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PRINCIPLES, WITH APPLICATIONS TO HARMONIC ANALYSIS

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satisfying  $1 \le p < 2 < q \le \infty$ , the series (6.6) converges normally in  $L_p^q(G)$  to T. Next, T is the limit in E of

$$S_r = \sum_{n=1}^r \omega_n \, T_{K_n}$$

as  $r \to \infty$  and, since it is plain that supp  $S_r \subseteq \Omega$  for every r, (ii) is easily derived. Finally, if  $\hat{T}$  were a measure  $\mu$ , it would necessarily be the case that supp  $\mu \subseteq \overline{\Omega}$  and so, for every  $n \in N$ , one would have by (6.1) and (6.4)

$$f_n(T) = |u_n * Tv_n(0)| = |\int_{\Gamma} \hat{u}_n \hat{v}_n d\mu|$$
  

$$\leq |\mu|(\overline{\Omega}),$$

which is finite since  $\Omega$  is relatively compact. However, this plainly would entail  $f^*(T) < \infty$ , in conflict with (6.8), so that T cannot be a measure and (iii) is verified. This completes the proof.

6.4 Remark. Theorem 6.3 was proved by Hörmander ([14], Theorem 1.9) for  $G = R^n$  and any given pair (p, q) satisfying  $1 \le p < 2 < q \le \infty$ , this result being extended to a general noncompact LCA G by Gaudry [5]. The argument given by Hörmander (loc. cit. Theorem 1.6 and the remark immediately following) for the case  $G = R^n$  can also be extended to a general LCA G and shows that, if either  $q \le 2$  or  $p \ge 2$ , then every  $T \in L_{p}^{q}(G)$  is such that  $\hat{T}$  is a measure [and indeed a measure of the form  $\psi \lambda_{\Gamma}$ , where  $\psi \in L_{loc}^{2}(\Gamma)$  if  $q \le 2$  and  $\psi \in L_{loc}^{p}(\Gamma)$  if  $p \ge 2$ , and so  $\psi \in L_{loc}^{2}(\Gamma)$  in either case ]. Thus the hypotheses made in Theorem 6.3 about p and q are necessary for the validity of the conclusion.

## PART 3: APPLICATIONS TO FOURIER SERIES

## § 7. Applications to divergence of Fourier series.

7.1 Throughout §§ 7-10, G will denote an infinite Hausdorff compact Abelian group with character group  $\Gamma$ , and  $\lambda_G$  the Haar measure on G, normalised so that  $\lambda_G(G) = 1$ . For any  $f \in L^1(G)$ ,  $\hat{f}$  will denote the Fourier transform of f; for any finite subset  $\Delta$  of  $\Gamma$ ,

$$S_{\Delta}f = \sum_{\gamma \in \Delta} \hat{f}(\gamma)\gamma \tag{7.1}$$

is the  $\Delta$ -partial sum of the Fourier series of f; and sp (f) will stand for

the spectrum of f, i.e., for the support supp  $\hat{f} = \{ \gamma \in \Gamma : \hat{f}(\gamma) \neq 0 \}$  of  $\hat{f}$ . The term "trigonometric polynomial" will frequently be abbreviated to "t.p.". In addition,  $\Phi$  will denote the largest torsion subgroup of  $\Gamma$  ([7], (A.4)), and  $\pi$  the natural map of  $\Gamma$  onto  $\Gamma/\Phi$ . If  $\Delta$  denotes a subset of  $\Gamma$ ,  $[\Delta]$  will stand for the subgroup of  $\Gamma$  generated by  $\Delta$ .

By a (convergence) grouping we shall mean a sequence  $\mathcal{D} = (\Delta_j)_{j \in \mathbb{N}} = (\Delta_j)$  of finite subsets  $\Delta_j$  of  $\Gamma$  such that

$$\Delta_{j} \subseteq \Delta_{j+1} \quad (j \in N);$$

$$\overset{\circ}{\bigcup} \Delta_{j} = \Gamma_{0} \text{ is a subgroup of } \Gamma, \text{ said to be}$$

$$covered \ by \ \mathcal{D};$$
for each  $j \in N$ ,  $\Delta_{j} = \Omega_{j} + \Lambda_{j}$ , where  $\Lambda_{j}$  is a nonvoid finite subset of  $\Phi$  and  $\Omega_{j}$  is a finite subset of  $\Gamma$  such that  $\pi \mid \Omega_{j}$  is 1-1.

[The first two conditions are natural enough in the context described in 7.3, but the third is less so and may well be pointless.] The grouping  $\mathcal{D}$  is said to be of *infinite type* if and only if  $\pi(\Gamma_0)$  is infinite.

- 7.2 Examples. (i) Let  $\Gamma_0$  be any countable subgroup of  $\Gamma$  such that  $\Gamma_0 \cap \Phi = \{0\}$ ; for example,  $\Gamma_0 = \{n\gamma_0 : n \in Z\}$ , where  $\gamma_0 \in \Gamma \setminus \Phi$ . Then a grouping  $\mathscr D$  covering  $\Gamma_0$  results whenever  $\Lambda_j = \{0\}$  and  $\Delta_j = \Omega_j$  for every  $j \in N$ , where  $(\Omega_j)_{j \in N}$  is any increasing sequence of finite subsets of  $\Gamma_0$  with union equal to  $\Gamma_0$ . This grouping is of infinite type if and only if  $\Gamma_0$  is infinite.
- (ii) If G is connected, and if  $\Gamma_0$  is any countable subgroup of  $\Gamma$ , then ([10], 2.5.6 (c), 8.1.2 (a) and (b) and 8.1.6)  $\Gamma_0$  is an ordered group isomorphic to a discrete subgroup of R. Assuming  $\Gamma_0 \neq \{0\}$ ,  $\Gamma_0$  has a smallest positive element  $\gamma_0$  and  $\Gamma_0 = \{n\gamma_0 : n \in Z\}$ . A natural grouping  $\mathcal{D}$  covering  $\Gamma_0$  is that in which  $\Lambda_j = \{0\}$  and

$$\Delta_j = \Omega_j = \{ n\gamma_0 : n \in \mathbb{Z}, \mid n \mid \leq j \}$$

for every  $j \in N$ ; this grouping is of infinite type.

7.3 A grouping  $\mathscr{D} = (\Delta_j)_{j \in \mathbb{N}}$  will be thought of as specifying one of the many possible ways in which one may interpret the convergence of Fourier series of functions f on G satisfying  $sp(f) \subseteq \Gamma_0$ , namely, as convergence of the corresponding sequence of partial sums  $(S_{\Delta_j} f)_{j \in \mathbb{N}}$ .

Indeed, the conditions (7.2) guarantee that  $\lim_{j\to\infty} S_{\Delta_j} f = f$  for all sufficiently regular such functions f. However, our concern rests with the possibility of constructing continuous functions f on G satisfying

$$\operatorname{sp}(f) \subseteq \Gamma_0, \overline{\lim_{j \to \infty}} \operatorname{Re} S_{\Delta_j} f(0) = \infty. \tag{7.3}$$

It will appear that the possibilities exhibit a fairly clear dichotomy, depending largely upon whether G is or is not 0-dimensional.

In the first place, it will emerge in 7.6 that the construction principle of § 2, applied to the Banach space E = C(G) of continuous complex valued functions on G [with norm  $||\cdot||$  equal to the maximum modulus] and to sequences of gauges of the type

$$f \mid \to \operatorname{Re} S_{\Delta} f(0) = \operatorname{Re} \int_{G} D_{\Delta} f d\lambda_{G},$$
 (7.4)

where  $D_A$  stands for the "Dirichlet function"

$$D_{\Delta} = \sum_{\gamma \in \Delta} \bar{\gamma},\tag{7.5}$$

shows that the problem hinges on the existence of groupings  $\mathcal{D}$  for which

$$\rho_j = \| D_{\Delta_j} \|_1 = \int_G | D_{\Delta_j} | d\lambda_G \to \infty.$$
 (7.6)

Accordingly, and in view of the fact ([7], (24.26)) that G is 0-dimensional if and only if  $\Gamma$  coincides with  $\Phi$ , it emerges that the dichotomy referred to may be expressed in the following way.

# 7.4 Two cases arise, namely:

- (i) G is not 0-dimensional (i.e.,  $\Phi \neq \Gamma$ ). Then (see Example 7.2 (i)) there exist groupings  $\mathscr{D} = (\Delta_j)$  of infinite type; and, for any such grouping, one can construct (fairly explicitly, as described in 7.6) continuous functions f on G satisfying (7.3). In particular [cf. Example 7.2 (i)], if  $\Gamma_0$  is any countably infinite subgroup of  $\Gamma$  satisfying  $\Gamma_0 \cap \Phi = \{0\}$ , and if  $(\Delta_j)_{j \in N}$  is any increasing sequence of finite subsets of  $\Gamma_0$  with union  $\Gamma_0$ , we can construct a continuous f on G satisfying (7.3).
- (ii) G is 0-dimensional (i.e.,  $\Phi = \Gamma$ ). Then there exists no grouping of infinite type. However, given any countable subgroup  $\Gamma_0$  of  $\Gamma$ , there are groupings  $\mathcal{D} = (\Delta_j)$  covering  $\Gamma_0$ , in which  $\Omega_j = \{0\}$  and  $\Delta_j = \Lambda_j$  is a finite subgroup of  $\Gamma_0$ , and for which

$$f = \lim_{i \to \infty} S_{A_j} f$$

uniformly on G for every continuous f satisfying sp  $(f) \subseteq \Gamma_0$ .

Case (i) will be dealt with in § 8, case (ii) in § 9. The groupings described in case (ii) prove to be exceptional in various ways; see 9.3.

7.5 REMARK. Perhaps it should be stressed here that, if  $\Gamma_0$  is any infinite subgroup of  $\Gamma$ , there is no obstacle to constructing continuous functions f such that  $\operatorname{sp}(f) \subseteq \Gamma_0$  and finite subsets  $\Delta_j \subseteq \Delta_{j+1}$  of  $\Gamma_0$  for which

$$\lim_{i} S_{A_{j}} f(0) = \infty.$$

[One has in fact only to construct a continuous f such that  $\operatorname{sp}(f) \subseteq \Gamma_0$  and  $\sum_{\gamma \in \Gamma} |\hat{f}(\gamma)| = \infty$ ; it is then trivial that there exist finite subsets  $\Delta$  of  $\Gamma_0$  for which  $|S_{\Delta}f(0)|$  is arbitrarily large, so that we can choose a sequence  $(\Delta_j)$  for which  $\Delta_j \subseteq \Delta_{j+1}$  and  $|S_{\Delta_j}f(0)| \to \infty$  with j.] However, the sets  $\Delta_j$  obtained this way will not [and, in view of 7.4 (ii), cannot] in general be such that  $\bigcup_{j=1}^{\infty} \Delta_j = \Gamma_0$ . For more details, see A.5.1 and A.5.2 of the Appendix.

7.6 Suppose one is given a grouping  $\mathcal{D} = (\Delta_j)_{j \in \mathbb{N}}$  covering  $\Gamma_0$  and satisfying (7.6). As is described in § 10, one may construct polynomials  $q_{p_j,v}$  in two indeterminates over the real field (v being a suitable fixed integer not less than 36 and  $p_j$  any positive number not less than  $||D_{\Delta_j}||_{\infty}$ ) such that, for suitable unimodular complex numbers  $\xi_j$ , the t.p.s

$$Q_{j} = \xi_{j} \left( 1 + \frac{1}{\nu} \right)^{-1} q_{p_{j},\nu} \left( D_{\Delta_{j}}, \overline{D}_{\Delta_{j}} \right)$$

satisfy

$$||Q_j|| \le 1, \, sp(Q_j) \subseteq [\Delta_j] \subseteq \Gamma_0,$$

$$S_{A_j} Q_j(0) = \int_G D_{A_j} Q_j \, d\lambda_G \text{ is real and } \ge \frac{1}{2} \rho_j.$$

$$(7.7)$$

In view of (7.2), (7.6) and (7.7), one may choose inductively a sequence  $(j_n)_{n\in\mathbb{N}}$  of positive integers so that

$$S_{A_{j_n}} Q_{j_n}(0) \text{ is real and } > n^3,$$

$$j_n < j_{n+1}, \ sp(Q_{j_n}) \subseteq \Gamma_0.$$
(7.8)

Accordingly, the t.p.s

$$u_n = n^{-2} Q_{j_n}$$

satisfy the conditions

$$sp (u_n) \subseteq \Gamma_0, \sum_{n=1}^{\infty} || u_n || < \infty$$

$$S_{\Delta_{j_n}} u_n (0) \text{ is real and } > n.$$
(7.9)

At this point the construction in § 2 will yield integers  $0 < n_1 < n_2 < ...$  and specifiable sequences  $(\gamma_p)_{p \in N}$  of positive numbers such that each function of the form

$$f = \sum_{p=1}^{\infty} \gamma_p \, u_{n_p}$$

is continuous and satisfies

$$sp(f) \subseteq \Gamma_0, \lim_{p \to \infty} \operatorname{Re} S_{A_{j_{n_p}}} f(0) = \infty.$$
 (7.10)

A fortiori, f satisfies (7.3).

We add here that, if the  $\Delta_j$  are symmetric, the  $D_{\Delta_j}$  are real-valued, and we may work throughout with real-valued functions, replacing Re  $S_{\Delta_j} f$  by  $S_{\Delta_j} f$  everywhere.

# § 8. Discussion of case (i): G not 0-dimensional

8.1 In this case  $\Phi \neq \Gamma$ , and we begin by considering a finite subset of  $\Gamma$  of the form  $\cdot$ 

$$\Delta = \Omega + \Lambda, \tag{8.1}$$

where  $\Omega$  and  $\Lambda$  are finite subsets of  $\Gamma$  such that  $\pi \mid \Omega$  is 1-1 and  $\emptyset \neq \Lambda \subseteq \Phi$ . We aim to show that (for a suitable absolute constant k > 0)

$$||D_{\Delta}||_{1} \ge k \left(\frac{\log N}{\log \log N}\right)^{\frac{1}{4}}, \tag{8.2}$$

provided  $N = |\Omega|$  (the cardinal number of  $\Omega$ ) is sufficiently large.

8.2 PROOF OF (8.2). Introduce H as the annihilator in G of  $\Phi$  and identify in the usual way the dual of H with  $\Gamma/\Phi$ . Likewise identify the dual of K = G/H with  $\Phi$  ([7], (24.11)).