \qquad x = \mu^{a_1} x_{a_1}, \qquad y = \mu^{a_1} y_{a_1},$$

$$(1 + (-1)^{a_2 a_3 - 1} z) = a_2 a_3 (-1)^{a_2 y_0} \mu(\tilde{z} - \lambda)$$

Denote by U the chart generated by the coordinates $(\mu, x_{a_1}, y_{a_1}, \tilde{z})$, i.e.

$$U = \{(\mu, x_{a_1}, y_{a_1}, \tilde{z}) \in \mathbb{C} \times \mathbb{C}^* \times \mathbb{C}^* \times \mathbb{C} \mid x_{a_1} y_{a_1} = 1\}.$$

Now $X_{\sigma^0} \cap U = X_{\sigma^0}$ and define the transition function for the vectorbundle Y by

$$\psi_0(m, n, p) = \mu^{n+p} \psi(m, n, p).$$

The spectral problem on $X_{\sigma^0} \times F$ is given in the coordinates of U by

$$TS^{(0,a_2y_0,a_3z_0)}\psi_0 = \mu\lambda\psi_0 \tag{1}$$

$$S^{(-a_1,0,0)}\psi_0 = x_{a_1}\psi_0, \qquad S^{(0,a_2y_0,a_3z_0)}\psi_0 = \mu\psi_0, \tag{2}, (3)$$

$$\mu^{-1}\left\{1+(-1)^{a_2a_3-1}S^{(0,-a_2a_3,a_2a_3)}\right\}\psi_0=a_2a_3(-1)^{a_2y_0}(\tilde{z}-\lambda)\psi_0\tag{4}$$

Using the transition function the equations (1), (2) and (3) transform to

$$-S^{(0,a_2y_0-1,a_3z_0)}\psi - S^{(0,a_2y_0,a_3z_0-1)}\psi$$

$$+\mu\{-S^{(-1,a_2y_0,a_3z_0)}\psi - S^{(1,a_2y_0,a_3z_0)}\psi + VS^{(0,a_2y_0,a_3z_0)}\psi\}$$

$$-\mu^2\{S^{(0,a_2y_0+1,a_3z_0)}\psi + S^{(0,a_2y_0,a_3z_0+1)}\psi\} = \mu\lambda\psi$$

and

$$S^{(-a_1,0,0)}\psi = x_{a_1}\psi, \qquad S^{(0,a_2y_0,a_3z_0)}\psi = \psi.$$

Therefore on $E_{a_1} = \{\mu = 0\}$ we have

$$S^{(0,-1,1)}\psi = -\psi, \qquad S^{(-a_1,0,0)}\psi = x_{a_1}\psi, \qquad S^{(0,a_2y_0,a_3z_0)}\psi = \psi.$$

To explore (4) observe that

$$1 + (-1)^{a_2 a_3 - 1} S^{(0, -a_2 a_3, a_2 a_3)} = \sum_{i=0}^{a_2 a_3 - 1} (-1)^i (S^{i(0, -1, 1)} + S^{(i+1)(0, -1, 1)})$$

On the other hand we have form (1)

$$(S^{i(0,-1,1)} + S^{(i+1)(0,-1,1)})\psi_0 = -S^{(-1,-i,i+1)}\psi_0 - S^{(1,-i,i+1)}\psi_0$$
$$-S^{(0,-i,i+2)}\psi_0 - S^{(0,-i+1,i+1)}\psi_0 + (V(m,n-i,p+i+1)-\lambda)S^{(0,-i,i+1)}\psi_0$$

Thus

$$\mu^{-1}\left\{1+(-1)^{a_{2}a_{3}-1}S^{(0,-a_{2}a_{3},a_{2}a_{3})}\right\}\psi_{0} = \mu^{-1}\sum_{i=0}^{a_{2}a_{3}-1}(-1)^{i}\left\{-\mu^{n+p+1}(S^{(-1,-i,i+1)}\psi) + S^{(1,-i,i+1)}\psi\right\}$$

$$+ S^{(1,-i,i+1)}\psi) - \mu^{n+p+2}(S^{(0,-i,i+2)}\psi + S^{(0,-i+1,i+1)}\psi)$$

$$+ \mu^{n+p+1}(V(m,n-i,p+1+i) - \lambda)S^{(0,-i,i+1)}\psi\}$$

So on $\mu = 0$ (4) transforms to

$$\sum_{i=0}^{a_2 a_3 - 1} (-1)^i \{ -(S^{(-1, -i, i+1)} - S^{(1, -i, i+1)} + (V(m, n-i, p+1+i) - \lambda) S^{(0, -i, i+1)} \psi \} = a_2 a_3 (-1)^{a_2 y_0} (\tilde{z} - \lambda) \psi.$$

Since $S^{(0,-1,1)}\psi = -\psi$ we get

$$-a_2 a_3 S^{(-1,0,0)} \psi - a_2 a_3 S^{(1,0,0)} \psi - \lambda a_2 a_3 S^{(0,0,0)} \psi + \sum_{i=0}^{a_2 a_3 - 1} V(m, n-i, p+i) \psi$$

= $a_2 a_3 (-1)^{a_2 y_0} (\tilde{z} - \lambda) S^{(0,0,-1)} \psi$.

But $S^{(0,0,-1)} = S^{(0,-a_2y_0,-a_3z_0)}S^{-(0,-a_2y_0,a_2y_0)}$ and we have

$$-S^{(-1,0,0)}\psi - S^{(1,0,0)}\psi + \frac{1}{a_2a_3}\sum_{i=0}^{a_2a_3-1}V(m,n-i,p+i)\psi = \tilde{z}\psi.$$

Now a_2 and a_3 are relatively prime, therefore we get the desired spectral problem as in proposition 3.

Let now π_i be the *i*th blowing up of the point P_{12} and E_i the exceptional divisor. So we have

$$w = \mu$$
, $x = \mu^{i} x_{i}$, $y = \mu^{i} y_{i}$, $(1 + (-1)^{a_{2}a_{3}-1}z) = a_{2}a_{3}(-1)^{a_{2}y_{0}}\mu(\tilde{z} - \lambda)$.

Let $U_i = \{(\mu, x_i, y_i, \hat{z}) \in \mathbb{C}^4 \mid x_i y_i = \mu^{2a_1 - 2i}\}$ be the new chart. On $U_i \cap X_{\sigma^0} = X_{\sigma^0}$ define the transition function $\psi_0(m, n, p) = \mu^{n+p} \psi_i(m, n, p)$. The spectral problem on $X_{\sigma^0} \times F$ is given by the equations (1), (3), (4) and

$$S^{(-a_1,0,0)}S^{(a_1-i)(0,a_2y_0,a_3z_0)}\psi_0 = x_i\psi_0$$

$$S^{(a_1,0,0)}S^{(a_1-i)(0,a_2y_0,a_3z_0)}\psi_0 = y_i\psi_0$$

The last two equations give on the exceptional divisor $E_i = \{\mu = 0\}$ $x_i = y_i = 0$ for $i \neq a_1$, i.e.

$$\overline{\pi^{-1}(\overline{F_{\lambda}(V)}-P_{12})}\cap E_i=(E_i)_{\text{singular}}$$

Denote by H_{12} the above hyperelliptic curve. Now $F_{\lambda}(V)_{\text{comp}}$ is smooth on the smooth points of $H_{12} - (H_{12} \cap Q_1 \cap Q_2)$ as in proposition 2. Observe that $Q_1 \subset X_{\sigma^{12}}$ lies in the plane x = 0, so by the blowing-ups Q_1 intersects H_{12} transversally at $x_{a_1} = 0$ (and similarly Q_2 intersects H_{12} at $x_{a_1} = y_{a_1}^{-1} = \infty$), i.e. on (see the introduction) a smooth point of H_{12} . This proves proposition 3.

REFERENCES

- [1] N. M. ASHCROFT, N. D. MERMIN, Solid State Physics, Holt-Saunders International Ed., 1976.
- [2] D. BÄTTIG, A toroidal compactification of the two-dimensional Bloch-manifold, Diss. ETH-Zürich No. 8656, 1988.
- [3] D. BÄTTIG, H. KNÖRRER, E. TRUBOWITZ, A directional compactification of the complex Fermi surface, Comp. Math 79 (1991), 205-229.
- [4] D. GIESEKER, H. KNÖRRER, E. TRUBOWITZ, The geometry of algebraic Fermi curves, to appear.
- [5] J. Jurkiewicz, Torus embeddings, polyhedra, k*-actions and homology, Diss. Math., Warschau 1985.
- [6] G. KEMPF, F. KNUDSEN, D. MUMFORD, B. SAINT-DONANT, *Toroidal embeddings I*, Lecture notes in Math. 339, Springer-Verlag 1973.
- [7] H. Knörrer, E. Trubowitz, A directional compactification of the complex Bloch variety, Comm. Math. Helv. 65 (1990), 114-149.
- [8] P. VAN MOERBEKE, D. MUMFORD, The spectrum of difference operators and algebraic curves, Acta Math. 143 (1979), 93-154.
- [9] T. ODA, Convex bodies and algebraic geometry, an introduction to the theory of toric varieties, Springer-Verlag 1988.

Département de Mathématiques et Informatique Université Paris Nord Av. Jean Baptiste Clément 93430 Villetaneuse, France

Received December 28, 1989; July 7, 1991