

# 4. NONEXISTENCE OF FREE SUBGROUPS

Objekttyp: **Chapter**

Zeitschrift: **L'Enseignement Mathématique**

Band (Jahr): **45 (1999)**

Heft 1-2: **L'ENSEIGNEMENT MATHÉMATIQUE**

PDF erstellt am: **13.09.2024**

## **Nutzungsbedingungen**

Die ETH-Bibliothek ist Anbieterin der digitalisierten Zeitschriften. Sie besitzt keine Urheberrechte an den Inhalten der Zeitschriften. Die Rechte liegen in der Regel bei den Herausgebern. Die auf der Plattform e-periodica veröffentlichten Dokumente stehen für nicht-kommerzielle Zwecke in Lehre und Forschung sowie für die private Nutzung frei zur Verfügung. Einzelne Dateien oder Ausdrucke aus diesem Angebot können zusammen mit diesen Nutzungsbedingungen und den korrekten Herkunftsbezeichnungen weitergegeben werden. Das Veröffentlichen von Bildern in Print- und Online-Publikationen ist nur mit vorheriger Genehmigung der Rechteinhaber erlaubt. Die systematische Speicherung von Teilen des elektronischen Angebots auf anderen Servern bedarf ebenfalls des schriftlichen Einverständnisses der Rechteinhaber.

## **Haftungsausschluss**

Alle Angaben erfolgen ohne Gewähr für Vollständigkeit oder Richtigkeit. Es wird keine Haftung übernommen für Schäden durch die Verwendung von Informationen aus diesem Online-Angebot oder durch das Fehlen von Informationen. Dies gilt auch für Inhalte Dritter, die über dieses Angebot zugänglich sind.

*Proof.* Let  $\varphi$  be an automorphism of  $X$ . If  $\varphi$  fixes a point  $p$  of  $\Lambda_i^*$ , then  $p$  can be chosen as a vertex or a midpoint of an edge. If  $p$  is a vertex, then the preimage  $X'$  of  $p$  under  $r_i$  is a closed and convex subcomplex of  $X$ . If  $p$  is the midpoint of an edge,  $X'$  is a hyperspace and as a union of walls, carries a natural cubical structure. In either case,  $X'$  is a closed, convex and  $\varphi$ -invariant subset of  $X$ , and therefore  $\varphi$  is semisimple if and only if the restriction  $\varphi|_{X'}$  is semisimple. Since moreover  $X'$  is a simply connected folded cubical chamber complex of nonpositive curvature and of dimension lower than  $X$ , we can assume by induction on  $\dim X$  that the action of  $\varphi$  on all the trees  $\Lambda_i^*$  is axial.

Let  $a_i$  be an axis of  $\varphi$  in  $\Lambda_i^*$  (unique up to parameter). Let  $X_i = r_i^{-1}(a_i)$ . Since  $r_i$  is surjective,  $X_i$  is non-empty. Furthermore,  $X_i$  is a closed, convex and  $\varphi$ -invariant subcomplex of  $X$ .

Set  $Y_1 := X_1$ . The image of  $Y_1$  under  $r_2$  is path connected and  $\varphi$ -invariant, hence contains  $a_2$ . Let  $Y_2 = Y_1 \cap X_2$ . Then  $Y_2$  is non-empty, closed, convex and  $\varphi$ -invariant. By induction we get that  $Y = X_1 \cap \dots \cap X_n$  is a non-empty, closed, convex and  $\varphi$ -invariant subcomplex of  $X$ . It is then sufficient to prove semisimplicity for the restriction  $\varphi|_Y$ . Note that  $Y = r^{-1}(F)$ , where  $F \cong \mathbf{R}^n$  is the flat

$$F = \{(a_1(t_1), \dots, a_n(t_n)) \mid t_i \in \mathbf{R}\}$$

in the product of trees. Now  $\varphi$  operates as a translation on  $F$ , hence the displacement of  $\varphi$  on  $F$  is constant, say  $= \delta$ . Since  $r$  is injective, we can consider  $Y$  as a closed subcomplex of  $F$ , namely a union of chambers. The metric on  $Y$  is the induced path metric. It follows easily that there are only finitely many possible values for the distance in  $Y$  from a point  $x$  to its image  $\varphi x$ , if the location of  $x$  in its chamber is given.  $\square$

#### 4. NONEXISTENCE OF FREE SUBGROUPS

In this section we discuss the proof of Theorem 2 of the introduction. We assume throughout this section that  $X$  is a simply connected folded cubical chamber complex of nonpositive curvature and that  $\Gamma \subset \text{Aut}(X)$  is a group that preserves the folding of  $X$  (this can be always assumed by passing to a finite index normal subgroup if necessary) and does not contain a free nonabelian subgroup acting freely on  $X$ . By equivariance of the maps  $r_i$ , the same holds for the actions of  $\Gamma$  on the trees  $\Lambda_i^*$ . Up to a subgroup of index two, there are three possibilities for each particular  $i$  [PV]:

- (0)  $\Gamma$  fixes a point of  $\Lambda_i^*$  ;
- (1)  $\Gamma$  fixes no point of  $\Lambda_i^*$ , but precisely one end of  $\Lambda_i^*$  ;
- (2)  $\Gamma$  fixes no point of  $\Lambda_i^*$ , but precisely two ends of  $\Lambda_i^*$ .

Thus by passing to a subgroup of  $\Gamma$  of index at most  $2^n$ , we can assume that the above three alternatives hold for all  $i$ . Corresponding to the alternative, we say that  $i$  is an *index of type* 0, 1 or 2 respectively.

We first construct a homomorphism  $h = (h_1, \dots, h_n): \Gamma \rightarrow \mathbf{Z}^n$  as claimed. If  $\Gamma$  fixes a point of  $\Lambda_i^*$ , we define  $h_i$  to be the trivial homomorphism. If  $\Gamma$  does not fix a point of  $\Lambda_i^*$ , we let  $\omega_i$  be the end or one of the two ends of  $\Lambda_i^*$  fixed by  $\Gamma$ . The Busemann function  $b_i: \Lambda_i^* \rightarrow \mathbf{R}$  at  $\omega_i$  is well defined up to an additive constant (see [Ba], Section 1 of Chapter II). Since  $\Gamma$  fixes  $\omega_i$ ,

$$h_i(\phi) := b_i(\phi p) - b_i(p), \quad p \in \Lambda_i^*,$$

is a well defined homomorphism  $h_i: \Gamma \rightarrow \mathbf{Z}$ , called the *Busemann homomorphism*. Note that  $h_i$  is integer valued since  $\Lambda_i^*$  is a simplicial tree and  $\Gamma$  acts by automorphisms. This completes the definition of  $h = (h_1, \dots, h_n)$ . We set

$$\Delta_i = \ker h_i \quad \text{and} \quad \Delta = \bigcap \Delta_i = \ker h.$$

PROPOSITION 4.1.  $\Delta$  consists precisely of the elliptic elements of  $\Gamma$ .

*Proof.* If the action of  $\Gamma$  on  $\Lambda_i^*$  has a fixed point, then any  $\phi \in \Gamma$  is elliptic on  $\Lambda_i^*$  and  $\Delta_i = \Gamma$ . If  $\Gamma$  does not have a fixed point in  $\Lambda_i^*$ , but fixes a point  $\xi_i \in \Lambda_i^*(\infty)$  and  $\phi \in \Gamma$  is axial on  $\Lambda_i^*$ , then  $\xi_i$  is an end point of the axis of  $\phi$ . Then  $h_i(\phi) \neq 0$ . Hence by Proposition 3.5, any  $\phi \in \Delta$  is elliptic on  $X$ . Conversely, if  $\phi \in \Gamma$  is elliptic on  $X$ , then  $\phi \in \Delta$ .  $\square$

For the proof of the other assertions of Theorem 2 we need some more preparations.

LEMMA 4.2. Let  $\Lambda$  be a simplicial tree on which  $\Gamma$  acts by automorphisms. Suppose  $\Delta$  fixes a point of  $\Lambda$ . Then either  $\Gamma$  fixes a point of  $\Lambda$  or exactly two points in  $\Lambda(\infty)$ .

*Proof.* Since  $\Delta$  is a normal subgroup of  $\Gamma$ , the set  $\Phi$  of fixed points of  $\Delta$  is  $\Gamma$ -invariant. Now  $\Phi$  is a subtree of  $\Lambda$ , hence we can assume  $\Phi = \Lambda$ . Then the quotient action by  $\Gamma/\Delta$  on  $\Lambda$  is well defined.

Suppose that  $\Gamma/\Delta$  contains an element  $\phi$  which is axial on  $\Lambda$ . Since  $\Gamma/\Delta$  is abelian, it leaves the unique axis of  $\phi$  invariant and fixes the endpoints of the axis.

Suppose now that all elements of  $\Gamma/\Delta$  are elliptic on  $\Lambda$ . Let  $\phi_1, \dots, \phi_k$  be a system of generators. The set of fixed points of  $\phi_1$  is a  $\Gamma/\Delta$ -invariant subtree. Replacing  $\Lambda$  by this subtree, we can assume that  $\phi_1 = \text{id}_\Lambda$ . The quotient of  $\Gamma/\Delta$  by the subgroup generated by  $\phi_1$  is abelian and has a system of  $k-1$  generators. Induction on  $k$  shows that  $\Gamma$  has a fixed point.  $\square$

If  $i$  is an index of type 0 and  $p \in \Lambda_i^*$  a fixed point, then  $X' := r_i^{-1}(p) \subset X$  is closed, convex and  $\Gamma$ -invariant. In particular,  $X'(\infty) \subset X(\infty)$  is  $\Gamma$ -invariant. Although  $X'$  is not a subcomplex if  $p$  is not a vertex, it is parallel to the walls with label  $i$  in the chambers it intersects. Hence we obtain a natural cubical structure on  $X'$  with a folding onto an  $(n-1)$ -cube, and  $\Gamma$  preserves this cubical structure and folding. Hence by passing to such subspaces if necessary, we can assume that no indices of type 0 occur.

Let  $i$  be an index of type 2. Let  $\alpha_i, \omega_i \in \Lambda_i^*(\infty)$  be the fixed points of  $\Gamma$  and  $\sigma_i$  the unit speed geodesic from  $\alpha_i$  to  $\omega_i$ . Then  $\sigma_i$  is  $\Gamma$ -invariant and  $\Delta_i = \text{Stab}(\sigma_i(t))$  for all  $t \in \mathbf{R}$ . Hence  $X' = r_i^{-1}(\text{im } \sigma_i)$  is a closed, convex and  $\Gamma$ -invariant subcomplex of  $X$ . Hence by passing to such subspaces if necessary, we can assume that  $\Lambda_i^* = \text{im } \sigma_i \cong \mathbf{R}$  for all indices  $i$  of type 2.

PROPOSITION 4.3. *If there are no indices of type 1, then there is a  $\Gamma$ -invariant convex subset  $E \subset X$  isometric to a Euclidean space of dimension  $k \in \{0, \dots, n\}$  and an exact sequence*

$$0 \rightarrow \Delta \rightarrow \Gamma \rightarrow \mathbf{Z}^k \rightarrow 0$$

*such that  $\Delta$  fixes  $E$  pointwise and such that the quotient  $\Gamma/\Delta \cong \mathbf{Z}^k$  acts on  $E$  as a cocompact lattice of translations.*

*Proof.* After reductions as above we can assume that all indices are of type 2, that  $\Lambda_i^* \cong \mathbf{R}$  for all  $i$  and that  $\Delta$  fixes each point of  $\prod \Lambda_i^*$ . Since  $r$  is an injection,  $\Delta$  fixes each point of  $X$ .

The image  $\text{im } h$  of the homomorphism  $h$  is a subgroup of the group  $\mathbf{Z}^n$ , hence it is isomorphic to  $\mathbf{Z}^k$  for some  $k \leq n$ . Thus we may identify the quotient group  $\Gamma/\Delta$  with  $\mathbf{Z}^k$ . Consider the quotient action of  $\mathbf{Z}^k = \Gamma/\Delta$  on  $X$ , which is well defined since  $\Delta$  acts trivially on  $X$ . This action is free and the elements are semisimple by Proposition 3.6. Applying the Flat Torus Theorem, see [CE] and [BH], we get that there exists a  $\mathbf{Z}^k$ -invariant convex subspace  $E \subset X$ , isometric to  $k$ -dimensional Euclidean space, such that  $\mathbf{Z}^k$  acts on it as a cocompact lattice of translations.  $\square$

We now discuss the more difficult case that indices of type 1 occur. As explained above, we can assume that no indices of type 0 occur and that  $\Lambda_i^* \cong \mathbf{R}$  for all indices of type 2.

Choose a vertex  $x_0 \in X$  as an origin. For indices of type 2 choose the parameter on the above geodesics  $\sigma_i$  such that  $\sigma_i(0) = r_i(x_0)$ . For indices of type 1 we denote by  $\omega_i \in \Lambda_i^*(\infty)$  the corresponding fixed point. For these indices, we let  $\sigma_i: [0, \infty) \rightarrow \Lambda_i^*$  be a unit speed geodesic ray with  $\sigma_i(0) = r_i(x_0)$  and  $\sigma_i(\infty) = \omega_i$ .

We set  $F = \text{im } \sigma_1 \times \cdots \times \text{im } \sigma_n$ . Note that  $F$  is a closed and convex subspace of  $\prod \Lambda_i^*$ . We also define a geodesic ray

$$\sigma: [0, \infty) \rightarrow F \quad \text{by} \quad \sigma(t) = (\sigma_1(t), \dots, \sigma_n(t)).$$

By construction,  $\sigma(0) = r(x_0)$ .

LEMMA 4.4.  *$\text{Stab}(\sigma_i(t)) \rightarrow \Delta_i$  and  $\text{Stab}(\sigma(t)) \rightarrow \Delta$  as  $t \rightarrow \infty$ , where the limit of groups is understood as the union of increasing family.*

*Proof.* Let  $\phi \in \Delta_i$ . Then  $\phi$  fixes  $\omega_i = \sigma_i(\infty)$ . Therefore  $\phi \circ \sigma_i$  is asymptotic to  $\sigma_i$ . Now  $\Lambda_i^*$  is a tree, hence  $\phi \circ \sigma_i(t) = \sigma_i(t + c)$  for all  $t$  sufficiently large, where  $c$  is some constant independent of  $t$ . Since  $\phi \in \Delta_i$ ,  $c = 0$  and therefore  $\phi \in \text{Stab}(\sigma_i(t))$  for all  $t$  sufficiently large.  $\square$

COROLLARY 4.5. *There exists a sequence  $(x_m)$  in  $X$  such that  $\text{Stab}(x_m) \rightarrow \Delta$ .*

*Proof.* We observe that  $\text{Stab}(x) \subset \Delta$  for all  $x \in X$ . Now the assertion follows immediately from Proposition 3.5 and Lemma 4.4.  $\square$

LEMMA 4.6. *If the group  $\Gamma$  fixes precisely one point  $\omega_i \in \Lambda_i^*(\infty)$ , then  $\Delta \cap \text{Stab}(\sigma_i(t))$  has infinitely many jumps as  $t \rightarrow \infty$ .*

*Proof.* Let  $\phi \in \Delta \subset \Delta_i$ . By Lemma 4.4 there is  $t_\phi \geq 0$  such that  $\phi \in \text{Stab}(\sigma_i(t))$  for all  $t \geq t_\phi$ . Hence if  $\Delta \cap \text{Stab}(\sigma_i(t)) = \Delta \cap \text{Stab}(\sigma_i(t'))$  for all  $t, t'$  sufficiently large, then  $\Delta \subset \text{Stab}(\sigma_i(t))$  for all  $t$  sufficiently large. By Lemma 4.2,  $\Gamma$  either fixes a point of  $\Lambda_i^*$ , which is excluded by our reductions above, or  $\Gamma$  fixes exactly two points of  $\Lambda_i^*(\infty)$ , which is in contradiction to the assumption.  $\square$

LEMMA 4.7. *Let  $(x_m)$  be a sequence in  $X$  such that  $\text{Stab}(x_m) \rightarrow \Delta$  and  $\gamma_m: [0, s_m] \rightarrow X$  be the unit speed geodesic from  $x_0$  to  $x_m$ , where  $s_m = d(x_0, x_m)$ . Then given a constant  $t_0 > 0$ , there exists  $m_0$  such that  $s_m \geq t_0$  and  $r \circ \gamma_m([0, t_0]) \in F$  for all  $m \geq m_0$ .*

*Proof.* For those  $i$  for which  $\Gamma$  fixes exactly one point  $\omega_i \in \Lambda_i^*(\infty)$  we choose  $\phi_i \in \Delta$  such that  $\phi_i \notin \text{Stab}(\sigma_i(t))$  for  $t \leq t_0$ , see Lemma 4.6. By assumption, there is  $m_0$  such that  $\phi_i \in \text{Stab}(x_m)$  for all  $m \geq m_0$  and all such  $i$ . Now  $r_i \circ \gamma_m$  is a monotonic curve in  $\Lambda_i^*$  from  $\sigma_i(0) = r_i(x_0)$  to  $r_i(x_m)$ . By equivariance of  $r_i$ ,  $\phi_i \in \text{Stab}(r_i(x_m))$  for all  $m \geq m_0$ . On the other hand,  $r_i \circ \sigma$  has speed  $\leq 1$ , hence by the choice of  $t_0$ ,  $s_m \geq t_0$  and  $r_i(\gamma_m(t)) \in \sigma_i([0, t_0])$  for  $0 \leq t \leq t_0$ .

The claim follows since the image of  $r_i$  is  $\sigma_i$  for those  $i$  for which  $\Gamma$  fixes exactly two ends of  $\Lambda_i^*$ .  $\square$

LEMMA 4.8. *Given  $\phi \in \Gamma$ , there is a constant  $c = c_\phi$  such that  $d(\phi(p), p) \leq c$  for all  $p \in F$ .*

*Proof.* We show that  $d_i(\phi(p), p) \leq c_i$  for each point  $p$  in the image of  $\sigma_i$ . This is clear for those indices  $i$  for which  $\Gamma$  fixes exactly two ends of  $\Lambda_i^*$ . Consider some other index  $i$ . Then  $\sigma_i$  is defined on  $[0, \infty)$ .

If  $\phi$  is elliptic on  $\Lambda_i^*$ , then  $\phi \in \Delta_i$ . By Lemma 4.4, there exists a constant  $t_\phi$  such that  $\phi$  fixes  $\sigma_i(t)$  for all  $t \geq t_\phi$ . We conclude that  $d_i(\phi(p), p) \leq 2t_\phi$  for each point  $p$  in the image of  $\sigma_i$ .

We assume now that  $\phi$  is axial on  $\Lambda_i^*$  and let  $\rho$  be an axis of  $\phi$  in  $\Lambda_i^*$ . We parametrize  $\rho$  such that  $\rho(\infty) = \omega_i$ . Since  $\Lambda_i^*$  is a tree and  $\sigma_i(\infty) = \rho(\infty)$ , we can actually choose the parameter such that  $\sigma_i(t) = \rho(t)$  for all  $t \geq t_\phi$ , where  $t_\phi$  is an appropriate constant. Now  $\phi(\rho(t)) = \rho(t + \tau)$  for some constant  $\tau$  independent of  $t$ . We conclude that  $d_i(\phi(p), p) \leq 2t_\phi + \tau$  for each point  $p$  in the image of  $\sigma_i$ .  $\square$

PROPOSITION 4.9. *Suppose that indices of type 1 occur. Then*

- (1)  $\Delta$  does not fix a point of  $X$ ;
- (2)  $\Gamma$  fixes a point in  $X(\infty)$ . More precisely, if  $(x_m)$  is a sequence in  $X$  such that  $\text{Stab}(x_m) \rightarrow \Delta$ , then after passing to a subsequence if necessary,  $(x_m)$  converges to a fixed point  $\xi \in X(\infty)$  of  $\Gamma$ .

*Proof.* The first assertion is an immediate consequence of Lemma 4.7. As for the proof of the second assertion, let  $(x_m)$  be a sequence in  $X$  with  $\text{Stab}(x_m) \rightarrow \Delta$ . Let  $\gamma_m: [0, s_m] \rightarrow X$  be the unit speed geodesic from  $x_0$  to  $x_m$  as in Lemma 4.7. Note that  $r \circ \gamma_m$  is a sequence of unit speed curves (with respect to the metric  $d_{(2)}$ , for which  $r$  restricted to any chamber of  $X$  is an isometry) in  $\coprod \Lambda_i^*$ . For each constant  $t_0 > 0$ ,  $r \circ \gamma_m([0, t_0])$  is contained in  $F$  for all  $m$  sufficiently large. Now  $F$  is locally compact, hence a subsequence of

the sequence of curves  $r \circ \gamma_m$  converges locally uniformly. By Proposition 3.4, the corresponding subsequence of the sequence of unit speed geodesics  $\gamma_m$  converges locally uniformly. By definition, this means that the corresponding subsequence of  $(x_m)$  converges to a point  $\xi \in X(\infty)$ .

Let  $\phi \in \Gamma$  and choose  $c = c_\phi$  as in Lemma 4.8. Let  $t_0 > 0$  be given. By Lemma 4.8 we have  $r \circ \gamma_m(t_0) \in F$  for all  $m \geq m_0$ . By Proposition 3.4 and Lemma 4.8, we have  $d(\phi(\gamma_m(t_0)), \gamma_m(t_0)) \leq \sqrt{nc_\phi}$  for all such  $m$ . Now  $c_\phi$  is independent of  $t_0$ , hence  $\phi(\xi) = \xi$ .  $\square$

We now complete the proof of Theorem 2 of the introduction. By Proposition 4.1,  $\Delta \cong \ker h$  consists precisely of the elliptic elements of  $\Gamma$ . If indices of type 1 do not occur, then Proposition 4.3 applies: If  $k = 0$ , then  $\Gamma \cong \Delta$  fixes a point of  $X$  and possibility (1) holds. If  $k > 0$ , then possibility (2) holds. If indices of type 1 occur, then possibility (3) holds by Proposition 4.9 and Corollary 4.5. Note that  $\text{Stab}(x) \neq \Delta$  for any  $x \in X$  in this case since  $\Delta$  would have a fixed point otherwise.

## 5. PARALLEL TRANSPORT IN A CUBICAL MANIFOLD AND THE PROOF OF THEOREM 3

Let  $X$  be a cubical manifold of dimension  $n$ . Given two chambers  $P$  and  $Q$  in  $X$  with a common face of dimension  $n - 1$ , we define  $t_{PQ}: P \rightarrow Q$  to be the *translation* which moves each point  $p$  of  $P$  along the unit geodesic segment starting at  $p$  and orthogonal to the common  $(n - 1)$ -face of  $P$  to the end point in  $Q$ . The map  $t_{PQ}$  is an isomorphism and isometry of  $P$  with  $Q$ . Given a gallery  $\pi = (P_1, \dots, P_n)$  in  $X$ , the *parallel transport* along  $\pi$  is the isomorphism  $t_\pi: P_1 \rightarrow P_n$  given by

$$t_\pi := t_{P_{n-1}P_n} \circ \cdots \circ t_{P_2P_3} \circ t_{P_1P_2}.$$

**LEMMA 5.1.** *Let  $X$  be a simply connected cubical manifold and assume that the number of chambers adjacent to each face of codimension 2 in  $X$  is divisible by 4. Then for any two chambers  $P$  and  $Q$  in  $X$ , the parallel transport  $t_\pi$  along a gallery  $\pi$  connecting  $P$  and  $Q$  is independent of  $\pi$ .*

*Proof.* It is enough to show that the parallel transport along any closed gallery is the identity. Let  $\pi$  be such a gallery with initial and final chamber  $P$ .