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## 1. ENDOMORPHISM ALGEBRAS

In this section  $V$  will be an arbitrary module over a commutative ring  $R$  with unit, and for each  $p \geq 0$   $\wedge^p V$  will be its  $p^{\text{th}}$  exterior power and  $\text{End } \wedge^p V$  will be the  $R$ -module of endomorphisms  $\wedge^p V \rightarrow \wedge^p V$ ;  $\Pi_p \text{End } \wedge^p V$  will be the direct product of the  $R$ -modules  $\text{End } \wedge^p V$ . We shall define three distinct products in  $\Pi_p \text{End } \wedge^p V$ ; the first two products are standard, and they will be used to define the third product. If  $\wedge^p V$  itself vanishes for sufficiently large  $p \geq 0$  the direct product  $\Pi_p \text{End } \wedge^p V$  and the direct sum  $\coprod_p \text{End } \wedge^p V$  agree; although this special condition will be satisfied in later sections the definitions in this section will be formulated in complete generality for the direct product  $\Pi_p \text{End } \wedge^p V$ .

Elements of  $\Pi_p \text{End } \wedge^p V$  will be indicated by boldface capital letters  $\mathbf{A}, \mathbf{B}, \dots$ , the  $p^{\text{th}}$  components being  $A_p, B_p, \dots \in \text{End } \wedge^p V$  for each  $p \geq 0$ . The simplest product in  $\Pi_p \text{End } \wedge^p V$  is induced by compositions: the  $p^{\text{th}}$  component of the *composition product*  $\mathbf{A}\mathbf{B} \in \Pi_p \text{End } \wedge^p V$  is the usual composition  $A_p B_p \in \text{End } \wedge^p V$  of the endomorphisms  $A_p$  and  $B_p$  of  $\wedge^p V$ , where  $A_p B_q = 0$  for  $p \neq q$ . Trivially  $\Pi_p \text{End } \wedge^p V$  is an associative  $R$ -algebra with respect to the composition product, and there is a two-sided unit element  $\mathbf{I}$  whose  $p^{\text{th}}$  component is the identity endomorphism  $I_p \in \text{End } \wedge^p V$  for each  $p \geq 0$ .

There is another reasonably familiar product in  $\Pi_p \text{End } \wedge^p V$ , the product of  $A_p \in \text{End } \wedge^p V$  and  $B_q \in \text{End } \wedge^q V$  providing an element

$$A_p \cdot B_q \in \text{End } \wedge^{p+q} V$$

for each  $p \geq 0$  and each  $q \geq 0$ . Since elements of  $\text{End } \wedge^{p+q} V$  are uniquely defined in terms of the behavior on exterior products  $x_1 \wedge \dots \wedge x_{p+q} \in \wedge^{p+q} V$ , it suffices to require that

$$(A_p \cdot B_q)(x_1 \wedge \dots \wedge x_{p+q}) = \sum_{\pi} \varepsilon_{\pi} A_p(x_{\pi 1} \wedge \dots \wedge x_{\pi p}) \wedge B_q(x_{\pi(p+1)} \wedge \dots \wedge x_{\pi(p+q)})$$

where the sum is computed over all permutations  $\pi$  of  $\{1, \dots, p+q\}$  such that both  $\pi 1 < \dots < \pi p$  and  $\pi(p+1) < \dots < \pi(p+q)$ , and where  $\varepsilon_{\pi}$  is the parity  $\pm 1$  of the permutation  $\pi$ . Such "shuffle products"  $A_p \cdot B_q \in \text{End } \wedge^{p+q} V$  provide a unique *shuffle product*  $\mathbf{A} \cdot \mathbf{B} \in \Pi_r \text{End } \wedge^r V$  of any two elements  $\mathbf{A}$  and  $\mathbf{B}$  in  $\Pi_r \text{End } \wedge^r V$ .

One easily verifies that the shuffle product is associative and strictly commutative; specifically,  $A_p \cdot B_q = B_q \cdot A_p \in \text{End } \wedge^{p+q} V$  with no plus-or-

minus signs. For example, for  $p = 1$  and  $q = 1$  one has

$$\begin{aligned} (A_1 \cdot B_1)(x_1 \wedge x_2) &= A_1x_1 \wedge B_1x_2 - A_1x_2 \wedge B_1x_1 \\ &= -B_1x_2 \wedge A_1x_1 + B_1x_1 \wedge A_1x_2 = (B_1 \cdot A_1)(-x_2 \wedge x_1) \\ &= (B_1 \cdot A_1)(x_1 \wedge x_2), \end{aligned}$$

hence  $A_1 \cdot B_1 = B_1 \cdot A_1 \in \text{End } \wedge^2 V$ . The algebra  $\Pi_p \text{End } \wedge^p V$  has a unique (two-sided) unit element with respect to the shuffle product, whose only nonzero component is the identity endomorphism  $I_0$  of  $\wedge^0 V$ .

For any endomorphism  $A$  of  $V$  itself and any  $p \geq 0$  there is a well-defined element  $A_p \in \text{End } \wedge^p V$  such that

$$A_p(x_1 \wedge \dots \wedge x_p) = Ax_1 \wedge \dots \wedge Ax_p$$

for any  $x_1 \wedge \dots \wedge x_p \in \wedge^p V$ ; in particular  $A_1 = A$ . Observe that the  $p$ -fold shuffle product  $A^{\cdot p} = A \cdot \dots \cdot A$  is defined by

$$A^{\cdot p}(x_1 \wedge \dots \wedge x_p) = \sum_{\pi} \varepsilon_{\pi} Ax_{\pi_1} \wedge \dots \wedge Ax_{\pi_p},$$

the summation extending overall  $p!$  permutations  $\pi$  of  $\{1, \dots, p\}$ . Since  $\varepsilon_{\pi} Ax_{\pi_1} \wedge \dots \wedge Ax_{\pi_p} = Ax_1 \wedge \dots \wedge Ax_p$  for each permutation  $\pi$  it follows that  $A^{\cdot p} = p! A_p$ . For this reason  $A_p$  can reasonably be written  $\frac{1}{p!} A^{\cdot p}$ , without

requiring the ground ring to contain the element  $\frac{1}{p!}$ . Thus the direct product

of the elements  $A_p \left( = \frac{1}{p!} A^{\cdot p} \right)$  over all  $p \geq 0$  is essentially an exponential  $e^{\cdot A} \in \Pi_p \text{End } \wedge^p V$ . One easily verifies that  $e^{\cdot A} \cdot e^{\cdot (-A)} = I_0 = e^{\cdot (-A)} \cdot e^{\cdot A}$ , where  $I_0 \in \text{End } \wedge^0 V$  represents the unit element in  $\Pi_p \text{End } \wedge^p V$  with respect to the shuffle product.

For each  $p \geq 0$  the  $p$ -fold shuffle product  $I^{\cdot p}$  of the identity endomorphism  $I \in \text{End } V$  satisfies  $\frac{1}{p!} I^{\cdot p} = I_p$ , where  $I_p$  is the identity endomorphism in  $\text{End } \wedge^p V$ . Hence  $e^{\cdot I}$  is precisely the two-sided unit element **I** of  $\Pi_p \text{End } \wedge^p V$  with respect to the composition product. Since

$$e^{\cdot I} \cdot e^{\cdot (-I)} = I_0 = e^{\cdot (-I)} \cdot e^{\cdot I},$$

where  $I_0 \in \text{End } \wedge^0 V$  represents the unit element with respect to the shuffle product, one can therefore define an invertible map  $\alpha$  of  $\Pi_p \text{End } \wedge^p V$  into itself by letting  $\alpha \mathbf{A} \in \Pi_p \text{End } \wedge^p V$  be the shuffle product  $e^{\cdot I} \cdot \mathbf{A}$  for any  $\mathbf{A} \in \Pi_p \text{End } \wedge^p V$ ; the inverse  $\alpha^{-1}$  of  $\alpha$  is given by  $\alpha^{-1} \mathbf{A} = e^{\cdot (-I)} \cdot \mathbf{A}$ .

1.1 *Definition*: The *third product* of any two elements  $\mathbf{A}$  and  $\mathbf{B}$  of  $\Pi_p \text{End } \wedge^p V$  is given by  $\mathbf{A} \times \mathbf{B} = \alpha^{-1}((\alpha\mathbf{A})(\alpha\mathbf{B})) \in \Pi_p \text{End } \wedge^p V$ , where  $(\alpha\mathbf{A})(\alpha\mathbf{B})$  is the composition product of the shuffle products  $\alpha\mathbf{A} = e \cdot I \cdot \mathbf{A}$  and  $\alpha\mathbf{B} = e \cdot I \cdot \mathbf{B}$ .

Since the composition product is associative the third product is trivially associative. Furthermore, if  $I_0 \in \text{End } \wedge^0 V$  represents the unit element in  $\Pi_p \text{End } \wedge^p V$  with respect to the shuffle product one has

$$I_0 \times \mathbf{A} = \alpha^{-1}((\alpha I_0)(\alpha\mathbf{A})) = \alpha^{-1}((e \cdot I)(\alpha\mathbf{A})) = \alpha^{-1}(\mathbf{I}(\alpha\mathbf{A})) = \alpha^{-1}(\alpha\mathbf{A}) = \mathbf{A}$$

and similarly  $\mathbf{A} \times I_0 = \mathbf{A}$  for any  $\mathbf{A} \in \Pi_p \text{End } \wedge^p V$ ; that is,  $I_0$  is also the unit element of  $\Pi_p \text{End } \wedge^p V$  with respect to the third product. The rationale for introducing the third product appears in the next section.

## 2. THE TRACE

We now specialize the arbitrary  $R$ -module  $V$  of the preceding section.

2.1 *Definition*: A module  $V$  over a commutative ring  $R$  with unit is *traceable* of rank  $n > 0$  if and only if  $\text{End } \wedge^n V$  is a free  $R$ -module of rank one.

If  $\wedge^n V$  is itself free of rank one then  $V$  is clearly traceable of rank  $n$ . However,  $\text{End } \wedge^n V$  can be free of rank one with no such condition on  $\wedge^n V$ . For example, let  $X$  be any paracompact hausdorff space, let  $R$  be the ring  $C(X)$  of continuous real-valued functions on  $X$ , and let  $V$  be the  $C(X)$ -module of continuous sections of a real  $n$ -plane bundle  $\xi$  over  $X$ ; then  $V$  is traceable of rank  $n$ . However  $\wedge^n V$  is itself free of rank one if and only if  $\xi$  is orientable.

Flanders [1] showed for any module  $V$  over a commutative ring with unit that if  $\wedge^n V$  is free of rank one then  $\wedge^p V = 0$  for every  $p > n$ ; a similar argument shows that if  $V$  is traceable of rank  $n > 0$  then  $\text{End } \wedge^p V = 0$  for every  $p > n$ . Thus if  $V$  is traceable of rank  $n > 0$  there is no distinction between the direct product  $\Pi_p \text{End } \wedge^p V$  and the direct sum  $\amalg_p \text{End } \wedge^p V$ . Consequently the third product of Definition 1.1 can be regarded as a product in  $\amalg_p \text{End } \wedge^p V$  whenever  $V$  is traceable.

If  $V$  is traceable of rank  $n$  then every element of  $\text{End } \wedge^n V$  is scalar multiplication by a unique element of the commutative ground ring  $R$  with unit. For example, for any  $\mathbf{A} \in \amalg_p \text{End } \wedge^p V$  and each  $p = 0, \dots, n$  let