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Let  $H$  be the kernel of  $\Delta$  in  $C_c^\infty(E_2)$ . A Hodge decomposition for  $C_c^\infty(E_2)$  is

$$C_c^\infty(E_2) = D_1(C_c^\infty(E_1)) \oplus D_2^*(C_c^\infty(E_3)) \oplus H.$$

Hodge studied the de Rham complex on a compact Riemannian manifold. The Riemannian metric induced the metrics on the bundles  $\Lambda^p T^*(M)$  as well as the volume element.

In the next section we discuss abstract CR manifolds and look at the Heisenberg group in detail. We write down the  $\bar{\partial}_b$  and  $\square_b$  operators explicitly and give Folland and Stein's inverse to  $\square_b$ . In section 3 we introduce the stratified Lie groups and the associated homogeneous structures. We present the continuity theorems of Folland and Rothschild and Stein for convolution operators. In section 4 we prove the decomposition theorem in the general setting of stratified groups.

These results are an extension of the author's dissertation [4]. We wish to express our deep gratitude to M. Kuranishi. We would also like to thank D. Tartakoff for his help and encouragement.

## 2. CR STRUCTURES AND THE HEISENBERG GROUP

Let  $M$  be a  $C^\infty$  manifold of dimension  $2n + 1$ . The complexified tangent bundle of  $M$ ,  $CT(M)$ , is the bundle whose fiber is  $\mathbb{C} \otimes_{\mathbb{R}} T_m(M)$  where  $T_m(M)$  is the tangent space at  $m \in M$ . When there is no confusion we will drop reference to  $M$  in the notation for  $T(M)$ ,  $CT(M)$ , etc. So,  $T(M) = T$  and  $CT(M) = CT$ , for example.

A CR structure on  $M$  is a subbundle  $T_{1,0} \subset CT$  such that (i)  $T_{1,0} \cap \overline{T_{1,0}} = \{0\}$ , (ii)  $\text{codim}(T_{1,0} \otimes \overline{T_{1,0}}) = 1$ , (iii) if  $X$  and  $Y$  are smooth sections of  $T_{1,0}$  then  $[X, Y] = XY - YX$  is a section  $T_{1,0}$ . We set  $T_{0,1} = \overline{T_{1,0}}$ . If  $M$  has a CR structure it is called a CR manifold.

An example of a CR manifold is a real hypersurface  $M$  in a complex manifold  $M'$ ,  $M \subset M'$ . Define  $T_{1,0}(M) = CT(M) \cap T_{1,0}(M')$  where  $T_{1,0}(M')$  is the holomorphic tangent bundle of  $M'$ .

If  $M$  is a CR manifold set  $T^{1,0}$  (resp.,  $T^{0,1}$ ) to be the dual space to  $T_{1,0}$  (resp.,  $T_{0,1}$ ). Let  $\Lambda^{p,q}$  be the space of  $C^\infty$  sections of  $\Lambda^p T^{1,0} \otimes \Lambda^q T^{0,1}$ . Define the operator  $\bar{\partial}_b: \Lambda^{p,q} \rightarrow \Lambda^{p,q+1}$  as follows: Let  $\phi \in \Lambda^{p,q}$  and let  $X_1, \dots, X_p$  (resp.,  $Y_1, \dots, Y_{q+1}$ ) be sections of  $T_{1,0}$  (resp.,  $T_{0,1}$ ). Then

$$\begin{aligned} & \langle \bar{\partial}_b \phi; (X_1 \wedge \dots \wedge X_p) \otimes (Y_1 \wedge \dots \wedge Y_{q+1}) \rangle \\ &= (q+1)^{-1} \sum_{j=1}^{q+1} (-1)^{j+1} Y_j \langle \phi; (X_1 \wedge \dots \wedge X_p) \otimes (Y_1 \wedge \dots \wedge \hat{Y}_j \dots \wedge Y_{q+1}) \rangle \\ + & (q+1)^{-1} \sum_{i < j} (-1)^{i+j} \langle \phi; (X_1 \wedge \dots \wedge X_p) \otimes ([Y_i, Y_j] \wedge Y_1 \wedge \dots \wedge \hat{Y}_i \dots \wedge \hat{Y}_j \dots \wedge Y_{q+1}) \rangle. \end{aligned}$$

The  $\hat{\phantom{x}}$  symbol over a section means as usual that it is deleted from the expression. One can show that

- i)  $\bar{\partial}_b^2 = 0$ ,
- ii)  $\bar{\partial}_b(\phi \wedge \psi) = (\bar{\partial}_b \phi) \wedge \psi + (-1)^p \phi \wedge \bar{\partial}_b \psi$  for  $\phi \in \Lambda^{0,p}$ ,
- iii)  $\langle \bar{\partial}_b f, Y \rangle = Yf$  for  $f \in \Lambda^{0,0}$  and  $Y$  a section of  $T_{0,1}$ .

See [6] for details.

The Heisenberg group,  $H$ , is a Lie group with a natural CR structure. The manifold is  $\mathbf{C}^n \times \mathbf{R}$ . Let  $(z, t), (z', t') \in \mathbf{C}^n \times \mathbf{R} = H$ . The group law is defined by

$$(z, t) \cdot (z', t') = (z + z', t + t' + 2 \operatorname{Im}(z \cdot z'))$$

where  $z \cdot z' = \sum_{j=1}^n z_j \bar{z}'_j$ . The identity element is  $(0, 0)$  and  $(z, t)^{-1} = (-z, -t)$ .

Sometimes we will set  $u = (z, t)$ .

For  $j = 1, \dots, n$  if we set  $z_j = x_j + iy_j$ , the mapping

$$(z, t) \rightarrow (x_1, \dots, x_n, y_1, \dots, y_n, t)$$

defines a  $C^\infty$  coordinate system on  $H$ . The left invariant vector fields (i.e., the elements of the Lie algebra) are  $\mathbf{R}$ -linear combinations of

$$(2) \quad X_j = \frac{\partial}{\partial x_j} + 2y_j \frac{\partial}{\partial t}, \quad Y_j = \frac{\partial}{\partial y_j} - 2x_j \frac{\partial}{\partial t}, \quad T = \frac{\partial}{\partial t}.$$

They satisfy the following commutation relations:

$$(3) \quad [X_j, T] = [Y_j, T] = [X_j, X_k] = [Y_j, Y_k] = 0,$$

$$(4) \quad [X_j, Y_k] = -4\delta_{jk}T.$$

Let  $CT$  be the complexified tangent bundle of  $H$ . Define

$$(5) \quad Z_j = \frac{1}{2}(X_j - iY_j) = \frac{\partial}{\partial z_j} + i\bar{z}_j \frac{\partial}{\partial t}.$$

Then  $Z_j, \bar{Z}_j,$  and  $T$  form a basis (over  $\mathbf{R}$ ) of the space of left invariant complex tangent vector fields. In particular, they form a global frame of  $CT$ . From (3), (4) and (5) we easily see that

$$(6) \quad [Z_j, Z_k] = [\bar{Z}_j, \bar{Z}_k] = [Z_j, T] = [\bar{Z}_j, T] = 0$$

$$[Z_j, \bar{Z}_k] = -2i\delta_{jk}T.$$

Let  $T_{1,0}$  (resp.,  $T_{0,1}$ ) be the subbundle of  $CT$  spanned by the  $Z_j$ 's (resp.,  $\bar{Z}_j$ 's). Then

$$\bar{T}_{1,0} = T_{0,1}$$

$$T_{1,0} \cap T_{0,1} = \{0\}$$

$$\text{codim}(T_{1,0} \otimes T_{0,1}) = 1.$$

Also, if  $V_1, V_2$  are sections of  $T^{1,0}$  we can write  $V_i = \sum_{j=1}^n f_{ij}Z_j, i = 1, 2$  where the  $f_{ij}$  are  $C^\infty$  functions on  $H$ . Then by (6),

$$[V_1, V_2] = \sum_{k=1}^n \left( \sum_{j=1}^n (f_{1j}Z_j f_{2k} - f_{2j}Z_j f_{1k}) \right) Z_k.$$

So, the splitting of  $CT$  defines a CR structure on  $H$ .

Impose the left invariant Hermitian metric on  $CT$  which makes the  $Z$ 's,  $\bar{Z}$ 's and  $T$  an orthonormal frame. Let  $\omega^j$  and  $\tau$  be dual to  $Z_j$  and  $T$ , respectively. Then  $\omega^j, \bar{\omega}^j$  and  $\tau$  form an orthonormal frame for  $CT^*$ . The volume element on  $H$  is

$$(7) \quad du = 2^n dx_1 \wedge \dots \wedge dx_n \wedge dy_1 \wedge \dots \wedge dy_n \wedge dt.$$

Since  $H$  is nilpotent and, hence, unimodular, the volume element is both left and right invariant. One can also verify this directly.

Let  $J = (j_1, \dots, j_q)$  be a multi-index with  $1 \leq j_i \leq n, i = 1, \dots, q$ . Define  $|J| = q$  and  $\bar{\omega}^J = \bar{\omega}^{j_1} \wedge \dots \wedge \bar{\omega}^{j_q}$ . If  $\phi \in \Lambda^{0,q}(H)$  we may write  $\phi = \sum_{|J|=q} \phi_J \bar{\omega}^J$  where  $\phi_J$  is a  $C^\infty$  function from  $H$  to  $\mathbf{C}$ . Let

$$\bar{\omega}^j \lrcorner \bar{\omega}^J = (-1)^k \bar{\omega}^{j_1} \wedge \dots \wedge \widehat{\bar{\omega}^{j_k}} \wedge \dots \wedge \bar{\omega}^{j_q} \quad \text{if } j = j_k \quad \text{and } \bar{\omega}^j \lrcorner \bar{\omega}^J = 0$$

otherwise. Folland and Stein prove that for  $\phi \in \Lambda^{0,q}$

$$i) \quad \bar{\partial}_b \phi = \sum_{|J|=q} \sum_{j=1}^n \bar{Z}_j \phi_J \bar{\omega}^j \wedge \bar{\omega}^J,$$

$$(8) \quad \text{ii) } \bar{\partial}_b^* \phi = - \sum_{|J|=q} \sum_{j=1}^n Z_j \phi_J \bar{\omega}^j \lrcorner \bar{\omega}^J,$$

$$\text{iii) } \square_b \phi = \sum_{|J|=q} \left( -\frac{1}{2} \sum_{j=1}^n (Z_j \bar{Z}_j + \bar{Z}_j Z_j) + i(n-2q)T \right) \phi_J \bar{\omega}^J.$$

Define the function

$$\Phi_\alpha(z, t) = (|z|^2 - it)^{-\frac{n+\alpha}{2}} (|z|^2 + it)^{-\frac{n-\alpha}{2}}.$$

Let  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0$ ,  $n$ . For an appropriate constant,  $c_q$ , define

$$(9) \quad K_q \phi(v) = c_q \sum_{|J|=q} \left( \int_H \phi_J(u) \Phi_{n-2q}(u^{-1}v) du \right) \bar{\omega}^J.$$

Folland and Stein prove that for the appropriate  $c_q$

**THEOREM 1.** *Let  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0$ ,  $n$ . Then  $\square_b K_q \phi = K_q \square_b \phi = \phi$ .*

In [4] we prove a stronger version of the following Hodge decomposition theorem.

**THEOREM 2.** *Let  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0$ ,  $n$ . Then*

- i)  $H\phi = 0$  where  $H$  is the orthogonal projection onto the kernel of  $\square_b$ .
- ii)  $\phi = \bar{\partial}_b \bar{\partial}_b^* K_q \phi + \bar{\partial}_b^* \bar{\partial}_b K_q \phi$ .

We also prove

**THEOREM 3.** *If  $\phi \in \Lambda_c^{0,q}$ ,  $q \neq 0$ ,  $n$  and if  $\bar{\partial}_b \phi = 0$  then  $\psi = \bar{\partial}_b^* K_q \phi$  satisfies  $\bar{\partial}_b \psi = \phi$ .*

These two theorems are special cases of theorems 6 and 7 proven in section 4.

### 3. DIFFERENTIAL COMPLEXES ON STRATIFIED GROUPS

We study a class of nilpotent Lie groups which we describe in terms of their Lie algebras. A graded Lie algebra,  $\mathfrak{n}$ , is a finite dimensional nilpotent algebra which has a direct sum decomposition,  $\mathfrak{n} = \bigoplus_{i=1}^r \mathfrak{n}_i$  where the  $\mathfrak{n}_i$  satisfy

- i)  $[\mathfrak{n}_i, \mathfrak{n}_j] \subseteq \mathfrak{n}_{i+j}$  if  $i + j \leq r$ ,
- ii)  $[\mathfrak{n}_i, \mathfrak{n}_j] = 0$  if  $i + j > r$ .