# 2. The local collineation theorem

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#### 2. The local collineation theorem

In this section, we show that continuous local collineations of real or complex projective space are projective-linear or anti-projective-linear (Theorem 3). Our methods involve using Desargues' Theorem to extend to a global collineation and then applying the fundamental description of collineations over an arbitrary field (Proposition 1).

We let  $\mathscr{L}_{K}^{n}$  denote the set of projective lines in projective *n*-space  $\mathbf{P}_{K}^{n}$  over a field *K*. (We are interested here in the cases  $K = \mathbf{R}$  or **C**.) Note that  $\mathscr{L}_{K}^{n}$  can be identified with the Grassmannian of 2-dimensional subspaces of  $K^{n+1}$ . A collineation on  $\mathbf{P}_{K}^{n}$  is a bijective self-map  $f: \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  such that  $f(L) \in \mathscr{L}_{K}^{n}$  for all  $L \in \mathscr{L}_{K}^{n}$ . Examples of collineations on  $\mathbf{P}(K^{n+1})$  are provided by elements of the projective linear group PGL(n + 1, K) = GL $(n + 1, K)/(K \setminus \{0\})$ . However, these are not the only collineations. We let the group Gal(K) of automorphisms of *K* (the Galois group of *K* over its prime field,  $\mathbf{Z}_{p}$  or  $\mathbf{Q}$ ) act on  $\mathbf{P}_{K}^{n}$  by

$$g(z) = (gz_0 : \dots : gz_n)$$
 for  $g \in Gal(K)$ ,  $z = (z_0 : \dots : z_n) \in \mathbf{P}_K^n$ ;

then elements of Gal(K) also give collineations on  $\mathbf{P}_{K}^{n}$ . The following well-known result (see [Ar, Theorem 2.26]) states that these examples provide all the collineations on  $\mathbf{P}_{K}^{n}$ :

PROPOSITION 1. Let  $f: \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  be a collineation, where  $n \ge 2$ and K is an arbitrary field. Then there exist a unique  $A \in \text{PGL}(n+1, K)$ and a unique  $g \in \text{Gal}(K)$  such that  $f = g \circ A$ .

We shall use of the following immediate consequence of Proposition 1:

COROLLARY 2. Let  $f: \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  be a collineation, where  $K = \mathbf{R}$ or  $\mathbf{C}, n \ge 2$ . Suppose f is continuous on a nonempty open subset of  $\mathbf{P}_{K}^{n}$ . If  $K = \mathbf{R}$ , then  $f \in \mathrm{PGL}(n+1, \mathbf{R})$ . If  $K = \mathbf{C}$ , then either f or  $\bar{f}$  is in  $\mathrm{PGL}(n+1, \mathbf{C})$ .

We let  $\langle a_1, ..., a_m \rangle$  denote the projective linear subspace of  $\mathbf{P}_K^n$  determined by the points  $a_1, ..., a_m \in \mathbf{P}_K^n$ . In particular,  $\langle a, b \rangle$  is the projective line through a and b (for  $a \neq b \in \mathbf{P}_K^n$ ). We also let a denote the one-point set  $\langle a \rangle = \{a\}$ . We now give a short proof of Proposition 1. First we need two well-known, elementary lemmas:

LEMMA (a). Let  $f: \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  be a collineation. If  $a_{1}, ..., a_{m}$  are points in general position in  $\mathbf{P}_{K}^{n}$ , then  $f(a_{1}), ..., f(a_{m})$  are in general position and  $f(\langle a_{1}, ..., a_{m} \rangle) = \langle f(a_{1}), ..., f(a_{m}) \rangle$ .

**Proof.** It suffices to consider  $m \le n + 1$ . If m = 1 the conclusion is just the definition of a collineation. So let  $2 \le m \le n + 1$  and assume by induction that the lemma has been verified for m - 1 points. We write  $f(a) = \hat{a}$ . Since  $f(\langle a_1, ..., a_{m-1} \rangle) = \langle \hat{a}_1, ..., \hat{a}_{m-1} \rangle$  and f is injective, it follows that  $\hat{a}_m \notin \langle \hat{a}_1, ..., \hat{a}_{m-1} \rangle$  and thus  $\hat{a}_1, ..., \hat{a}_m$  are in general position. The second conclusion follows from the fact that  $\langle \hat{a}_1, ..., \hat{a}_{m-1} \rangle$ .  $\Box$ 

LEMMA (b). Let  $f: \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  be a collineation. If there exists a line  $L \in \mathcal{L}_{K}^{n}$  such that  $f|_{L}: L \to f(L)$  is projective-linear, then  $f \in \mathrm{PGL}(n+1, K)$ .

**Proof.** Let  $\tilde{e_j} = (0, ..., \stackrel{j-\text{th}}{1}, ..., 0) \in K^{n+1}, 0 \leq j \leq n, \tilde{\delta} = \widetilde{e_0} + \cdots + \widetilde{e_n},$ and let  $e_0, ..., e_n, \delta$  be the corresponding points in  $\mathbf{P}_K^n$ . Let  $f: \mathbf{P}_K^n \to \mathbf{P}_K^n$  be as in the hypothesis; we can assume without loss of generality that  $f|_{\langle e_0, e_1 \rangle}$  is projective-linear. By Lemma (a), the points  $f(e_0), ..., f(e_n), f(\delta)$  are in general position. Choose representatives  $f(e_0), ..., f(e_n), f(\delta)$  in  $K^{n+1} \setminus \{0\}$ of  $f(e_0), ..., f(e_n), f(\delta)$  respectively. Let  $\lambda_j \in K \setminus \{0\}$   $(0 \leq j \leq n)$  be given by  $\sum \lambda_j f(e_j) = f(\delta)$ , and let  $T \in GL(n+1, K)$  be given by  $T(\tilde{e_j})$  $= \lambda_j f(e_j)$ . Then  $T(\tilde{\delta}) = \sum \lambda_j f(e_j) = f(\delta)$ .

Let  $\varphi = T^{-1} \circ f$ . Thus the lemma is reduced to the following statement: (A<sub>n</sub>) Let  $\varphi: \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  be a collineation such that  $\varphi|_{\langle e_{0}, e_{1} \rangle}$  is projectivelinear,  $\varphi(e_{j}) = e_{j} (0 \leq j \leq n)$ , and  $\varphi(\delta) = \delta$ . Then  $\varphi$  is the identity. We verify (A<sub>n</sub>) by induction on *n*. For n = 1 the conclusion is immediate. So let  $n \geq 2$  and assume (A<sub>n-1</sub>). We write  $\mathbf{P}_{K}^{n-1} = \langle e_{0}, ..., e_{n-1} \rangle$  and let  $\delta' = (1 : ... : 1 : 0) \in \mathbf{P}_{K}^{n-1}$ ; thus  $\langle e_{n}, \delta \rangle \cap \mathbf{P}_{K}^{n-1} = \{\delta'\}$ . By Lemma (a),  $\varphi(\mathbf{P}_{K}^{n-1}) = \mathbf{P}_{K}^{n-1}$  and thus  $\varphi(\delta') = \delta'$ . Hence by (A<sub>n-1</sub>),  $\varphi$  is the identity on  $\mathbf{P}_{K}^{n-1}$ . If a line  $L \in \mathcal{L}_{K}^{n}$  contains a point  $b \notin \mathbf{P}_{K}^{n-1}$  such that  $\varphi(b) = b$ , then  $\varphi(L) = L$ , since L must contain another fixed point of  $\varphi$  in  $\mathbf{P}_{K}^{n-1}$ . Let  $a \in \langle e_{0}, e_{n} \rangle$ ,  $a \neq e_{0}$ , be arbitrary. Since  $\{a\} = \langle a, \delta \rangle \cap \langle e_{0}, e_{n} \rangle$  and the points  $\delta, e_{n}$  are fixed by  $\varphi$ , it follows that  $\varphi(\langle a, \delta \rangle) = \langle a, \delta \rangle$  and  $\varphi(\langle e_{0}, e_{n} \rangle) = \langle e_{0}, e_{n} \rangle$  and thus  $\varphi(a) = a$ . Finally, let  $x \in \mathbf{P}_{K}^{n} \setminus \langle e_{0}, e_{n} \rangle$ be arbitrary. Since  $\{x\} = \langle a, x \rangle \cap \langle e_{n}, x \rangle$ , where *a* is as above and  $\varphi$ 

Proof of Proposition 1. Consider the usual embeddings  $\mathbf{P}_{K}^{1} \subset \mathbf{P}_{K}^{2} \subset \mathbf{P}_{K}^{n}$ . By Lemma (a),  $f(\mathbf{P}_{K}^{2})$  is a projective 2-plane. Hence there exists a projective linear map  $T: f(\mathbf{P}_{K}^{2}) \rightarrow \mathbf{P}_{K}^{2}$  such that the map  $f' = T \circ f|_{\mathbf{P}_{K}^{2}}: \mathbf{P}_{K}^{2} \rightarrow \mathbf{P}_{K}^{2}$  leaves the points (1:0:0), (0:1:0), (0:0:1) and (1:1:1) fixed. Then, for each  $a \in K$ , we can write  $f'(1:a:0) = (1:\hat{a}:0)$ , where  $\hat{a} \in K$ . We observe that the map  $a \mapsto \hat{a}$  is an element of Gal(K). This follows from the fact that if  $a, b \in K$ , then a - b and a/b can be constructed from the following "projective straightedge" constructions:



FIGURE 0

(Figure 0 shows the affine plane  $K^2 \,\subset\, \mathbf{P}_K^2$ .) Let  $g \in \text{Gal}(K)$  with  $g(a) = \hat{a}$ . Then  $f' \circ g^{-1}|_{\mathbf{P}_K^1}$  is the identity map, and it follows that the map  $f \circ g^{-1}|_{\mathbf{P}_K^1} \colon \mathbf{P}_K^1 \to f(\mathbf{P}_K^1)$  is projective-linear. Therefore by Lemma (b),  $f \circ g^{-1} = A' \in \text{PGL}(n+1, K)$ , and thus  $f = A' \circ g = g \circ A$ , where  $A = g^{-1}A'g \in \text{PGL}(n+1, K)$ .  $\Box$ 

For a subset  $U \in \mathbf{P}_{K}^{n}$ , we write

$$\mathscr{L}(U) = \{ L \in \mathscr{L}_K^n : L \cap U \neq \emptyset \} .$$

We give the projective spaces  $\mathbf{P}_{\mathbf{R}}^{n}$ ,  $\mathbf{P}_{\mathbf{C}}^{n}$  and the Grassmannians  $\mathscr{L}_{\mathbf{R}}^{n}$ ,  $\mathscr{L}_{\mathbf{C}}^{n}$  the usual metric topologies. The main result of this section gives a condition for a local collineation to be projective-linear:

THEOREM 3. Let U be a connected open set in  $\mathbf{P}_{K}^{n}$   $(n \ge 2)$ , where K denotes either **R** or **C**, and let  $\mathcal{L}_{0}$  be an open subset of  $\mathcal{L}(U)$  such that  $\bigcup \mathcal{L}_{0} \supset U$ . Suppose that  $f: U \rightarrow \mathbf{P}_{K}^{n}$  is a continuous injective map such that  $f(L \cap U)$  is contained in a projective line for all  $L \in \mathcal{L}_{0}$ . Then there exists  $A \in PGL(n + 1, K)$  such that

(i) 
$$f = A \mid_U$$
, if  $K = \mathbf{R}$ ,

(ii)  $f = A \mid_U$  or  $\overline{f} = A \mid_U$ , if  $K = \mathbf{C}$ .

The case  $K = \mathbf{R}$  of Theorem 3 follows easily from Prenowitz's theorem [Pr, Theorem V], which provides a much stronger result for n = 2. (We include an elementary proof of the case  $K = \mathbf{R}$  below.)

We begin by proving the following weaker form of Theorem 3:

LEMMA 4. Let U be an open set in  $\mathbf{P}_{K}^{n}$   $(n \ge 2)$ , where K denotes either **R** or **C**, and let  $f: U \rightarrow \mathbf{P}_{K}^{n}$  be a continuous injective map. If  $f(L \cap U)$  is contained in a projective line for all  $L \in \mathcal{L}(U)$ , then the conclusion of Theorem 3 holds.

**Proof.** Let  $f: U \to \mathbf{P}_K^n$  be as in the statement of the lemma, and let  $f(U) = \hat{U}$ . We write  $\hat{a} = f(a)$  for  $a \in U$ . Note that if three points  $a_1, a_2, a_3$  of U are not collinear, then  $\hat{a}_1, \hat{a}_2, \hat{a}_3$  are not collinear, since otherwise the sets  $f(\langle a_1, a_2 \rangle \cap U)$  and  $f(\langle a_1, a_3 \rangle \cap U)$  would both be neighborhoods of  $a_1$  in the line  $\langle \hat{a}_1, \hat{a}_2 \rangle$  and hence f would not be injective. We also observe that if  $L = \langle a, b \rangle$ , where a, b are distinct points of U, then by hypothesis,  $f(L \cap U) \subset \langle \hat{a}, \hat{b} \rangle$ , and in fact we have  $f(L \cap U)$  $= \langle \hat{a}, \hat{b} \rangle \cap \hat{U}$ . To verify this equality, let  $\chi \in \langle \hat{a}, \hat{b} \rangle \cap \hat{U}$  be arbitrary and write  $\chi = \hat{x}$ , where  $x \in U$ . Since  $\hat{a}, \hat{b}, \hat{x}$  are collinear, it follows from the above that x, a, b are collinear and thus  $x \in L$ .

We first consider the case n = 2. Choose a connected open set  $U_0 \,\subset \, U$ . Let  $x \in \mathbf{P}_K^2$ . We want to define  $\hat{x} = \tilde{f}(x)$ . Choose  $a, b \in U_0$  such that a, b, xare not collinear. Let  $\hat{L}_a, \hat{L}_b \in \mathscr{L}(\hat{U})$  be given by  $f(\langle a, x \rangle \cap U) = \hat{L}_a \cap \hat{U}$ ,  $f(\langle b, x \rangle \cap U) = \hat{L}_b \cap \hat{U}$ . We define  $\hat{x}(a, b) \in \mathbf{P}_K^2$  by

$$\hat{L}_a \cap \hat{L}_b = \hat{x}(a, b)$$
.

(Note that  $\hat{L}_a \neq \hat{L}_b$  since  $\langle a, x \rangle \neq \langle b, x \rangle$  and f is injective.)

We observe that if  $a' \in \langle a, x \rangle \cap U_0$ ,  $b' \in \langle b, x \rangle \cap U_0$  with  $a' \neq a$ ,  $b' \neq b$ , then

$$\hat{x}(a,b) = \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}, \hat{b}' \rangle$$
.

In particular if  $x \in U$ , then

$$\hat{x}(a,b) = \langle \hat{a}, \hat{x} \rangle \cap \langle \hat{b}, \hat{x} \rangle = \hat{x}$$

STEP 1.  $\hat{x}(a, b)$  is independent of the choice of  $a, b \in U_0$ .

We can assume by the above that  $x \notin U$ . Let  $a \in U_0$  and let  $b_0, b_1 \in U_0 \setminus \langle a, x \rangle$  be arbitrary. It suffices to show that  $\hat{x}(a, b_0) = \hat{x}(a, b_1)$ .

We first consider the case  $K = \mathbb{C}$ . Let C be a real curve from  $b_0$  to  $b_1$ in  $U_0 \setminus \langle a, x \rangle$ . Let  $\varepsilon > 0$ , and suppose that  $b_2, b_3$  are points in C such that dist $(b_2, b_3) < \varepsilon$  (with respect to some metric on  $\mathbb{P}^2_{\mathbb{C}}$  defining the usual topology). Choose  $a', a'' \in \langle a, x \rangle \cap U_0$  with a, a', a'' distinct. Then let

$$b'_3, b''_3, b'_2, c, b''_2$$

be constructed (in the above order) as in Figure 1 below.



FIGURE 1

We claim that  $a, b_2'', b_3''$  are collinear: Let  $b_3^* = \langle a, b_2'' \rangle \cap \langle a'', b_2 \rangle$ ; to verify the claim, we must show that  $b_3^* = b_3''$ . By Desargues' Theorem [Co, 2.32; see Fig. 4.4a on p. 39]  $b_3', b_3^*, x$  are collinear and thus

 $b_3^* \in \langle b_3', x \rangle \cap \langle a'', b_2 \rangle = b_3''$ ,

as desired.

We note that if  $b_3 = b_2$ , then

$$b_2 = b'_3 = b''_3 = b'_2 = c = b''_2$$
.

Since C is compact, it follows that we can choose  $\varepsilon$  small enough so that all the labeled points in Figure 1 except x lie in  $U_0$  whenever  $b_2, b_3$  are points of C with dist $(b_2, b_3) < \varepsilon$ . Again by Desargues' Theorem,  $\langle \hat{a}, \hat{a}' \rangle$ ,  $\langle \hat{b}_2, \hat{b}_2' \rangle$  and  $\langle \hat{b}_3', \hat{b}_3'' \rangle$  are coincident. Thus

$$\hat{x}(a, b_2) = \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}_2, \hat{b}'_2 \rangle = \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}'_3, \hat{b}''_3 \rangle$$
$$= \langle \hat{a}, \hat{a}' \rangle \cap \langle \hat{b}_3, \hat{b}'_3 \rangle = \hat{x}(a, b_3) .$$

It follows that  $\hat{x}(a, b_0) = \hat{x}(a, b_1)$ , which completes Step 1 for the case  $K = \mathbf{C}$ .

We now suppose that  $K = \mathbf{R}$ . (The proof must be modified for the case  $K = \mathbf{R}$ , since  $U_0 \setminus \langle a, x \rangle$  may not be connected.) We may assume without loss of generality that the line segment

$$C \stackrel{\text{def}}{=} \{tb_0 + (1-t)b_1 : 0 \le t \le 1\}$$

is contained in  $U_0$ . If  $C \cap \langle a, x \rangle = \emptyset$ , then we conclude that  $\hat{x}(a, b_0) = \hat{x}(a, b_1)$ , by the proof for the case K = C above. On the other hand, if  $C \cap \langle a, x \rangle = b'$ , then

$$\hat{x}(b_0, a) = \hat{x}(b_0, b') = \hat{x}(b_0, b_1) = \hat{x}(b', b_1) = \hat{x}(a, b_1),$$

which completes Step 1 for the case  $K = \mathbf{R}$ .

We now write  $\hat{x} = \hat{x}(a, b) = \tilde{f}(x)$  for all  $x \in \mathbf{P}_{K}^{2}$ .

STEP 2.  $\tilde{f}$  is a collineation.

Let x, y, z be collinear. We must show that  $\hat{x}, \hat{y}, \hat{z}$  are collinear. Choose collinear points  $a, b, c \in U_0 \setminus \langle x, y \rangle$ . Let a', b', c' be as in Figure 2 below. We note that if a = b = c, then a' = b' = c' = a. Thus we can choose distinct collinear  $a, b, c \in U_0 \setminus \langle x, y \rangle$  such that a', b', c' are in  $U_0$ . By moving the line  $\langle a, b \rangle$  slightly if necessary, we can assume further that  $x, y, z \notin \langle a, b \rangle$ , and hence a', b', c' are distinct. By Pappas' Theorem (see for example [Co, 4.41 and Fig. 4.4a]), a', b', c' are collinear. It further follows from the above that no four of the nine labeled points in Figure 2 are collinear. By the collinearity of f on U, the points  $\hat{a}, \hat{b}, \hat{c}$  are collinear and distinct, and the same is true for  $\hat{a}', \hat{b}', \hat{c}'$ ; furthermore, no four of the points  $\hat{a}, \hat{b}, \hat{c}, \hat{a}', \hat{b}', \hat{c}'$ are collinear. Hence  $\hat{x}, \hat{y}, \hat{z}$  are distinct, and thus  $\tilde{f}$  is injective. Applying Pappas' Theorem again (with a, b, c, x, y, z, a', b', c' replaced by  $\hat{a}, \hat{b}, \hat{c}, \hat{a}',$  $\hat{b}', \hat{c}', \hat{x}, \hat{y}, \hat{z}$ , respectively), we conclude that  $\hat{x}, \hat{y}, \hat{z}$  are collinear.



FIGURE 2

Finally, to show that  $\tilde{f}$  is surjective, let  $\chi \in \mathbf{P}_{K}^{2}$  be arbitrary. Choose points  $\alpha, \alpha', \beta, \beta' \in \hat{U}_{0} = f(U_{0})$  such that  $\chi = \langle \alpha, \alpha' \rangle \cap \langle \beta, \beta' \rangle$ . The points  $\alpha, \alpha', \beta, \beta'$  are the respective images of points  $a, a', b, b' \in U_{0}$ . If we set  $x = \langle a, a' \rangle \cap \langle b, b' \rangle$ , then  $\chi = \hat{\chi}$ .

Hence  $\tilde{f}$  is a collineation. The case n = 2 then follows from Corollary 2.

## STEP 3. The proof for n > 2.

Let n > 2. We easily see that f takes 2-planes in U to 2-planes in  $\hat{U}$ . Let  $L \in \mathcal{L}(U)$  be arbitrary. By applying the case n = 2 to a projective 2-plane containing L, we see that  $f|_{L \cap U} : L \cap U \to \hat{L} \cap \hat{U}$  is either projective-linear or anti-projective-linear. If  $f|_{L \cap U}$  is anti-projective-linear for one L, it must be anti-projective-linear for all L (by the case n = 2), so by replacing f with  $\overline{f}$  if necessary, we can assume that  $f|_{L \cap U}$  is projective-linear for all  $L \in \mathcal{L}(U)$ . Now fix  $a \in U$ . For  $x \in \mathbf{P}_{K}^{n}$ , define  $\hat{x} = T(x)$  where  $T: \langle a, x \rangle \rightarrow \langle \hat{a}, \hat{x} \rangle$  is the projective-linear transformation extending  $f|_{\langle a,x\rangle \cap U}$ . By applying the case n=2 to the plane determined by a, a', x(for an arbitrary point  $a' \notin \langle a, x \rangle$ ), we see that  $\hat{x}$  is independent of a. Thus we can define  $\tilde{f}(x) = \hat{x}$ . If x, y, z are collinear and  $a \notin \langle x, y \rangle$ , then the case n = 2 applied to the plane determined by a, x, y implies that  $\hat{x}, \hat{y}, \hat{z}$ are collinear. The injectivity of f similarly follows from the case n = 2. To show surjectivity, let  $\chi \in \mathbf{P}_{K}^{n}$  be arbitrary, and choose a point  $\alpha \in \langle \hat{a}, \chi \rangle$  $\cap \hat{U} \setminus \{\hat{a}\}$ . Then  $\alpha$  is the image of a point  $a' \in U$  and  $\tilde{f}(\langle a, a' \rangle) = \langle \hat{a}, \alpha \rangle$ . Hence  $\chi \in \langle \hat{a}, \alpha \rangle \subset \text{image } \tilde{f}$ .

Thus  $\tilde{f}$  is a collineation. The conclusion of the lemma follows as before from Corollary 2.

DEFINITION. A subset U of  $\mathbf{P}_{\mathbf{R}}^{n}$  or  $\mathbf{P}_{\mathbf{C}}^{n}$  is said to be *projectively convex* if  $L \cap U$  is connected for all projective lines  $L \in \mathcal{L}(U)$ . (Note that if  $U \in \mathbf{R}^{n} \in \mathbf{P}_{\mathbf{R}}^{n}$ , then U is projectively convex if and only if U is convex.)

We use the following lemma to complete the proof of Theorem 3:

LEMMA 5. Let U be a projectively convex, open set in  $\mathbf{P}_{K}^{n}$ , where K denotes either **R** or **C**, and let  $\mathcal{L}_{0}$  be an open subset of  $\mathcal{L}(U)$  such that  $\bigcup \mathcal{L}_{0} \supset U$ . Suppose that  $f: U \rightarrow \mathbf{P}_{K}^{n}$  is a continuous injective map such that  $f(L \cap U)$  is contained in a projective line for each  $L \in \mathcal{L}_{0}$ . Then  $f(L \cap U)$  is contained in a projective line for every  $L \in \mathcal{L}(U)$ .

*Proof.* We again write  $\hat{p} = f(p)$ , for  $p \in U$ . Let  $L \in \mathscr{L}(U)$  be arbitrary, and let  $x \in L \cap U$ . Since  $L \cap U$  is connected, it suffices to show that there is a neighborhood  $V \subset U$  of x such that  $\hat{x}, \hat{y}, \hat{z}$  are collinear whenever  $y, z \in L \cap V$ . Choose a line  $L_x \in \mathscr{L}_0$  containing x. We can assume that  $L_x \neq L$ , since otherwise we are done. Choose  $w \in L_x \cap U$ ,  $w \neq x$ . Next choose a neighborhood  $V \subset U$  of x such that  $\langle y, w \rangle \in \mathscr{L}_0$  for all  $y \in V$ .

Let  $y, z \in L \cap V$ . We must show that  $\hat{x}, \hat{y}, \hat{z}$  are collinear. We can assume that x, y, z are distinct points. Choose  $v \in L \cap V$  distinct from x, y, z(see Figure 3). Since  $\langle v, w \rangle \in \mathcal{L}_0$ , we can choose  $a \in L_x \setminus \{x, w\}$  sufficiently close to w so that the line  $L_a = \langle v, a \rangle \in \mathcal{L}_0$ . Let  $b = \langle y, w \rangle \cap L_a$ ,  $c = \langle z, w \rangle \cap L_a$ . By choosing a close enough to w, we can assume further that  $a, b, c \in U$  and the six lines

$$\langle x, b \rangle$$
,  $\langle x, c \rangle$ ,  $\langle y, a \rangle$ ,  $\langle y, c \rangle$ ,  $\langle z, a \rangle$ ,  $\langle z, b \rangle$ 

are in  $\mathcal{L}_0$ . Let a', b', c' be as in Figure 3. Since all the points and lines of Figure 3 lie in a plane, we can use Desargues' Theorem to conclude that v, a', b', c' are collinear. Write  $L' = \langle v, c' \rangle$ ; thus  $a', b' \in L'$ . Since a', b', c' (as well as b, c) converge to w as  $a \to w$ , by choosing a sufficiently close to w we can assume also that  $a', b', c' \in U$  and  $L' \in \mathcal{L}_0$ . Since all the labeled points in Figure 3 lie in U and all the lines in Figure 3 except Lare in  $\mathcal{L}_0$ , we conclude that the f-images of the points in Figure 3 lie in the plane determined by the image lines  $\widehat{L}_a$  and  $\widehat{L}_x$ . We now apply Pappas' Theorem to the image to conclude (as in Step 2 of the proof of Lemma 4) that  $\hat{x}, \hat{y}, \hat{z}$  are collinear.  $\Box$ 





*Proof of Theorem 3.* Choose a sequence  $\{U_1, U_2, ...\}$  of projectively convex, open subsets of U such that  $U = \bigcup_{j=1}^{\infty} U_j$  and  $U_1 \cup \cdots \cup U_j$  is connected for each  $j \ge 1$ . If  $K = \mathbf{R}$ , let  $G = \text{PGL}(n+1, \mathbf{R})$ ; if  $K = \mathbf{C}$ ,

let  $G = \{e, \tau\} \cdot \text{PGL}(n + 1, \mathbb{C})$ , where  $\tau : \mathbf{P}_{\mathbb{C}}^n \to \mathbf{P}_{\mathbb{C}}^n$  is given by  $\tau(z) = \overline{z}$ and e is the identity map. By Lemmas 5 and 4 applied to the restrictions  $f|_{U_j}$ , there are transformations  $A_j \in G$  such that  $f|_{U_j} = A_j|_{U_j}$ . Since an element of G is uniquely determined by its values on a nonempty open subset of  $\mathbf{P}_K^n$  and  $(U_1 \cup \cdots \cup U_j) \cap U_{j+1} \neq \emptyset$ , it follows by induction that  $A_j = A_1$  for all j. Hence  $f = A_1|_U$ .  $\Box$ 

#### 3. THE POINCARÉ-TANAKA AND CHERN-JI THEOREMS

The Segre family  $\mathcal{M}_{B_n}$  mentioned in the introduction has the projective analogue

$$\mathcal{M}_K^n = \{(z, w) \in \mathbf{P}_K^n \times \mathbf{P}_K^n \colon \sum_{j=0}^n z_j w_j = 0\}.$$

(In fact  $\mathscr{M}_{K}^{n}$  is a compactification of  $\mathscr{M}_{B_{n}}$ ; see the proof of Corollary 8.) We let  $\pi_{i}: \mathbf{P}_{K}^{n} \times \mathbf{P}_{K}^{n} \to \mathbf{P}_{K}^{n}$  denote the projection to the *i*-th factor, for i = 1, 2. The main result of this section is the following generalization of the Chern-Ji theorem [CJ, Theorem 2]; our generalization says that a pair of local homeomorphisms of  $\mathbf{P}_{K}^{n}$  ( $K = \mathbf{R}$  or  $\mathbf{C}$ ) mapping  $\mathscr{M}_{K}^{n}$  into itself must be projective-linear, or possibly anti-projective-linear (if  $K = \mathbf{C}$ ):

THEOREM 6. Let  $(a^1, a^2) \in \mathcal{M}_K^n$ , where  $K = \mathbb{R}$  or  $\mathbb{C}$ ,  $n \ge 2$ . Let  $U_1, U_2$  be open sets in  $\mathbb{P}_K^n$  containing  $a^1, a^2$  respectively, and let  $V_i$  be the connected component of  $\pi_i(\mathcal{M}_K^n \cap U_1 \times U_2)$  containing  $a_i$ , for i = 1, 2. If  $f_i: U_i \to \mathbb{P}_K^n$  (i = 1, 2) are continuous injective maps such that

$$(f_1 \times f_2) (\mathscr{M}_K^n \cap U_1 \times U_2) \subset \mathscr{M}_K^n$$
,

then there exists  $A \in PGL(n + 1, K)$  such that

(i)  $f_1 = A$  on  $V_1$  and  $f_2 = {}^t A^{-1}$  on  $V_2$ , if  $K = \mathbf{R}$ ,

(ii) either (i) holds or  $\overline{f_1} = A$  on  $V_1$  and  $\overline{f_2} = {}^tA^{-1}$  on  $V_2$ , if  $K = \mathbb{C}$ .

REMARK. If the sets  $\pi_i(\mathscr{M}_K^n \cap U_1 \times U_2)$  are connected, then  $V_i = \pi_i(\mathscr{M}_K^n \cap U_1 \times U_2)$  and we have  $\mathscr{M}_K^n \cap U_1 \times U_2 = \mathscr{M}_K^n \cap V_1 \times V_2$ . In fact, if we assume that only one of the projections  $\pi_1(\mathscr{M}_K^n \cap U_1 \times U_2)$  is connected, then by the uniqueness of A it follows that the conclusion of Theorem 6 holds with  $V_i = \pi_i(\mathscr{M}_K^n \cap U_1 \times U_2)$ , for i = 1, 2.