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## THE COMBINATORIAL COST

by Gábor ELEK<sup>\*)</sup>

**ABSTRACT.** We study the combinatorial analogues of the classical invariants of measurable equivalence relations. We introduce the notion of cost and  $\beta$ -invariants (the analogue of the first  $L^2$ -Betti number introduced by Gaboriau [3]) for sequences of finite graphs with uniformly bounded vertex degrees and examine the relation of these invariants and the rank gradient resp. mod  $p$  homology gradient invariants introduced by Lackenby ([5], [6]) for residually finite groups.

### 1. INTRODUCTION

#### 1.1 GRAPH SEQUENCES

Let  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  be a sequence of finite simple graphs satisfying the following conditions:

- $\sup_{1 \leq n < \infty} \max_{x \in V(G_n)} \deg(x) < \infty$ . That is, the graphs have uniformly bounded vertex degrees.
- $|V(G_n)| \rightarrow \infty$  as  $n \rightarrow \infty$ .

In the sequel we refer to such systems as *graph sequences*. Now let  $\mathcal{H} = \{H_n\}_{n=1}^\infty$  be another graph sequence such that  $V(H_n) = V(G_n)$  for any  $n \geq 1$ . Then  $\mathcal{H} \prec \mathcal{G}$  if there exists an integer  $L > 0$  such that for any  $n \geq 1$  and  $x, y \in V(H_n)$ ,  $d_{G_n}(x, y) \leq L d_{H_n}(x, y)$ , where  $d_{G_n}$  resp.  $d_{H_n}$  denote the shortest path metrics on  $G_n$  resp. on  $H_n$ . That is, if  $x$  and  $y$  are adjacent in the graph  $H_n$  then there exists a path between  $x$  and  $y$  in  $G_n$  of length at most  $L$ . We say that  $\mathcal{G}$  and  $\mathcal{H}$  are *equivalent*,  $\mathcal{G} \simeq \mathcal{H}$ , if  $\mathcal{H} \prec \mathcal{G}$  and  $\mathcal{G} \prec \mathcal{H}$ . The *edge measure* of  $\mathcal{G}$  is defined as

$$e(\mathcal{G}) := \liminf_{n \rightarrow \infty} \frac{|E(G_n)|}{|V(G_n)|}$$

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and the *cost* of  $\mathcal{G}$  is given as

$$c(\mathcal{G}) := \inf_{\mathcal{H} \simeq \mathcal{G}} e(\mathcal{H}).$$

Clearly,  $c(\mathcal{G}) \geq 1$  for any graph sequence  $\mathcal{G}$ . Originally, the cost was defined for measurable equivalence relations by Levitt [7]. In our paper we view graph sequences as the analogues of  $L$ -graphings of measurable equivalence relations (see [4]).

Recall that a graph sequence  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  is a *large girth sequence* if for any  $k \geq 1$ , there exists  $n_k$  such that if  $n \geq n_k$  then  $G_n$  does not contain a cycle of length not greater than  $k$ . Large girth sequences are the analogues of  $L$ -treeings [4]. Our first goal is to prove the following version of Gaboriau's Theorem [2], (see also [4], Theorem 19.2).

**THEOREM 1.1.** *If  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  is a large girth sequence, then  $e(\mathcal{G}) = c(\mathcal{G})$ .*

## 1.2 $\beta$ -INVARIANTS

In the proof of Theorem 1.1 we shall use the  $\beta$ -invariants which are the analogues of the first  $L^2$ -Betti numbers of measurable equivalence relations [3]. First recall the notion of cycle spaces.

Let  $G(V, E)$  be a finite, simple, connected graph and  $K$  be a commutative field. Let  $\varepsilon_K(G)$  be the vector space over  $K$  spanned by the edges and let  $C_K(G) \subseteq \varepsilon_K(G)$ , the *cycle space*, be the subspace generated by the cycles of  $G$ . Then  $\dim_K C_K(G) = |E| - |V| + 1$ . Now let  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  be a graph sequence. Let  $C_K^q(G_n)$  be the space spanned by the cycles of  $G_n$  of length not greater than  $q$ . Here we use the usual convention that  $(x, y) = -(y, x)$  and we associate to the cycle  $(x_1, x_2, \dots, x_n, x_1)$  the vector  $(\sum_{i=1}^{n-1} (x_i, x_{i+1}) + (x_n, x_1))$ .

Set

$$s_K^q(\mathcal{G}) := \liminf_{n \rightarrow \infty} \frac{|E(G_n)| - \dim_K C_K^q(G_n)}{|V(G_n)|} - 1.$$

The  $\beta_K$ -invariant of  $\mathcal{G}$  is defined as

$$\beta_K(\mathcal{G}) := \inf_q s_K^q(\mathcal{G}).$$

In Section 2 we shall prove that if  $\mathcal{G} \simeq \mathcal{H}$ , then  $\beta_K(\mathcal{G}) = \beta_K(\mathcal{H})$ . This immediately shows that

$$\beta_K(\mathcal{G}) + 1 \leq c(\mathcal{G}).$$

## 1.3 RESIDUALLY FINITE GROUPS

Let  $\Gamma$  be a finitely generated group and

$$\Gamma \triangleright \Gamma_1 \triangleright \Gamma_2 \triangleright \dots, \quad \bigcap_{n=1}^{\infty} \Gamma_n = \{1\}$$

be a nested sequence of finite index normal subgroups. Following Lackenby [5] we define the *rank gradient* of the system  $\{\Gamma, \{\Gamma_n\}_{n=1}^{\infty}\}$

$$\text{rk grad } \{\Gamma, \{\Gamma_n\}_{n=1}^{\infty}\} = \lim_{n \rightarrow \infty} \frac{d(\Gamma_n)}{|\Gamma : \Gamma_n|},$$

where  $d(\Gamma_n)$  is the minimal number of generators for  $\Gamma_n$ . In another paper [6], Lackenby investigated the behaviour of the sequence  $\left\{ \frac{d_p(\Gamma_n)}{|\Gamma : \Gamma_n|} \right\}_{n=1}^{\infty}$ , where  $d_p(\Gamma_n) = \dim_{\mathbf{F}_p} H_1(\Gamma_n, \mathbf{F}_p)$ . Here we denote by  $\mathbf{F}_p$  the finite field of  $p$  elements. Note that  $d_p(\Gamma_n) \leq d(\Gamma_n)$ . The *mod- $p$ -homology gradient* of the system  $\{\Gamma, \{\Gamma_n\}_{n=1}^{\infty}\}$  is defined as

$$p\text{-grad } \{\Gamma, \{\Gamma_n\}_{n=1}^{\infty}\} = \liminf_{n \rightarrow \infty} \frac{d_p(\Gamma_n)}{|\Gamma : \Gamma_n|}.$$

Let  $S$  be a symmetric generating system for  $\Gamma$  and let  $\mathcal{G} = \{G_n\}_{n=1}^{\infty}$  be the graph sequence of the Cayley-graphs of  $\Gamma/\Gamma_n$  with respect to  $S$ . We have the following theorem:

**THEOREM 1.2.**  $c(\mathcal{G}) - 1 \leq \text{rk grad } \{\Gamma, \{\Gamma_n\}_{n=1}^{\infty}\}.$

*If  $\Gamma$  is even finitely presented, then we have the inequality*

$$\beta_{\mathbf{Q}}(\mathcal{G}) = \beta_{(2)}^1(\Gamma) \leq p\text{-grad } \{\Gamma, \{\Gamma_n\}_{n=1}^{\infty}\} = \beta_{\mathbf{F}_p}(\mathcal{G}) \leq c(\mathcal{G}) - 1,$$

*where  $\beta_{(2)}^1(\Gamma)$  is the first  $L^2$ -Betti number of  $\Gamma$  (see [8]).*

## 1.4 HYPERFINITE GRAPH SEQUENCES

One of the key notions in the theory of measurable equivalence relations is *hyperfiniteness*. We introduce a similar notion for graph sequences. We shall prove the following analogues of Proposition 22.1 and Lemma 23.2 of [4].

**PROPOSITION 1.3.**

1. *If  $\mathcal{H} = \{H_n\}_{n=1}^{\infty}$  is a hyperfinite graph sequence then  $c(\mathcal{H}) = 1$ .*
2. *For any graph sequence  $\mathcal{G} = \{G_n\}_{n=1}^{\infty}$  there exists a hyperfinite graph sequence  $\mathcal{H} = \{H_n\}_{n=1}^{\infty}$  such that  $\mathcal{H} \prec \mathcal{G}$ .*

Finally we prove the analogue of the theorem of Connes, Feldman and Weiss ([4], Theorem 10.1).

**THEOREM 1.4.** *Let  $\Gamma$  be a finitely generated residually finite group with a nested sequence of finite index normal subgroups  $\Gamma_n$ ,  $\bigcap_{n=1}^{\infty} \Gamma_n = \{1\}$ . Then the associated graph sequence  $\mathcal{G}$  is hyperfinite if and only if  $\Gamma$  is amenable.*

## 2. $\beta$ -INVARIANTS

**PROPOSITION 2.1.** *Let  $\mathcal{G} \simeq \mathcal{H}$  be equivalent graph sequences and  $K$  be a field. Then  $\beta_K(\mathcal{G}) = \beta_K(\mathcal{H})$ .*

*Proof.* Suppose that  $\mathcal{H} \subseteq \mathcal{G}$ , that is for any  $n \geq 1$ ,  $E(H_n) \subseteq E(G_n)$ . Let  $L > 0$  be an integer such that  $d_{G_n}(x, y) \leq L d_{H_n}(x, y)$ . We define a  $K$ -linear transformation between quotient spaces:

$$\tilde{\phi}: \varepsilon_K(H_n)/C_K^q(H_n) \rightarrow \varepsilon_K(G_n)/C_K^q(G_n)$$

by extending the inclusion  $\phi: E(H_n) \rightarrow E(G_n)$ .

**LEMMA 2.2.** *If  $\tilde{\phi}$  is surjective then  $q > L$ .*

*Proof.* Let  $e = (x, y) \in E(G_n)$ , then there exists a path  $P$  between  $x$  and  $y$ , in  $H_n$  of length not greater than  $L$ . The cycle  $c = P \cup e$  represents an element in  $C_K^q(G_n)$  and

$$[e] \in [c] \oplus [\tilde{\phi}(\varepsilon_K(H_n))].$$

Hence the lemma follows.  $\square$

By the lemma it follows that  $s_K^q(H_n) \geq s_K^q(G_n)$  if  $q > L$ , thus  $\beta_K(\mathcal{H}) \geq \beta_K(\mathcal{G})$ .

Now we define another  $K$ -linear transformation:

$$\tilde{\psi}: \varepsilon_K(G_n)/C_K^q(G_n) \rightarrow \varepsilon_K(H_n)/C_K^{qL}(H_n),$$

by mapping the basis vector  $e = (x, y) \in E(G_n)$  to a path in  $H_n$  of length not greater than  $L$  connecting  $x$  and  $y$ . If  $e \in H_n$ , then let  $\tilde{\psi}(e) = e$ . Obviously,  $\tilde{\psi}$  is surjective therefore  $s_K^q(G_n) \geq s_K^{qL}(H_n)$  and consequently  $\beta_K(\mathcal{G}) \geq \beta_K(\mathcal{H})$ .

Hence if  $\mathcal{G} \simeq \mathcal{H}$ ,  $\mathcal{H} \subseteq \mathcal{G}$  then  $\beta_K(\mathcal{G}) = \beta_K(\mathcal{H})$ . Now we consider the general case, where  $\mathcal{H}$  is an arbitrary graph sequence such that  $\mathcal{H} \simeq \mathcal{G}$ . Then let  $\mathcal{J} = \mathcal{G} \cup \mathcal{H}$ , that is  $V(J_n) = V(G_n)$ ,  $E(J_n) = E(G_n) \cup E(H_n)$ . Clearly,  $\mathcal{J} \simeq \mathcal{G} \simeq \mathcal{H}$  and  $\mathcal{H} \subseteq \mathcal{J}$ ,  $\mathcal{G} \subseteq \mathcal{J}$ . Thus by our argument above,  $\beta_K(\mathcal{H}) = \beta_K(\mathcal{J}) = \beta_K(\mathcal{G})$ .  $\square$

PROPOSITION 2.3. *Let  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  be a graph sequence. Then*

$$\beta_Q(\mathcal{G}) \leq \beta_{F_p}(\mathcal{G}) \leq c(\mathcal{G}) - 1.$$

*Proof.* Let  $\mathcal{H} \simeq \mathcal{G}$ , then  $\beta_K(\mathcal{G}) = \beta_K(\mathcal{H}) \leq e(\mathcal{H}) - 1$ . Therefore  $\beta_K(\mathcal{G}) \leq c(\mathcal{G}) - 1$ .

LEMMA 2.4.  $\dim_Q C_Q^q(G_n) \leq \dim_{F_p} C_{F_p}^q(G_n)$ .

*Proof.* Let  $c_n^q$  be the number of cycles in  $G_n$  of length not greater than  $q$ . Let  $\rho_Z: \mathbf{Z}^{c_n^q} \rightarrow \mathbf{Z}^{|E(G_n)|}$  be the homomorphism that maps  $\bigoplus_{i=1}^{c_n^q} s_i$  to  $\sum_{i=1}^{c_n^q} s_i [c_i]$ , where  $s_i \in \mathbf{Z}$  and  $[c_i]$  is the integer vector generated by the  $i$ -th cycle  $c_i$ . Similarly, we define  $\rho_{F_p}: \mathbf{F}_p^{c_n^q} \rightarrow \mathbf{F}_p^{|E(G_n)|}$ . Let  $\pi_1: \mathbf{Z}^{c_n^q} \rightarrow \mathbf{F}_p^{c_n^q}$ ,  $\pi_2: \mathbf{Z}^{|E(G_n)|} \rightarrow \mathbf{F}_p^{|E(G_n)|}$  be the residue class maps. Then  $\pi_2 \circ \rho_Z = \rho_{F_p} \circ \pi_1$ . Therefore,

$$\text{rank}_Z \text{Im } \rho_Z \geq \dim_{F_p} \text{Im } \rho_{F_p}.$$

Clearly,  $\text{rank}_Z \text{Im } \rho_Z = \dim_Q C_Q^q(G_n)$  and  $\dim_{F_p} \text{Im } \rho_{F_p} = \dim_{F_p} C_{F_p}^q(G_n)$ . Thus our lemma follows.  $\square$

By our lemma,  $\beta_Q(\mathcal{G}) \leq \beta_{F_p}(\mathcal{G})$  hence we finish the proof of our proposition.  $\square$

QUESTION 2.5. *Does there exist a graph sequence  $\mathcal{G}$  for which  $\beta_Q(\mathcal{G}) \neq \beta_{F_p}(\mathcal{G})$  or  $\beta_{F_p}(\mathcal{G}) \neq c(\mathcal{G}) - 1$ ?*

Finally we prove Theorem 1.1.

*Proof.* Let  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  be a large girth graph sequence. Then by definition  $\beta_K(\mathcal{G}) = e(\mathcal{G}) - 1$ . That is,  $e(\mathcal{G}) - 1 \leq c(\mathcal{G}) - 1$ , hence our theorem follows.  $\square$

### 3. RESIDUALLY FINITE GROUPS

The goal of this section is to prove Theorem 1.2. Let  $\Gamma$  be a finitely generated residually finite group with a not necessarily symmetric generating system  $S$ . Let  $\Gamma \triangleright \Gamma_1 \triangleright \Gamma_2 \triangleright \dots$ ,  $\bigcap_{n=1}^\infty \Gamma_n = \{1\}$  be a nested sequence of finite index normal subgroups and  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  be the graph sequence, where  $G_n$  is the (left) Cayley-graph of the finite group  $\Gamma/\Gamma_n$  with respect

to  $S$ . Note that if  $S'$  is another generating system and  $\mathcal{H} = \{H_n\}_{n=1}^\infty$  is the associated graph sequence then  $\mathcal{H} \simeq \mathcal{G}$ .

PROPOSITION 3.1.  $c(\mathcal{G}) - 1 \leq \text{rk grad } \{\Gamma, \{\Gamma_n\}_{n=1}^\infty\}$ .

*Proof.* First note that by the Reidemeister-Schreier theorem the groups  $\Gamma_n$  are finitely generated as well [9], moreover if  $T$  is a finite generating system of  $\Gamma_n$ , then

$$d_{G_T^{\Gamma_n}}(x, y) \leq L d_{G_S^\Gamma}(x, y)$$

for any  $x, y \in \Gamma_n$ , where  $G_S^\Gamma$  resp.  $G_T^{\Gamma_n}$  are the Cayley-graphs with respect to  $S$  resp. to  $T$ , and the Lipschitz constant  $L$  depends only on  $S$  and  $T$ .

LEMMA 3.2. For any  $k \geq 1$ ,

$$\frac{d(\Gamma_k)}{|\Gamma : \Gamma_k|} + 1 \geq c(\Gamma).$$

*Proof.* We use an idea resembling an argument in the proof of Theorem 21.1 of [4]. Let  $T$  be a generating system of  $\Gamma_k$  of minimal number of generators. For simplicity we suppose that  $T \subset S$ . Consider the following graph sequence:  $\mathcal{H} = \{H_n\}_{n=1}^\infty$ ,  $V(H_n) = \Gamma/\Gamma_n$ . If  $n \leq k$ , let  $H_n = G_n$ . Set  $S_n = \Gamma_k/\Gamma_n$  and let  $H'_n$  be the Cayley-graph of  $S_n$  with respect to  $T$ . Now enumerate the vertices of  $V(H_n) \setminus S_n$ ,  $\{x_1, x_2, \dots, x_{r_n}\}$ . For each  $x_i$  consider the set of shortest paths in  $G_n$  from  $x_i$  to the set  $S_n$ . Pick the minimal path with respect to the lexicographic ordering. The edges of  $H_n$  shall consist of  $H'_n$  and the edges of the minimal paths. Define a map  $\pi: V(H_n) \rightarrow S_n$  in the following way. For each  $x_i \in V(H_n) \setminus S_n$  let  $\pi(x_i) \in S_n$  be the endpoint of the minimal path from  $x_i$  to  $S_n$  and let  $\pi(x) = x$  if  $x \in S_n$ . By the lexicographic minimality, the union of the paths form a subforest in  $G_n$  having exactly  $|V(H_n) \setminus S_n|$  edges.

We claim that  $\mathcal{H} \simeq \mathcal{G}$ . Since  $\mathcal{H} \subset \mathcal{G}$ , we only need to prove that  $\mathcal{G} \prec \mathcal{H}$ . Let  $n > k$ ,  $x, y \in V(G_n)$ . Consider the shortest  $G_n$ -path from  $x$  to  $y$ ,  $\{x_0, x_1, \dots, x_l\}$ ,  $x_0 = x$ ,  $x_l = y$ . Let us consider the sequence of vertices  $\{\pi(x_0), \pi(x_1), \dots, \pi(x_l)\}$ .

Let  $y_1, y_2, \dots, y_{|\Gamma:\Gamma_k|}$  be a set of coset-representatives with respect to  $\Gamma_k$ . Let  $t$  be the maximal word-length of the representatives with respect to  $S$ . Then  $d_{G_n}(\pi(x), x) \leq t$  for any  $x \in V(G_n)$ . Therefore,  $d_{G_n}(\pi(x_i), \pi(x_{i+1})) \leq 2t + 1$ . That is,  $d_{H_n}(\pi(x_i), \pi(x_{i+1})) \leq L(2t + 1)$ , where  $L$  is the Lipschitz-constant defined before the statement of our lemma. Consequently,

$$d_{H_n}(x, y) \leq L(2t + 1) d_{G_n}(x, y)$$

and therefore  $\mathcal{H} \simeq \mathcal{G}$ .

For the edge measure of  $\mathcal{H}$  we have

$$e(\mathcal{H}) = \liminf_{n \rightarrow \infty} \frac{|\Gamma : \Gamma_n| - |\Gamma_k : \Gamma_n| + |E(H'_n)|}{|\Gamma : \Gamma_n|}.$$

The vertex degrees of  $H'_n$  are not greater than  $2|T| = 2d(\Gamma_k)$ , also  $|S_n| = |\Gamma_k : \Gamma_k|$ . Thus

$$c(\mathcal{G}) \leq e(\mathcal{H}) \leq \frac{d(\Gamma_k)}{|\Gamma : \Gamma_k|} + 1.$$

Hence the lemma follows.  $\square$

Proposition 3.1 is a straightforward consequence of Lemma 3.2.  $\square$

Let  $\{\Gamma, \{\Gamma_n\}_{n=1}^\infty\}, S, \mathcal{G}$  be as above. Moreover suppose that  $\Gamma$  is finitely presented. This means that if  $\Theta : \mathcal{F}_S \rightarrow \Gamma$  is the natural map from the free group generated by  $S$  to  $\Gamma$  then  $\ker \Theta$  is generated by the relations  $\{R_1, R_2, \dots, R_l\}$  as a normal subgroup, that is, if  $\Theta(\underline{w}) = 1$  then

$$\underline{w} = \prod_{j=1}^{r_{\underline{w}}} \gamma_j R_{i_j} \gamma_j^{-1}, \quad \gamma_j \in \mathcal{F}_S.$$

Let  $\tilde{\Sigma}$  be the usual covering CW-complex constructed from  $\{R_i\}_{i=1}^l$ , the 1-skeleton of  $\tilde{\Sigma}$  is the Cayley-graph of  $\Gamma$  and for each  $\gamma \in \Gamma$  and  $1 \leq i \leq l$ , we add a 2-cell  $\sigma_{\gamma, i}$  such that

$$\partial \sigma_{\gamma, i} = \sum_{j=1}^{s_i} (\underline{w}_j \gamma, \underline{w}_{j-1} \gamma),$$

where  $R_i = a_{s_i} a_{s_i-1} \dots a_2 a_1$ ,  $\underline{w}_j = a_j a_{j-1} \dots a_2 a_1$ ,  $\underline{w}_0 = 1$ . Then  $\tilde{\Sigma}$  is simply connected with a natural  $\Gamma$ -action. Clearly,  $\pi_1(\tilde{\Sigma}/\Gamma_n) = \Gamma_n$ . Recall that the group homology space  $H_1(\Gamma_n, K)$  is isomorphic to the CW-homology space  $H_1(\tilde{\Sigma}/\Gamma_n, K)$ .

LEMMA 3.3. *We have*

$$\lim_{n \rightarrow \infty} \frac{\dim_K H_1(\tilde{\Sigma}/\Gamma_n, K)}{|\Gamma : \Gamma_n|} = \beta_K(\mathcal{G}).$$

*Proof.* Consider the homology complex

$$C_2(\tilde{\Sigma}/\Gamma_n, K) \xrightarrow{\partial_2} C_1(\tilde{\Sigma}/\Gamma_n, K) \xrightarrow{\partial_1} C_0(\tilde{\Sigma}/\Gamma_n, K).$$



Observe that

$$C_1(\tilde{\Sigma}/\Gamma_n, K) \simeq \varepsilon_K(G_n) \quad \text{and} \quad \dim_K C_0(\tilde{\Sigma}/\Gamma_n, K) = |V(G_n)|.$$

Let  $r$  be the maximal word-length of a relation  $R_i$ . Then  $\partial_2(C_2(\tilde{\Sigma}/\Gamma_n, K))$  is generated by cycles of length at most  $r$ . On the other hand, for any  $q > r$ , the  $q$ -cycles are in  $\partial_2(C_2(\tilde{\Sigma}/\Gamma_n, K))$  if  $n$  is large enough.

Therefore  $C_K^q(G_n) = \partial_2(C_2(\tilde{\Sigma}/\Gamma_n, K))$  if  $n$  is large enough. Consequently,

$$s_K^q(\mathcal{G}) = \liminf_{n \rightarrow \infty} \frac{|E(G_n)| - \dim_K \partial_2(C_2(\tilde{\Sigma}/\Gamma_n, K)) - |V(G_n)|}{|\Gamma : \Gamma_n|}.$$

On the other hand,

$$\begin{aligned} \frac{\dim_K H_1(\tilde{\Sigma}/\Gamma_n, K)}{|\Gamma : \Gamma_n|} &= \frac{\dim_K \ker \partial_1 - \dim_K \operatorname{Im} \partial_2}{|\Gamma : \Gamma_n|} \\ &= \frac{|E(G_n)| - \dim_K \partial_2(C_2(\tilde{\Sigma}/\Gamma_n, K)) - |V(G_n)| + 1}{|\Gamma : \Gamma_n|}. \end{aligned}$$

Hence the lemma follows.  $\square$

Now we prove the second part of Theorem 1.2.

**PROPOSITION 3.4.** *Let  $\Gamma$  be a finitely presented residually finite group,  $\{\Gamma, \{\Gamma_n\}_{n=1}^\infty\}, S, \mathcal{G}$  be as above. Then*

$$\beta_{\mathbf{Q}}(\mathcal{G}) = \beta_{(2)}^1(\Gamma) \leq p\text{-grad} \{\Gamma, \{\Gamma_n\}_{n=1}^\infty\} = \beta_{\mathbf{F}_p}(\mathcal{G}) \leq c(\mathcal{G}) - 1,$$

where  $\beta_{(2)}^1(\Gamma)$  is the first  $L^2$ -Betti number of  $\Gamma$  (see [8]).

*Proof.* By Lemma 3.3,  $\beta_{\mathbf{F}_p}(\mathcal{G}) = p\text{-grad} \{\Gamma, \{\Gamma_n\}_{n=1}^\infty\}$ . Also,

$$\beta_{\mathbf{Q}}(\mathcal{G}) = \liminf_{n \rightarrow \infty} \frac{\dim_{\mathbf{Q}} H_1(\tilde{\Sigma}/\Gamma_n, \mathbf{Q})}{|\Gamma : \Gamma_n|}.$$

By the Approximation Theorem of Lück

$$\lim_{n \rightarrow \infty} \frac{\dim_{\mathbf{Q}} H_1(\tilde{\Sigma}/\Gamma_n, \mathbf{Q})}{|\Gamma : \Gamma_n|} = \beta_{(2)}^1(\Gamma).$$

Hence our proposition follows.  $\square$

**QUESTION 3.5.** 1. *Does there exist a finitely presented residually finite group  $\Gamma$  and a system  $\{\Gamma, \{\Gamma_n\}_{n=1}^\infty\}$  such that*

$$\beta_{(2)}^1(\Gamma) \neq p\text{-grad} \{\Gamma, \{\Gamma_n\}_{n=1}^\infty\} \quad \text{or} \quad p\text{-grad} \{\Gamma, \{\Gamma_n\}_{n=1}^\infty\} \neq c(\mathcal{G}) - 1?$$

2. Does there exist a finitely generated residually finite group  $\Gamma$  and a system  $\{\Gamma, \{\Gamma_n\}_{n=1}^\infty\}$  such that

$$c(\mathcal{G}) - 1 \neq \text{rk grad } \{\Gamma, \{\Gamma_n\}_{n=1}^\infty\} ?$$

#### 4. HYPERFINITE GRAPH SEQUENCES

We say that a graph sequence  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  is *hyperfinite* if for any  $\epsilon > 0$  there exists  $K_\epsilon > 0$ , positive integers  $\{k_n\}_{n=1}^\infty$  and a sequence of partitions of the vertex sets  $V(G_n)$

$$A_1^n \cup A_2^n \cup \dots \cup A_{k_n}^n = V(G_n)$$

such that

- For any  $n \geq 1$ ,  $1 \leq i \leq k_n$ ,  $|A_i^n| \leq K_\epsilon$ .
- If  $E_n^\epsilon$  is the set of edges  $(x, y) \in E(G_n)$  such that  $x \in A_i$ ,  $y \in A_j$ ,  $x \neq y$ , then

$$\liminf_{n \rightarrow \infty} \frac{|E_n^\epsilon|}{|V(G_n)|} \leq \epsilon.$$

Now we prove Proposition 1.3.

*Proof.* Suppose that  $\mathcal{G} = \{G_n\}_{n=1}^\infty$  is hyperfinite. Let  $\mathcal{H}^\epsilon = \{H_n^\epsilon\}_{n=1}^\infty$  be the following graph sequence. The vertex set of  $H_n^\epsilon$  is  $V(G_n)$ ,  $E(H_n^\epsilon)$  is the union of  $E_n^\epsilon$  and a spanning tree for each connected component of the graphs spanned by the vertices of  $A_i^n$ ,  $1 \leq i \leq k_n$ . Clearly,  $\mathcal{H}^\epsilon \simeq \mathcal{G}$  and  $|E(H_n^\epsilon)| \leq |E_n^\epsilon| + |V(G_n)|$  thus  $e(\mathcal{H}^\epsilon) \leq 1 + \epsilon$ . Therefore  $c(\mathcal{G}) = 1$ .

Now we show that for any graph sequence  $\mathcal{G} = \{G_n\}_{n=1}^\infty$ ,  $\mathcal{H} = \{H_n\}_{n=1}^\infty$  is hyperfinite where  $H_n$  is a spanning tree of  $G_n$ . We actually show that a sequence of trees  $\mathcal{T} = \{T_n\}_{n=1}^\infty$  is always hyperfinite. Let  $q$  be an integer and consider a maximal  $q$ -net  $L_n^q \subset V(T_n)$ . That is, if  $x \neq y \in L_n^q$  then  $d_{T_n}(x, y) \geq q$  and for any  $z \in V(T_n)$  there exists  $x \in L_n^q$  such that  $d_{T_n}(x, z) \leq q$ . Now for each  $x \in V(T_n)$  let  $\pi(x)$  be one of the vertices  $y \in L_n^q$  closest to  $x$ . Then  $\bigcup_{y \in L_n^q} \pi^{-1}(y)$  is a partition of  $V(T_n)$ . Clearly  $|\pi^{-1}(y)| \geq q$  for any  $y \in L_n^q$ . Obviously the  $T_n^y$  subgraph spanned by the vertices in  $\pi^{-1}(y)$  is connected. Thus

$$|E_n^\epsilon| \leq |V(T_n)| - (|V(T_n)| - |L_n^q|).$$

Here we used the fact that a connected graph has at least as many edges as the number of its vertices minus one. Obviously,  $|L_n^q| \leq \frac{|V(T_n)|}{q}$ , therefore

$$\lim_{n \rightarrow \infty} \frac{|E_n^c|}{|V(T_n)|} \leq \frac{1}{q}.$$

Consequently, the graph sequence  $\mathcal{T}$  is indeed hyperfinite.  $\square$

Finally, we prove Theorem 1.4.

*Proof.* First let  $\Gamma$  be a residually finite non-amenable group with a symmetric generating system  $S$  and a nested sequence of finite index normal subgroups  $\Gamma \triangleright \Gamma_1 \triangleright \Gamma_2 \triangleright \dots$ ,  $\bigcap_{n=1}^{\infty} \Gamma_n = \{1\}$ . Let  $G_n$  be the Cayley-graph of  $\Gamma/\Gamma_n$  with respect to  $S$  and  $G_S^\Gamma$  be the Cayley-graph of the group  $\Gamma$ . Since  $\Gamma$  is non-amenable, it has no Følner-exhaustion, consequently there exists a real number  $\delta > 0$  such that for each finite subset  $F \subset \Gamma$  the number of edges from  $F$  to the complement of  $F$  is at least  $\delta|F|$ . Fix an integer  $m > 0$ . If  $n$  is large enough then for any subset  $M \subset \Gamma/\Gamma_n$ ,  $|M| \leq m$  the number of edges from  $M$  to its complement must be at least  $\delta|M|$ . This follows easily from the fact that for any  $r \geq 0$ , the  $r$ -balls in  $G_n$  and in  $G_S^\Gamma$  are isometric. This implies that  $\mathcal{G}$  is not hyperfinite.

Now let  $\Gamma, \{\Gamma_n\}_{n=1}^{\infty}, S, \mathcal{G}$  be as above, but let  $\Gamma$  be amenable. The following lemma is a straightforward consequence of Theorem 2 of [1].

LEMMA 4.1. *For any  $\omega > 0$ , there exist  $L_\omega > 0$ ,  $M_\omega > 0$  and a sequence of family of subsets*

$$\{W_n^i\}_{i=1}^{k_n}, \quad W_n^i \subset V(G_n) \quad \text{if } n \geq M_\omega$$

*such that for any  $1 \leq i \leq k_n$ ,*

- $|W_n^i| \leq L_\omega$ ,
- $|W_n^i \setminus \bigcup_{j \neq i}^{k_n} W_n^j| \geq (1 - \omega)|W_n^i|$ ,
- *the number of edges from  $W_n^i$  to its complement is at most  $\omega|W_n^i|$ ,*

*and*

- $|\bigcup_{i=1}^{k_n} W_n^i| \geq (1 - \omega)|V(G_n)|$ .

Now let  $Z_n^i = W_n^i \setminus \bigcup_{j \neq i}^{k_n} W_n^j$  and consider the partition of  $V(G_n)$ ,

$$V(G_n) = \bigcup_{i=1}^{k_n} Z_n^i \cup \bigcup_{j=1}^{l_n} T_n^j,$$

where  $T_n^i$  are arbitrary subsets of size at most  $L_\omega$ . Let  $E_n^\omega$  be the set of edges  $(x, y) \in G_n$  such that their endpoints belong to different subsets in the partition. There are three kinds of edges in  $E_n^\omega$ :

- Edges with an endpoint in  $T_n^i$ . The number of such edges is at most  $2|S|(1 - (1 - \omega)^2)|V(G_n)|$ .
- Edges from  $Z_n^i$  to the complement of  $W_n^i$ , for some  $1 \leq i \leq k_n$ . The number of such edges is at most  $2|S|\omega(1 - \omega)^{-1}|V(G_n)|$ .
- Edges from  $Z_n^i$  to  $W_n^i \setminus Z_n^i$  for some  $1 \leq i \leq k_n$ . The number of such edges is at most  $2|S|\omega(1 - \omega)^{-1}|V(G_n)|$ .

Hence

$$\liminf_{n \rightarrow \infty} \frac{|E_n^\omega|}{|V(G_n)|} \leq 2|S|((1 - (1 - \omega)^2) + 2\omega(1 - \omega)^{-1}).$$

Therefore  $\mathcal{G}$  is hyperfinite.  $\square$

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