# **Approximation**

Objekttyp: Chapter

Zeitschrift: L'Enseignement Mathématique

Band (Jahr): 14 (1968)

Heft 1: L'ENSEIGNEMENT MATHÉMATIQUE

PDF erstellt am: **10.08.2024** 

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### APPROXIMATION

We use positive *n*-tuples  $\rho$ , ... with  $\rho \leqslant \rho_2 < \rho_3 < \rho_4 < \rho_1$  and  $\rho = \gamma'' \rho_1$ ,  $\rho_2 = \gamma \rho_1$ ,  $\rho_3 = \gamma' \rho_1$ ,  $\rho_4 = \gamma''' \rho_1$ . The *n*-tuple  $\rho_1$  is defined as in the smoothing theorem.

Definition:  $H_*^l = \{ \xi \in H^l(X_0, \underline{F}|X_0) \text{ such that there exists } U = U(0) \text{ in } E^n \text{ with } \hat{\xi} \in H^l(\psi^{-1}(U), \overline{F}) \text{ and } \hat{\xi} \mid X_0 = \xi \}.$  Serre's theorem gives  $\dim_C H_*^l \leqslant \dim_C H^l(X_0, \underline{F}|X_0) < \infty$ . In the following discussion we are given  $\hat{\mathfrak{b}}_1, \ldots, \hat{\mathfrak{b}}_r$  in  $Z^l(\hat{\mathfrak{U}}'(\rho_4), \overline{F})$  such that  $\hat{\mathfrak{b}}_1 \mid X_0, \ldots \hat{\mathfrak{b}}_r \mid X_0$  constitute a base of the complex vector space  $H_*^l$ . For this to be possible,  $\rho_4$  has to be chosen small enough. Here  $\hat{\mathfrak{U}}'$  is a Stein covering of  $X(\rho_1)$  and defined as in the smoothing theorem. We also assume that we are given a sequence of measure coverings as there. Further we construct the sequence so that there are still sufficiently many measure coverings in between  $\mathfrak{B}$  and  $\mathfrak{U}$ . These are denoted by  $\mathfrak{U}_v^*$ . We have  $\mathfrak{U} \gg \mathfrak{U}_1^* \gg \mathfrak{U}_2^* \gg \ldots \gg \mathfrak{B}$ . The *n*-tupel  $\rho_3$  is also fixed from now on and K always denotes (possibly different) constants.

Approximation Lemma: Let  $\varepsilon > 0$ . Then we can find  $\rho_2$  such that: If  $\rho \leqslant \rho_2$  and  $\hat{\xi} \in Z^l(\hat{\mathfrak{U}}(\rho), \mathbf{F})$  with  $||\hat{\xi}||_{\rho} < \infty$  (the norm is taken with respect to  $\hat{\mathfrak{U}}_1^*(\rho)$ ), then there exist  $a_1, \ldots a_r \in I(E^n(\rho))$  and  $\hat{\eta} \in C^{l-1}(\hat{\mathfrak{B}}(\rho), \mathbf{F})$  such that  $\tilde{\xi} = \hat{\xi} - \sum_{1}^{r} a_i \hat{\mathfrak{b}}_i - \delta \hat{\eta}$  on  $\hat{\mathfrak{B}}(\rho)$ . Here  $\tilde{\xi} \in Z^l(\hat{\mathfrak{B}}(\rho), \mathbf{F})$  and  $||\tilde{\xi}||_{\rho} \leqslant \varepsilon$   $||\hat{\xi}||_{\rho}$  and  $||a_v||_{\rho}, ||\hat{\eta}||_{\rho} \leqslant K ||\hat{\xi}||_{\rho}$ . K is a fixed constant.

Proof. We shall first prove some results which are needed later on. Let  $S \in \Gamma$   $(\hat{U}_{\iota_0 \dots \iota_l}(\rho), \mathbf{F})$ . Choose  $\iota \in \{\iota_0, \dots, \iota_l\}$ . Now  $(U_{\iota_0 \dots \iota_l}^{(1)*})_{\iota} \subset \hat{U}_{\iota_0 \dots \iota_l}$  because  $\mathfrak{U}_1^* \ll \mathfrak{U}$ . The operations are always defined with respect to  $\rho_1$ . We can now restrict S to  $(U_{\iota_0 \dots \iota_l}^{(1)*})_{\iota}(\rho)$ . In the chart  $\mathcal{W}_{\iota}$  we can write  $S = \sum a_{\nu} (t/\rho)^{\nu}$ . Here  $a_{\nu} \in qI(U_{\iota_0 \dots \iota_l}^{(1)*})_{\iota}$ . Now the  $a_{\nu}$  are extended constantly and we get elements  $\hat{a}_{\nu} \in \Gamma((U_{\iota_0 \dots \iota_l}^{(1)*})_{\iota}, \mathbf{F})$ . Let us put  $S_{\nu} = \hat{a}_{\nu} \mid \hat{U}_{\iota_0 \dots \iota_l}^{(2)*}$ . We claim that  $||S_{\nu}||_{\rho_1} \ll K ||S||_{\rho}$ . For obviously  $||S||_{\rho} \geqslant |a_{\nu}(U_{\iota_0 \dots \iota_l}^{(1)*})_{\iota}|$  and

we can use the Theorem I to prove that  $||S_{\nu}||_{\rho_{1}} \leqslant K ||\hat{a}_{\nu}||_{(U_{\iota_{0}}^{(1)^{*}}...\iota_{l})_{\iota}}(\rho_{1})||_{\iota} = K |a_{\nu}(U_{\iota_{0}}^{(1)^{*}}...\iota_{l})| \leqslant K ||S||_{\rho}.$  Q.E.D.

Let  $S_{\nu}'$  be defined using some other  $\iota' \in \{\iota_0, \dots \iota_l\}$ . Then  $S_{\nu} - S_{\nu}' \in \Gamma(\hat{U}_{\iota_0}^{(2)*}, \dots, \iota_l)$ . We claim that  $||S_{\nu} - S_{\nu}'||_{\rho_4} \leqslant K\gamma''' ||S||_{\rho}$ .

*Proof.* Define  $\alpha_s = \sum_{|\lambda|=s}^{\infty} a_{\lambda}(t/\rho)^{\lambda}$  and  $\beta_s = \sum_{|\lambda|=0}^{s-1} a_{\lambda}(t/\rho)^{\lambda}$  $(U_{\iota_0}^{(1)*}, (\rho))$ . We do the same for  $\iota'$  respectively and obtain  $\alpha'_s$  and  $\beta'_s$  over  $(U_{\iota_0 \ldots \iota_l}^{(1)^*})_{\iota'}(\rho)$ . For the restrictions to  $\hat{U}_{\iota_0 \ldots \iota_l}^{(2)^*}$  we see that  $\alpha_s - \alpha_s' =$  $-(\beta_s - \beta_s)$ . Hence we get  $\|\alpha_s - \alpha_s'\|_{\rho_4} \leq K(\gamma''')^s \|\alpha_s - \alpha_s'\|_{\rho_1} = K(\gamma''')^s \|\beta_s - \alpha_s'\|_{\rho_1}$  $-\beta_{s} \|_{\rho_{1}} \leqslant K(\gamma^{\prime\prime\prime})^{s} \|\beta_{s}\|_{\rho_{1}} + K(\gamma^{\prime\prime\prime})^{s} \|\beta_{s}^{'}\|_{\rho_{1}} \leqslant K(\gamma^{\prime\prime\prime})^{s} [\|\beta_{s}\|_{\rho_{1}}^{*} + \|\beta_{s}^{'}\|_{\rho_{1}}^{*}] \leqslant$  $\leq K(\gamma''')^s (\gamma'')^{1-s} ||S||_{\rho}$ . Here the norms are defined with respect to  $U_{\iota_0 \dots \iota_l}^{(3)*}$ except  $\|\cdot\|^*$  and  $\|\cdot\|_{\rho}$  which are defined with respect to  $U_{\iota_0 \ldots \iota_l}^{(1)^*}$ . Now we look at the difference  $(S_{\nu} - S_{\nu}') t^{\nu}/\rho^{\nu}$  on  $(U_{\iota_0}^{(3)*})_{\mu}$  with  $|\nu| = s, \mu \in {\iota_0, ... \iota_l}$ , and the power series development with respect to  $W_{\mu}$ . There is one term of order s which is equal to the corresponding term of  $\alpha_s - \alpha_s$ . Therefore its norm is  $\leqslant K(\gamma''')^s$ .  $(\gamma'')^{1-s} ||S||_{\rho}$ . Moreover we have  $||S_{\nu}(t/\rho)^{\nu} - S_{\nu}'(t/\rho)^{\nu}||_{\rho_1} \leqslant$  $\leq (\gamma'')^{-s} \cdot K ||S||_{\rho}$  where the first norm is defined with respect to  $U^{(3)*}_{\iota_0 \ldots \iota_l}$ . For the sum  $\sum$  of terms of higher order than s in the power series of  $(S_v -S_{v}^{'}$ )  $t^{v}/\rho^{v}$  we therefore get:  $||\sum||_{\rho_{4}} \leqslant (\gamma''')^{s+1} (\gamma'')^{-s} \cdot K||S||_{\rho}$ . Hence we get  $||(S_v - S_v)||_{\rho_4} \leqslant \gamma''' \cdot K ||S||_{\rho}$ . This proves our statement. We see that K is independent of  $\rho_4$  and S. The number  $\gamma^{\prime\prime\prime}$  depends on  $\rho_4$  only, so  $\gamma^{\prime\prime\prime} \cdot K$  gets very small if we make  $\rho_4$  very small.

Let  $\hat{\xi} \in Z^l(\hat{\mathfrak{U}}(\rho), \mathbf{F})$  with  $\hat{\xi} = \{\hat{\xi}_{\iota_0 \dots \iota_l}\}$ . Choose  $\iota = \iota(\iota_0, \dots, \iota_l)$  as a function of the unordered (l+1)-tuple. We now fix  $\iota_0, \dots, \iota_l$  and write  $S = \hat{\xi}_{\iota_0 \dots \iota_l}$ . We apply to S the method described above and obtain  $\hat{\xi}_{\iota_0 \dots \iota_l}^{(\nu)} = \{\hat{\xi}_{\iota_0 \dots \iota_l}^{(\nu)}\}$  as an element of  $C^l(\hat{\mathfrak{U}}_2^*(\rho_4), \mathbf{F})$ . Of course  $\hat{\xi}_{(\nu)}$  depends on the choice of  $\iota = \iota(\iota_0 \dots \iota_l)$  here. Now we see that  $||\hat{\xi}_{(\nu)}||_{\rho_4} \leq ||\hat{\xi}_{(\nu)}||_{\rho_1} \leq K||\hat{\xi}||_{\rho}$ . We also wish to estimate  $\delta \hat{\xi}_{(\nu)}$ . Because  $\hat{\xi} \in Z^l(\hat{\mathfrak{U}}(\rho), \mathbf{F})$  we can use the preliminary result on  $\iota$  and  $\iota'$  to obtain  $||\delta \hat{\xi}_{(\nu)}||_{\rho_4} \leq K\gamma''' ||\hat{\xi}||_{\rho}$ .

We shall also need another result:

Induction Lemma: There exists  $\hat{\eta}_v \in C^l(\hat{\mathfrak{U}}_4^*(\rho_3), \mathbf{F})$  such that  $\hat{\delta \eta}_v = \hat{\delta \xi}_{(v)}$  on  $\hat{\mathfrak{U}}_4^*(\rho_3)$  and  $\|\hat{\eta}_v\|_{\rho_3} \leqslant K \|\hat{\delta \xi}_{(v)}\|_{\rho_4}$ .

*Proof.* The proof uses the assumption that  $\psi_{(l+1)}(\mathbf{F})$  is coherent. Because the coherence of direct images is proved by downward induction on l, this assumption can be made. Moreover it is assumed that the main theorem is proved for dimension l+1 already. Let us now put  $\alpha = \delta \xi_{(v)} \in$  $\in B^{l+1}(\hat{\mathfrak{U}}_{2}^{*}(\rho_{4}), \mathbf{F})$  and  $\hat{\eta}_{v} = \beta \in C^{l}(\hat{\mathfrak{U}}_{4}^{*}(\rho_{3}), \mathbf{F})$ . We have to prove the existence of  $\beta$ . We may assume that  $\rho_4$  is so small that the main theorem is valid for  $\rho \leqslant \rho_4$  in the case of dimension l+1. So there are cocycles  $\omega_1, ..., \omega_r \in Z^{l+1}(\widehat{\mathfrak{U}}(\rho_4), \mathbf{F})$  such that  $\alpha = \sum C_{\lambda} \omega_{\lambda} + \delta \eta$ , where  $C_{\lambda} \in$  $\in I(E^n(\rho_4))$  and  $\eta \in C^l(\mathfrak{U}_4^*(\rho_4), \mathbf{F})$ . We have to assume that between  $\mathfrak{U}_4^*$ and  $\mathfrak{U}_{2}^{*}$  there are very many measure coverings. The cross-sections  $\psi_{(l+1)}(\omega_{\lambda})$ give a homomorphism  $r\mathcal{O} \to \psi_{(l+1)}(\mathbf{F})$  over  $E^n(\rho_4)$ . Because  $\psi_{(l+1)}(\mathbf{F})$ is coherent the kernel  $\mathcal{N}$  is coherent again. Over  $E^{n}(\rho')$  with  $\rho_{3} < \rho' < \rho_{4}$ we find an epimorphism  $p\mathcal{O} \to \mathcal{N}$ . Denote by  $n_1, ..., n_p$  the images of the unit cross-sections in p0. Write  $n_{\lambda} = (e_{\lambda 1}, \dots, e_{\lambda r})$  as an r-tupel of holomorphic functions. The image of  $n_{\lambda}$  in  $\Gamma\left(E^{n}\left(\rho'\right),\psi_{(l+1)}\left(F\right)\right)$  is  $\psi_{(l+1)}\left(\sum_{i}e_{\lambda\mu}\omega_{\mu}\right)$ and zero. We may choose  $\rho_2$  and then  $\rho_3$  and  $\rho'$  very small. Then it follows that  $n_{\lambda} = \sum e_{\lambda\mu} \omega_{\mu}$  is a coboundary. If  $\rho_3 < \rho'' < \rho'$ there are cochains  $\eta_{\lambda} \in C^{l}\left(\mathfrak{U}_{4}^{*}(\rho''), \mathbf{F}\right)$  such that  $\delta \eta_{\lambda} = n_{\lambda}$ . Now  $(C_{1}, ..., C_{r}) \in$  $\in \Gamma(E^n(\rho_4), \mathcal{N})$ . By the methods of sheaf theory we can lift this crosssection to p0. Using a "Banach open mapping theorem" we see that the map  $\Gamma(E^n(\rho'), p\emptyset) \to \Gamma(E^n(\rho'), \mathcal{N})$  is open. This means here that we can find holomorphic functions  $a_{\lambda}$  over  $E^{n}(\rho_{3})$  such that  $C_{\mu} = \sum a_{\lambda} e_{\lambda\mu}$  and  $||a_{\lambda}||_{\rho_3} \leqslant K \max ||C_{\mu}||_{\rho'} \leqslant K \max ||C_{\mu}||_{\rho_4}$ . We get  $\sum C_{\mu} \omega_{\mu} = \sum a_{\lambda} e_{\lambda\mu} \omega_{\mu}$  $= \sum a_{\lambda} \hat{n}_{\lambda} = \delta \left( \sum a_{\lambda} \eta_{\lambda} \right). \text{ This leads to } \alpha \mid C^{l+1} \left( \hat{\mathfrak{U}}_{4}^{*} (\rho_{3}) \right) = \delta \left( \eta + \sum a_{\lambda} \eta_{\lambda} \right).$ The estimates required obviously hold. Q.E.D.

Let us now put  $\hat{\xi}_{(v)}^* = \hat{\xi}_{(v)} - \hat{\eta}_v \in Z^l(\hat{\mathfrak{U}}_4(\rho_3), \mathbf{F})$ . We can write  $\hat{\xi}_{(v)}^* \mid X_0 = \sum_{i=1}^n a_{v\lambda} \hat{\mathfrak{b}}_{\lambda} \mid X_0 + \delta \gamma_v$  over  $\mathfrak{U}_6^*$ . Here  $a_{v\lambda}$  are complex numbers and  $\gamma_v \in C^{l-1}(\mathfrak{U}_6^*, F \mid X_0)$ . Cartan's theorem and the result after that give the estimates  $|a_{v\lambda}| \leq K ||\hat{\xi}_{(v)}^*||_{\rho_3} \leq K |||_{\rho_3} \leq K ||_$ 

extension of  $\gamma_{\nu}$ . Let us now put  $\hat{\xi}_{(\nu)}^{(1)} = \hat{\xi}_{(\nu)}^* - \sum a_{\nu\lambda} \hat{\mathfrak{b}}_{\lambda} - \hat{\delta\gamma}_{\nu}$ . Here  $\hat{\xi}_{(\nu)}^{(1)} \in C^l(\hat{\mathfrak{U}}_7^*(\rho_3), \mathbf{F})$ . Using the previous estimates and the fact that the  $\hat{\mathfrak{b}}_{\lambda}$  are finite we find that  $||\hat{\xi}_{(\nu)}^{(1)}||_{\rho_3} \leqslant K ||\hat{\xi}_{(\nu)}||_{\rho_4} \leqslant K ||\hat{\xi}||_{\rho}$ .

Now we also have  $\hat{\xi}_{(\nu)}^{(1)} \mid X_0 = 0$ . It follows that

$$||\hat{\xi}_{(\nu)}^{(1)}||_{\rho} \leqslant \gamma/\gamma' ||\hat{\xi}_{(\nu)}^{(1)}||_{\rho_{3}} \leqslant \gamma/\gamma' \cdot K ||\hat{\xi}||_{\rho}.$$

Finally we put in  $\widehat{\mathfrak{U}}_{9}^{*}(\rho)$ :

$$\hat{\xi}^{(1)} = \Sigma \hat{\xi}^{(1)}_{(\nu)} (t/\rho)^{\nu} =$$

$$= \Sigma \hat{\xi}_{(\nu)} (t/\rho)^{\nu} - \Sigma \hat{\eta}_{\nu} (t/\rho)^{\nu} - \Sigma a_{\nu\lambda} (t/\rho)^{\nu} \hat{b}_{\lambda} - \delta (\Sigma \hat{\gamma}_{\nu} (t/\rho)^{\nu})$$

$$= \hat{\xi} - \hat{\eta} - \Sigma a_{\lambda} \hat{b}_{\lambda} - \delta \hat{\gamma}.$$

Using the fact that the sum of the absolute values of the coefficients in the power series expansion of  $\hat{\xi}_{(\nu)}^{(1)}$  by  $(t/\rho)$  is smaller than  $\gamma/\gamma' \cdot K || \hat{\xi} ||_{\rho}$  and that with respect to  $\hat{\eta}_{\nu}$  is smaller than  $\gamma''' \cdot K || \hat{\xi} ||_{\rho}$  we find:  $|| \hat{\xi}^{(1)} ||_{\rho} \leq \sqrt{\gamma'} \cdot K || \hat{\xi} ||_{\rho}$  and  $|| \hat{\eta} ||_{\rho} \leq \gamma''' \cdot K || \hat{\xi} ||_{\rho}$  and  $|| a_{\lambda} ||_{\rho} \leq K || \hat{\xi} ||_{\rho}$ . We take the restriction to  $\hat{\mathfrak{B}}(\rho)$  and now  $\tilde{\xi} = \hat{\xi}^{(1)} - \hat{\eta} \in Z^{l}(\hat{\mathfrak{B}}(\rho), \mathbb{F})$  is the desired element. Of course we have to choose  $\rho_{4}$  and then  $\rho_{2}$  small enough, for example let  $\gamma''' < \varepsilon/2 K$  and  $\gamma \leq \varepsilon \gamma'/2 K$ .

## MAIN THEOREM

There exists  $\rho_2$  and a constant K such that if  $\rho \leqslant \rho_2$  and  $\hat{\xi} \in Z^l(\hat{\mathfrak{U}}(\rho), \mathbf{F})$  with  $||\hat{\xi}||_{\rho} < \infty$  then we can find  $a_1, ..., a_r \in I(E^n(\rho))$  and  $\hat{\eta} \in C^{l-1}(\hat{\mathfrak{V}}(\rho), \mathbf{F})$  such that  $\hat{\xi} = \sum a_{\lambda} \hat{\mathfrak{b}}_{\lambda} + \delta \hat{\eta}$  on  $\hat{\mathfrak{V}}(\rho)$  with  $||\hat{\eta}||_{\rho}$  and  $||a_{\nu}||_{\rho} \leqslant ||\hat{\xi}||_{\rho}$ .

*Proof.* We have one constant K from the smoothing theorem. Now we find  $\rho_2$  with an  $\varepsilon$  in the Approximation Lemma such that  $\varepsilon \cdot K < 1/2$ . We shall use this  $\rho_2$  and prove the theorem here. We are given  $\hat{\xi}_0 = \hat{\xi} \in Z^l(\hat{\mathfrak{U}}(\rho), \mathbf{F})$  with  $||\hat{\xi}||_{\rho} < \infty$ . The Approximation Lemma gives  $\tilde{\xi}_1 = \mathbb{E}[\hat{\mathfrak{U}}(\rho), \mathbf{F})$  with  $||\hat{\xi}||_{\rho} < \infty$ .